E ON AG Form U-9C-3 November 26, 2002

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### SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C.

### **FORM U-9C-3**

### QUARTERLY REPORT PURSUANT TO RULE 58 OF THE PUBLIC UTILITY HOLDING COMPANY ACT OF 1935

For the Quarterly Period Ended September 30, 2002

E.ON AG

E.ON UK Verwaltungs GmbH
E.ON UK Public Limited Company
Powergen plc
Powergen US Holdings Limited
Powergen US Investments
Ergon US Investments
Powergen Luxembourg sarl
Powergen Luxembourg Holdings sarl
Powergen Luxembourg Investments sarl
Powergen US Investments Corp.

(Name of Registered Holding Company)

E.ON AG E.ON-Platz 1 40479 Dusseldorf Germany

(Address of Principal Executives Offices)

Inquiries concerning this Form U-9C-3 may be directed to:

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E.ON-Platz 1
40479 Dusseldorf
Germany

POWERGEN PLC

FORM U-9C-3 For the Quarter Ended September 30, 2002

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This report covers the quarter ended September 30, 2002.

Item 1. ORGANIZATION CHART

npany Organiz	of State/Country of ation Organization	Voting Securities Held	Nature of Business
(a) 6/16/0	00 Germany	n/a	Holding Company
(a) 5/5/0	0 Germany	100%	Holding Company
(a) 5/13/7	77 England and Wales	100%	Holding Company
(a) 6/19/9	98 England and Wales	n/a	Holding Company
(a) 4/22/9	99 England and Wales	100%	Holding Company
(a) 3/13/9	90 England and Wales	100%	Holding Company
(a) 12/4/0	00 Luxembourg	100%	Holding Company
(a) 12/2/0	00 Luxembourg	100%	Holding Company
(a) 12/2/0	00 Luxembourg	100%	Holding Company
(a) 12/2/0	00 Delaware	100%	Holding Company
(a) 11/14/	Kentucky	100%	Holding Company (exempt)
nergy 4/18/8	34 Oklahoma	100%	Power Marketing
nergy	Texas	15%	Development of software for energy-marketing activities
(a) 4/26/9	91 Kentucky	100%	Non-Utility Holding Company
nergy 7/11/9	77 Kentucky	100%	Consumer Lending Services
	(a) 6/16/6  (a) 5/5/0  (a) 5/13/7  (a) 6/19/9  (a) 4/22/9  (a) 12/2/0  (a) 12/2/0  (a) 12/2/0  (a) 12/2/0  (a) 11/14/1  (bergy 4/18/8  ergy  (a) 4/26/9	(a) 6/16/00 Germany  (a) 5/5/00 Germany  (a) 5/5/00 Germany  (a) 5/13/77 England and Wales  (a) 6/19/98 England and Wales  (a) 4/22/99 England and Wales  (a) 3/13/90 England and Wales  (a) 12/4/00 Luxembourg  (a) 12/2/00 Luxembourg  (a) 12/2/00 Delaware  (a) 11/14/89 Kentucky  (a) 11/14/89 Kentucky  (b) Germany  (c) England and Wales  (d) Luxembourg  (e) Comparison of the property of the propert	(a) 6/16/00 Germany n/a  (a) 5/5/00 Germany 100%  (a) 5/13/77 England and Wales 100%  (a) 6/19/98 England and Wales n/a  (a) 4/22/99 England and Wales 100%  (a) 3/13/90 England and Wales 100%  (a) 12/4/00 Luxembourg 100%  (a) 12/2/00 Luxembourg 100%  (a) 12/2/00 Delaware 100%  (a) 12/2/00 Delaware 100%  (a) 11/14/89 Kentucky 100%  ergy 4/18/84 Oklahoma 100%  ergy Texas 15%  (a) 4/26/91 Kentucky 100%

