

Edgar Filing: INTERNATIONAL ASSETS HOLDING CORP - Form 4

INTERNATIONAL ASSETS HOLDING CORP

Form 4

April 11, 2001

U.S. SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section
17(a) of the Public Utility Holding Company Act of 1935 or

Section 30(f) of the Investment Company Act of 1940

[] Check this box if no longer subject to Section 16. Form 4 or Form 5
obligations may continue. See Instruction 1(b).

=====

1. Name and Address of Reporting Person

VEITIA	DIEGO	J.
-----	-----	-----
(Last)	(First)	(Middle)
250 PARK AVENUE SOUTH/ SUITE 200		

(Street)		
WINTER PARK	FL	32789
-----	-----	-----
(City)	(State)	(Zip)

=====

2. Issuer Name and Ticker or Trading Symbol

INTERNATIONAL ASSETS HOLDING CORPORATION -- IAAC

=====

3. I.R.S. or Social Security Number of Reporting Person (Voluntary)

=====

4. Statement for Month/Year

MARCH, 2001

=====

5. If Amendment, Date of Original (Month/Year)

=====

6. Relationship of Reporting Person to Issuer (Check all applicable)

☒ Director	☒ 10% Owner
☒ Officer (give title below)	☐ Other (specify below)

CHAIRMAN

Edgar Filing: INTERNATIONAL ASSETS HOLDING CORP - Form 4

7. Individual or Joint/Group Filing (Check Applicable Line)

[X] Form filed by One Reporting Person

☐ Form filed by More Than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

[illegible]

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

2. Conver-		
sion or	3. Trans-	
Exercise	action	4. Transac-

Edgar Filing: INTERNATIONAL ASSETS HOLDING CORP - Form 4

1. Title of Derivative Security (Instr. 3)	Price of Deriv- ative Security	Date (Month/ Day/ Year)	tion Code (Instr. 8)	----- Code V
---	---	----------------------------------	-------------------------	-----------------

International Assets
Holding Corp. Option

3/9/01

A

International Assets
Holding Corp. Options

6. Date Exer- cisable and Expiration Date (Month/Day/ Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Deriv- ative Secur- ity (Instr. 5)	9. Number of De rivative Secur ities Bene- ficia Owned at En d of Month (Inst
Date Exer- cisable	Expira- tion Date	Title	Amount or Number of Shares

03/09/02 03/09/06*

Common 25,000

3.125

25,0

12/28/96 12/28/05**

Common 26,378

2.085

51,3

11/02/99 11/02/08***

Common 83,930

1.376

135,3

Explanation of Responses: *33% VESTS 03/09/02; 33% VESTS 03/09/03; REMAINDER
VESTS 03/09/04

** All options currently vested

*** 30% vests 11/02/99; 30% vests 11/2/2000; 40% vests 11/2/01

/s/ Diego J. Veitia

04/05/01

**Signature of Reporting Person

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal
Violations.