

CABOT MICROELECTRONICS CORP

Form 4

October 07, 2004

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See* Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
SKATES RONALD L

2. Issuer Name **and** Ticker or Trading  
Symbol  
CABOT MICROELECTRONICS  
CORP [CCMP]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)  
10/06/2004

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

C/O CABOT  
MICROELECTRONICS  
CORPORATION, 870 COMMONS  
DRIVE

(Street)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)

☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

AURORA, IL 60504

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       |                                         |                                                             |                                      | (A)<br>or<br>(D)                                                           | 2,560                                                                                                              | D                                                                    |                                                                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4, and<br>5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |     | 7. Title and Amount<br>Underlying Securities<br>(Instr. 3 and 4) |                    |                 |                            |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-----------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|-----|------------------------------------------------------------------|--------------------|-----------------|----------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V                                                                                                         | (A)                                                            | (D) | Date Exercisable                                                 | Expiration<br>Date | Title           | Amount<br>Number<br>Shares |
| Stock<br>Options<br>(Right to<br>buy)               | \$ 20                                                              |                                         |                                                             |                                      |                                                                                                           |                                                                |     | 04/04/2001                                                       | 04/04/2005         | Common<br>Stock | 20                         |
| Stock<br>Options<br>(Right to<br>buy)               | \$ 38.34                                                           |                                         |                                                             |                                      |                                                                                                           |                                                                |     | 03/11/2004                                                       | 03/11/2013         | Common<br>Stock | 7                          |
| Stock<br>Options<br>(Right to<br>buy)               | \$ 42.44                                                           |                                         |                                                             |                                      |                                                                                                           |                                                                |     | 03/09/2005                                                       | 03/09/2014         | Common<br>Stock | 10                         |
| Stock<br>Options<br>(Right to<br>buy)               | \$ 46.875                                                          |                                         |                                                             |                                      |                                                                                                           |                                                                |     | 03/13/2002                                                       | 03/13/2008         | Common<br>Stock | 7                          |
| Stock<br>Options<br>(Right to<br>buy)               | \$ 65.73                                                           |                                         |                                                             |                                      |                                                                                                           |                                                                |     | 03/12/2003                                                       | 03/12/2012         | Common<br>Stock | 7                          |
| Phantom<br>Stock                                    | \$ 27.45                                                           |                                         |                                                             |                                      |                                                                                                           |                                                                |     | 07/21/2004                                                       | 07/21/2004         | Common<br>Stock | 36                         |
| Phantom<br>Stock                                    | \$ 28.05                                                           |                                         |                                                             |                                      |                                                                                                           |                                                                |     | 06/14/2004                                                       | 06/14/2004         | Common<br>Stock | 71                         |
| Phantom<br>Stock                                    | \$ 34.3                                                            |                                         |                                                             |                                      |                                                                                                           |                                                                |     | 09/28/2004                                                       | 09/28/2004         | Common<br>Stock | 87                         |
| Phantom<br>Stock                                    | \$ 34.39                                                           |                                         |                                                             |                                      |                                                                                                           |                                                                |     | 08/23/2004                                                       | 08/23/2004         | Common<br>Stock | 29                         |
| Phantom<br>Stock                                    | \$ 36.5                                                            | 10/06/2004                              |                                                             | J <sup>(1)</sup>                     | 27.3973                                                                                                   |                                                                |     | 10/06/2004 <sup>(2)</sup>                                        | 10/06/2004         | Common<br>Stock | 27                         |
| Phantom<br>Stock                                    | \$ 42.44                                                           |                                         |                                                             |                                      |                                                                                                           |                                                                |     | 03/09/2004                                                       | 03/09/2004         | Common<br>Stock | 518                        |
| Phantom                                             | \$ 50.1                                                            |                                         |                                                             |                                      |                                                                                                           |                                                                |     | 12/08/2003                                                       | 12/08/2003         | Common          | 59                         |

| Stock            |          |            |            | Stock           |      |
|------------------|----------|------------|------------|-----------------|------|
| Phantom<br>Stock | \$ 50.95 | 06/18/2003 | 06/17/2003 | Common<br>Stock | 1,51 |
| Phantom<br>Stock | \$ 52.5  | 11/05/2003 | 11/05/2003 | Common<br>Stock | 19.  |
| Phantom<br>Stock | \$ 55.37 | 11/03/2003 | 11/03/2003 | Common<br>Stock | 162  |
| Phantom<br>Stock | \$ 59.05 | 09/23/2003 | 09/23/2003 | Common<br>Stock | 50.  |

## Reporting Owners

| Reporting Owner Name / Address                                                                     | Relationships |           |         |       |
|----------------------------------------------------------------------------------------------------|---------------|-----------|---------|-------|
|                                                                                                    | Director      | 10% Owner | Officer | Other |
| SKATES RONALD L<br>C/O CABOT MICROELECTRONICS CORPORATION<br>870 COMMONS DRIVE<br>AURORA, IL 60504 | X             |           |         |       |

## Signatures

H. Carol  
Bernstein                      10/07/2004

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Rights to acquire shares pursuant to Directors' Deferred Compensation Plan adopted March 12, 2001 as amended June 17, 2003.

(2) Rights to acquire shares pursuant to Directors' Deferred Compensation Plan are already earned.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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