Name o Compa	of Reporting ny	Energy or Gas-related Company	Date of Organization	State/Country of Organization	Percentage of Voting Securities Held	Nature of Business		
	LNGCL Inc.	*	4/3/92	Delaware	100%	Inactive		
	LNGCG Inc.	*	4/3/92	Delaware	100%	Inactive		
	LG&E Home Services Inc.	Energy	1/22/96	Kentucky	100%	Appliance Repair and Warranty		
+ (a)	Acquisition of Powergen plc by E.ON AG was effective July 1, 2002.							
	Directly or indirect	tly holds securities in e	nergy-related companion	es				
*	Inactive							
**	Dissolved Novemb	per 19, 2002						
***	As of November 19, 2002, this entity is wholly owned by Powergen Luxembourg sarl							
gp	general partnership	interest						
lp	limited partnership	interest						

Name of Reporting Company	Energy or Gas-related Company	Date of Organization	State/Country of Organization	Percentage of Voting Securities Held	Nature of Business
Airborne Pollution Control Inc.****	Energy	12/10/98	Alberta, Canada	4.9%	Pollution and Waste Control Technology
LG&E Enertech Inc.	Energy	12/21/95	Kentucky	100%	Engineering, Energy Management and Consulting Services
LG&E Enertech Texas LLC	Energy	12/27/01	Kentucky	100%	Engineering, Energy Management and Consulting Services and Investor in Enertech Energy Development L.P.
Enertech Energy Development L.P.	Energy	12/31/01	Texas	1% gp 49% lp	Engineering, Energy Management and Consulting Services and Owner of HVAC Plant
FSF Minerals Inc.	Energy	12/28/98	Kentucky	100%	Owner of Coal Reserves
LG&E Capital Trimble County LLC	Energy	4/6/01	Delaware	100%	Lease and purchase of combustion turbine
LG&E Energy Privatization Services	*	7/13/98	Kentucky	100%	Inactive Inc.

Name of Reporting Company	Energy or Gas-related Company	Date of Organization	State/Country of Organization	Percentage of Voting Securities Held	Nature of Business
LG&E International Inc.	Energy	11/8/93	Delaware	100%	Management and Holding Company
LG&E Power Services LLC		6/29/01	Delaware	100%	Power facilities management and operations
WKE Corp.	(a)	5/1/98	Kentucky	100%	Investment Holding Company
LCC LLC	Energy	3/2/99	Kentucky	100%	Owns Options on Mineral Rights
FCD LLC	Energy	2/17/00	Kentucky	100%	Owns Options and Actual Riparian Rights
Excalibur Development LLC	*	2/17/00	Kentucky	100%	Inactive
LG&E Power Inc.	(a)	5/7/80	Delaware	100%	Holding Company for Non-Utility Investments
LG&E Power Engineers and Constructors Inc.	*	3/5/80	California	100%	Engineering and Project Inc. Management (Inactive)
LG&E Power Constructors Inc.	*	6/29/81	California	100%	Inactive
Ultraclean Incorporated	*	8/1/84	California	100%	Inactive

(a) Directly or indirectly holds securities in energy related companies

Inactive

\*\*\*\*

Acquired during the reporting period

gp general partnership interest

lp limited partnership interest

Name (	of Reporting Company	Energy or Gas-related Company	Date of Organization	State/Country of Organization	Percentage of Voting Securities Held	Nature of Business
	LG&E Power Operations Inc.	Energy	6/8/82	California	100%	Power Project Ownership, Management & Development
	LG&E/Kelso Power Partners L.P.	*	unknown	California	2% gp 49% lp	Inactive

