

HUDSON TECHNOLOGIES INC /NY
Form 4
June 10, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BUSCEMI JAMES R

2. Issuer Name and Ticker or Trading Symbol
HUDSON TECHNOLOGIES INC /NY [HDSN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
74 SUSAN DRIVE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
06/07/2013

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
VP Chief Financial Officer

CLOSTER, NJ 07624

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | 06/07/2013 | | M | | 6,250 | A | \$ 1.13 |
| Common Stock | 06/07/2013 | | M | | 9,375 | A | \$ 1.15 |
| Common Stock | 06/07/2013 | | M | | 2,345 | A | \$ 0.83 |
| Common Stock | 06/07/2013 | | M | | 6,125 | A | \$ 0.95 |
| Common Stock | 06/07/2013 | | M | | 37,750 | A | \$ 1.02 |

Edgar Filing: HUDSON TECHNOLOGIES INC /NY - Form 4

| | | | | | | | | |
|--------------|------------|--|---|-------|---|---------|------------------------|---|
| Common Stock | 06/07/2013 | | M | 6,250 | A | \$ 0.87 | 216,680 ⁽⁶⁾ | D |
| Common Stock | 06/07/2013 | | M | 6,250 | A | \$ 0.83 | 222,930 ⁽⁷⁾ | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|--|--|--------------------------------------|--|--------------------------------|---|--|-----------------|---|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (Right to Buy) | \$ 1.13 | 06/07/2013 | | M | 6,250 | 03/04/2004 | 03/04/2014 | Common Stock | 6,250 |
| Stock Option | \$ 1.15 | 06/07/2013 | | M | 9,375 | 03/31/2004 | 03/31/2014 | Common Stock | 9,375 |
| Stock Option (Right to Buy) | \$ 0.83 | 06/07/2013 | | M | 2,345 | 09/17/2004 | 09/07/2014 | Common Stock | 2,345 |
| Stock Option (Right to Buy) | \$ 0.95 | 06/07/2013 | | M | 6,125 | 10/01/2004 | 10/01/2014 | Common Stock | 6,125 |
| Common Stock (Right to Buy) | \$ 1.02 | 06/07/2013 | | M | 31,250 | 01/03/2005 | 01/03/2015 | Common Stock | 31,250 |
| Stock Option (Right to Buy) | \$ 0.87 | 06/07/2013 | | M | 6,250 | 04/01/2005 | 04/01/2015 | Common Stock | 6,250 |

| | | | | | | | | |
|-----------------------------|---------|------------|---|-------|------------|------------|--------------|-------|
| Stock Option (Right to Buy) | \$ 0.83 | 06/07/2013 | M | 6,250 | 07/08/2005 | 07/08/2015 | Common Stock | 6,250 |
| Stock Option (Right to Buy) | \$ 1.02 | 06/07/2013 | M | 6,500 | 10/10/2006 | 10/10/2016 | Common Stock | 6,500 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|----------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| BUSCEMI JAMES R 74 SUSAN DRIVE CLOSTER, NJ 07624 | | | VP Chief Financial Officer | |

Signatures

James R.
Buscemi

06/10/2013

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Excludes 280,220 shares that may be purchased pursuant to stock options.
- (2) Excludes 270,845 shares that may be purchased pursuant to stock options.
- (3) Excludes 268,500 shares that may be purchased pursuant to stock options.
- (4) Excludes 262,375 shares that may be purchased pursuant to stock options.
- (5) Excludes 224,625 shares that may be purchased pursuant to stock options.
- (6) Excludes 218,375 shares that may be purchased pursuant to stock options.
- (7) Excludes 212,125 shares that may be purchased pursuant to stock options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.