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Name of Reporting Company	Energy or Gas-related Company	Date of Organization	State/Country of Organization	Percentage of Voting Securities Held	Nature of Business
HD/WS Corporation	*	12/11/85	California	100%	Inactive
LG&E-Westmoreland Rensselaer	*	6/15/92	California	50%	Inactive
LG&E Power 5 Incorporated	*	3/31/83	California	100%	Inactive
Babcock-Ultrapower West Enfield	*	unknown	California	17% gp	Inactive
LG&E Power 6 Incorporated	*	9/14/93	California	100%	Inactive
Babcock-Ultrapower Jonesboro	*	unknown	California	17% gp	Inactive
LG&E Power 14 Incorporated	*	4/6/89	California	100%	Inactive
LG&E Power 14-Buena Vista	*	unknown	California	45% gp	Inactive
LG&E Power 16 Incorporated	(a)	8/3/99	California	100%	Indirect Owner of Generation Facilities
LG&E Power Roanoke Incorporated	(a)	8/3/89	California	100%	Indirect Owner of Generation Facilities
LG&E Roanoke Valley LP	(a)	3/14/90	California	1% gp(1) 99% lp(2)	Indirect Owner of Generation Facilities
LG&E Power 18 Incorporated	*	6/9/88	California	100%	Inactive
LG&E Erie Partner Incorporated	*	11/16/90	California	100%	Inactive
Erie Power Partners L.P.	*	unknown	California	1% gp 49% lp	Inactive
LG&E Power 21 Incorporated	(a)	11/16/90	California	100%	Indirect Owner of Generation Facilities
LG&E Power 21 Wind Incorporated	(a)	12/23/93	California	100%	Indirect Owner of Generation Facilities
LG&E Power 21 L.P.	(a)	12/27/93	California	1% gp(3) 99% lp(4)	Indirect Owner of Power Generation Facilities

<sup>(1)</sup> directly held by LG&E Power 16 Incorporated

<sup>(2)</sup>  $\mbox{directly held by LG\&E Power Roanoke Incorporated}$ 

<sup>(3)</sup> directly held by LG&E Power 21 Incorporated

 $<sup>\</sup>label{eq:local_equation} \mbox{directly held by LG\&E Power 21 Wind Incorporated}$ 

(a) Directly or indirectly holds securities in energy-related companies

Inactive

gp general partnership interest

lp limited partnership interest

Name of Reporting Company	Energy or Gas-related Company	Date of Organization	State/Country of Organization	Percentage of Voting Securities Held	Nature of Business
LG&E Power 22 Incorporated	*	11/16/90	California	100%	Inactive
LG&E Power 29 Incorporated	*	11/16/93	California	100%	Inactive
LG&E Power 31 Incorporated	(a)	12/19/94	California	100%	Indirect Owner of Generation Facilities
LQ GP, LLC	(a)	3/17/01	Delaware	50%	Indirect Owner of Generation Facilities
LG&E Power 31 Wind Incorporated	(a)	12/19/94	California	100%	Indirect Owner of Generation Facilities
LG&E Power 31 L.P.	(a)	12/94	California	1% gp(5) 99% lp(6)	Indirect Owner of Generation Facilities
LQC LP LLC	(a)	3/17/01	Delaware	331/3%	Indirect Owner of Generation Facilities
LG&E Power 25 Incorporated	*	12/1/95	Delaware	100%	Inactive
LG&E Power 26 Incorporated	*	12/1/95	Delaware	100%	Inactive
American Power Incorporated	*	4/9/89	California	100%	Former Investor in EWG
LG&E Power Development Inc.	Energy	12/12/84	California	100%	Development of QFs and EWGs
LG&E Crown Inc.	Gas	4/14/2000	Delaware	100%	Prior Owner of Investment in Gas Gathering, Processing an Storage Facilities; Inactive
LG&E Minor Facilities Inc.	Gas	4/14/2000	Delaware	100%	Prior Owner of Investment in Gas Gathering, Processing an Storage Facilities; Inactive
Power Tex Parent Inc.	Gas	4/14/2000	Delaware	100%	Prior Owner of Investment in Gas Gathering, Processing an Storage Facilities; Inactive
Llano Gathering Inc.	Gas	4/20/2000	New Mexico	100%	Prior Owner of Investment in Gas Gathering, Processing an Storage Facilities; Inactive

(3)	directly held by LG&E Power 21 Incorporated
(4)	directly held by LG&E Power 21 Wind Incorporated
(a)	Directly or indirectly holds securities in energy-related companies
*	Inactive
gp	general partnership interest
lp	

(5) directly held by LG&E Power 31 Incorporated

(6) LG&E Power 31 Wind Incorporated

limited partnership interest

Name of Reporting Company	Energy or Gas-related Company	Date of Organization	State/Country of Organization	Percentage of Voting Securities Held	Nature of Business
Llano Storage Inc.	Gas	4/20/2000	New Mexico	100%	Prior Owner of Investment in Gas Gathering, Processing and Storage Facilities; Inactive
Ultrasystems Construction Co. Inc.	*	12/26/45	California	100%	Inactive
HD Energy Corporation	*	12/24/90	Delaware	100%	Inactive
Ultrafuels Incorporated	*	1/5/83	California	100%	Inactive
Ultrafuels 1 Incorporated	*	12/22/83	California	100%	Inactive
NuHPI, Inc.	*	11/25/91	Delaware	100%	Inactive
Ultrapower Biomass Fuels Corporation	*	12/11/85	California	100%	Inactive
Hadson Power Live Oak Incorporated	*	12/31/87	California	100%	Inactive
Hadson Financial Corporation	*	6/25/89	Delaware	100%	Inactive
LG&E Power	(a)	3/30/78	New Mexico	100%	Indirect Owner of Power

Name of Reporting Company	Energy or Gas-related Company	Date of Organization	State/Country of Organization	Percentage of Voting Securities Held	Nature of Business
Gregory I Inc.					Project
Gregory Power Partners LP	Energy	6/1/98	Texas	1% gp(7) 49% lp(8)	Owner and Developer of Power Project
LG&E Power Gregory II Inc.	(a)	5/18/98	Delaware	100%	Indirect Owner of Power Project
Gregory Partners LLC	Energy	6/2/98	Texas	1%(9) 49%(10)	Owner and Developer of Power Project
LG&E Power Gregory III Inc.	(a)	5/18/98	Delaware	100%	Indirect Owner of Power Project
LG&E Power Gregory IV Inc.	(a)	5/18/98	Delaware	100%	Indirect Owner of Power Project
Ultrasystems Small Power Incorporated	**	12/19/85	California	100%	Inactive
Ultrasystems Small Power I, Incorporated	*	10/22/86	California	100%	Inactive
LG&E Natural Industrial Marketing Co.	Energy	12/20/83	Colorado	100%	Natural Gas Marketing and Transmission

(a) Directly or indirectly holds securities in energy-related companies

Inactive

gp general partnership interest

lp limited partnership interest

(7) directly held by LG&E Power Gregory IV Inc.

 $\label{eq:local_control} \mbox{directly held by LG\&E Power Gregory I Inc.}$ 

 $\ensuremath{\mbox{(9)}}$  directly held by LG&E Power Gregory III Inc.

(10) directly held by LG&E Power Gregory II Inc.

Name of Reporting Company	Energy or Gas-related Company	Date of Organization	State/Country of Organization	Percentage of Voting Securities Held	Nature of Business
Hadson Fuels, Inc.	*	4/22/80	Oklahoma	100%	Inactive
Triple T Services,	*	2/11/86	Oklahoma	100%	Inactive

Name of Reporting Company	Energy or Gas-related Company	Date of Organization	State/Country of Organization	Percentage of Voting Securities Held	Nature of Business
Inc.					
LG&E Natural Canada Inc.	*	1/15/86	Canada	100%	Inactive
LG&E Fuels Services Inc.	Energy	7/2/98	Delaware	100%	Investment in Alternative Fuels
KUCC Grimes Corporation.	Energy	7/6/98	Delaware	100%	Former investor in OF development
KUCC Grimes GP Corporation	Energy	7/6/98	Delaware	100%	Former investor in OF development
KUCC Grimes LP Corporation	Energy	7/6/98	Delaware	100%	Former investor in OF development
KUCC Paris Corporation	(a)	6/20/94	Kentucky	100%	Indirect Owner of Investment in Power Project
Tenaska III Partners Ltd.	(a)	unknown	Texas	15%	Indirect Investment in QF
Tenaska III Texas Partners	Energy	unknown	Texas	06%	Interest in QF
KUCC Ferndale Corporation	(a)	7/12/94	Kentucky	100%	Indirect Owner of Investment in Power Project
Tenaska Washington Partners L.P.	Energy	unknown	Washington	5%	QF
Tenaska Washington Partners II, L.P.				10%	
KUCC Portland 34 Corporation	(a)	8/15/94	Kentucky	100%	Inactive
Portland 34 LTD Corporation	(a)	9/20/94	Kentucky	100%	Inactive
Portland 34 L.P.	*	unknown	Kentucky	100%	Inactive
KUCC Development Corporation	*	1/31/95	Kentucky	100%	Inactive
KU Solutions Corporation	Energy	11/21/95	Kentucky	100%	Energy Marketing and Services

<sup>(</sup>a) Directly or indirectly holds securities in energy-related companies

<sup>\*</sup> Inactive

gp general partnership interest

lp limited partnership interest

#### Narrative Description of Activities of Each Reporting Company during Period

LG&E Energy Marketing Inc. conducts asset-based energy marketing and trading activities, including brokering and marketing of electric power and natural gas.

LG&E Capital Corp., directly and through it subsidiaries and affiliates, including WKE Corp., LG&E Power Inc., LG&E Power Operations Inc. and other subsidiaries, is a holding company for non-utility domestic energy and energy related operations, investments, activities and services. These include domestic power generation facilities in Washington (the KUCC Ferndale entities), Texas (the KUCC Paris entities) and Oregon (the Portland 34 entities), all of which are, or are planning to apply to be, EWG's, QF's or both.

LG&E Credit Corp. and LG&E Home Services Inc. formerly provided energy-related financing, appliance repair, consumer lighting and other services to customers.

LG&E Enertech Inc. provides energy-related consulting, installation and financing services to industrial, commercial and institutional businesses.

WKE Corp. and its subsidiaries lease and operate power generation facilities in western Kentucky and associated facilities, including coal or fuel related assets.

LG&E Power Inc. conducts non-utility domestic energy operations and activities through its subsidiaries and affiliates. These interests or operations relate to domestic power generation facilities in North Carolina (the Power Roanoke entities), California-Minnesota (the Power 21 entities) and Texas (the Power 31 and Power Gregory entities), all of which are, or are planning to apply to be, EWG's, QF's or both.

LG&E International Inc. owns interests in FUCOs in Argentina and Spain. A subsidiary, LG&E Power Services LLC, provides operation and maintenance services pursuant to contracts with affiliated and unaffiliated domestic power generation facilities in North Carolina, California, Minnesota, Texas and Virginia.

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#### Item 2. ISSUANCES AND RENEWALS OF SECURITIES AND CAPITAL CONTRIBUTIONS

Company Issuing Security	Type of Security Issued	Principal Amount of Security	Issue or Renewal	Cost of Capital	Person to Whom Security was Issued	Collateral Given with Security	Consideration Received for Each Security
LG&E Capital Corp.	Intercompany Loan	\$ (74,098,000)*			Due to affiliates	N/A	
LG&E Energy Marketing, Inc.	Intercompany Loan	\$ 64,423,000*			Due to affiliates	N/A	

Reflects net change in intercompany loans during the reporting period.

With respect to transactions with an associate company:

Company Contributing Capital	Company Receiving Capital	Caj	Amount of pital Contribution
LG&E Energy Corp.	LG&E Capital Corp.	\$	75,000,000

### Item 3. ASSOCIATED TRANSACTIONS

Part I. Transactions Performed by Reporting Companies on behalf of Associate Companies.

Reporting Company Rendering Services	Associate Company Receiving Services	Types of Services Rendered	Direct Costs Charged	Indirect Costs Charged	Cost of Capital	Total Amount Billed
Enertech	Services Company	Miscellaneous services	2,133,426			2,133,426
Enertech	LCC	Miscellaneous services	2,252,351			2,252,351
Home Services	Services Company	Miscellaneous services	31,894			31,894
Home Services	LG&E Utility	Miscellaneous services	28,309			28,309
Home Services	LCC	Miscellaneous services	35,311			35,311
Home Services	Kentucky Utilities	Miscellaneous services	387			387
LG&E Power Inc.	LG&E Power	Convenience payments	415			415
	Development Inc.	• •				
LG&E Power Inc.	LG&E Power Services LLC	Miscellaneous services	491,891			491,891
LG&E Power Inc.	LG&E Power Operations Inc.	Labor related	83,359			83,359
		Miscellaneous services	3,761,779			3,761,779
		Convenience payments	2,662			2,662
LG&E Power Inc.	LG&E Power 12 Inc.	Miscellaneous services	512,905			512,905
LG&E Power Inc.	LG&E Power 13 Inc.	Miscellaneous services	860,807			860,807
LG&E Power Inc.	LG&E Altavista Inc.	Miscellaneous services	22,279			22,279
LG&E Power Inc.	LG&E Hopewell Inc.	Miscellaneous services	163,555			163,555
LG&E Power Inc.	LEM	Miscellaneous services	6,151			6,151
LG&E Power Inc.	LG&E Natural Marketing Inc.	Convenience payments	49,527			49,527
		Miscellaneous services	2,719			2,719
LG&E Power Inc.	WKEC	Miscellaneous services	31,864			31,864
LG&E Power Inc.	Llano Gathering	Convenience payments	18,056			18,056
LG&E Power		Ç 1 P, 1	,			
Engineers &						
•	LG&E Power Inc.	Miscellaneous services 8	84,545			84,545
Constructors Inc.	LG&E Power Inc.		84,545			84,545
Engineers & Constructors Inc.  LG&E Power Services LLC	LG&E Power Inc.  LG&E Power Inc.		1,364			
Constructors Inc.  LG&E Power		8				1,364
Constructors Inc.  LG&E Power Services LLC  LG&E Power	LG&E Power Inc. LG&E Power Operations	8 Convenience payments	1,364			1,364 5,535
Constructors Inc.  LG&E Power Services LLC  LG&E Power	LG&E Power Inc.	Convenience payments Labor related Miscellaneous services	1,364 5,535 585,416			1,364 5,535 585,416
Constructors Inc.  LG&E Power Services LLC  LG&E Power Services LLC  LG&E Power	LG&E Power Inc.  LG&E Power Operations Inc.  LG&E Power Monroe	Convenience payments Labor related	1,364 5,535			1,364 5,535 585,416 4,627
Constructors Inc.  LG&E Power Services LLC  LG&E Power Services LLC  LG&E Power Services LLC	LG&E Power Inc.  LG&E Power Operations Inc.  LG&E Power Monroe LLC	Convenience payments Labor related Miscellaneous services Convenience payments Labor related	1,364 5,535 585,416 4,627 23			1,362 5,535 585,416 4,627 23
LG&E Power Services LLC	LG&E Power Inc.  LG&E Power Operations Inc.  LG&E Power Monroe	Convenience payments Labor related Miscellaneous services Convenience payments	1,364 5,535 585,416 4,627			1,362 5,535 585,416 4,627 23
Constructors Inc.  LG&E Power Services LLC  LG&E Power	LG&E Power Inc.  LG&E Power Operations Inc.  LG&E Power Monroe LLC LG&E Southhampton Inc.	Convenience payments Labor related Miscellaneous services Convenience payments Labor related Miscellaneous services	1,364 5,535 585,416 4,627 23 57,500			1,362 5,535 585,416 4,627 23 57,500
Constructors Inc.  LG&E Power Services LLC	LG&E Power Inc.  LG&E Power Operations Inc.  LG&E Power Monroe LLC LG&E Southhampton Inc.	Convenience payments Labor related Miscellaneous services Convenience payments Labor related Miscellaneous services  Miscellaneous services	1,364 5,535 585,416 4,627 23 57,500			1,364 5,535 585,416 4,627 23 57,500
Constructors Inc.  LG&E Power Services LLC  LG&E Power	LG&E Power Inc.  LG&E Power Operations Inc.  LG&E Power Monroe LLC LG&E Southhampton Inc.	Convenience payments Labor related Miscellaneous services Convenience payments Labor related Miscellaneous services Miscellaneous services Miscellaneous services	1,364 5,535 585,416 4,627 23 57,500			1,364 5,535 585,416 4,627 23 57,500
Constructors Inc.  LG&E Power Services LLC  LG&E Power Operations	LG&E Power Inc.  LG&E Power Operations Inc.  LG&E Power Monroe LLC LG&E Southhampton Inc.  LEM LG&E Power Development Inc.	Convenience payments Labor related Miscellaneous services Convenience payments Labor related Miscellaneous services Miscellaneous services Miscellaneous services Convenience payments	1,364 5,535 585,416 4,627 23 57,500			1,364 5,535 585,416 4,627 23 57,500
Constructors Inc.  LG&E Power Services LLC  LG&E Power Operations	LG&E Power Inc.  LG&E Power Operations Inc.  LG&E Power Monroe LLC LG&E Southhampton Inc.  LEM LG&E Power Development Inc.  LG&E Power Engineers & Constructors Inc.	Convenience payments Labor related Miscellaneous services Convenience payments Labor related Miscellaneous services Miscellaneous services Miscellaneous services Convenience payments Miscellaneous services	1,364 5,535 585,416 4,627 23 57,500 13 36 55,656 4,247			1,364 5,535 585,416 4,627 23 57,500 13 36 55,656 4,247
Constructors Inc.  LG&E Power Services LLC  LG&E Power Operations  LG&E Power Operations  LG&E Power	LG&E Power Inc.  LG&E Power Operations Inc.  LG&E Power Monroe LLC LG&E Southhampton Inc.  LEM LG&E Power Development Inc.  LG&E Power Engineers &	Convenience payments Labor related Miscellaneous services Convenience payments Labor related Miscellaneous services Miscellaneous services Miscellaneous services Convenience payments	1,364 5,535 585,416 4,627 23 57,500			1,364 5,535 585,416 4,627 23 57,500 13 36 55,656 4,247
Constructors Inc.  LG&E Power Services LLC  LG&E Power Operations  LG&E Power Operations  LG&E Power Operations  LG&E Power Operations  LG&E Power	LG&E Power Inc.  LG&E Power Operations Inc.  LG&E Power Monroe LLC LG&E Southhampton Inc.  LEM LG&E Power Development Inc.  LG&E Power Engineers & Constructors Inc. LG&E Power Constructors	Convenience payments Labor related Miscellaneous services Convenience payments Labor related Miscellaneous services Miscellaneous services Miscellaneous services Convenience payments Miscellaneous services	1,364 5,535 585,416 4,627 23 57,500 13 36 55,656 4,247			1,364 5,535 585,416 4,627 23 57,500 13 36 55,656 4,247 4,355
Constructors Inc.  LG&E Power Services LLC  LG&E Power Operations  LG&E Power	LG&E Power Inc.  LG&E Power Operations Inc.  LG&E Power Monroe LLC LG&E Southhampton Inc.  LEM LG&E Power Development Inc.  LG&E Power Engineers & Constructors Inc. LG&E Power Constructors Inc. LG&E Power Services LLC LG&E Power Monroe	Convenience payments Labor related Miscellaneous services Convenience payments Labor related Miscellaneous services Miscellaneous services Miscellaneous services Convenience payments Miscellaneous services Miscellaneous services Miscellaneous services	1,364 5,535 585,416 4,627 23 57,500 13 36 55,656 4,247 4,355			1,364 5,535 585,416 4,627 23 57,500 13 36 55,656 4,247 4,355 19,719
Constructors Inc.  LG&E Power Services LLC  LG&E Power Operations  LG&E Power	LG&E Power Inc.  LG&E Power Operations Inc.  LG&E Power Monroe LLC LG&E Southhampton Inc.  LEM LG&E Power Development Inc.  LG&E Power Engineers & Constructors Inc. LG&E Power Constructors Inc. LG&E Power Services LLC LG&E Power Monroe LLC LG&E Power 16	Convenience payments Labor related Miscellaneous services Convenience payments Labor related Miscellaneous services Miscellaneous services Convenience payments Miscellaneous services Convenience payments Miscellaneous services Labor related	1,364 5,535 585,416 4,627 23 57,500  13 36 55,656 4,247 4,355 19,719			1,364 5,535 585,416 4,627 23 57,500 13 36 55,656 4,247 4,355 19,719
LG&E Power Services LLC  LG&E Power Operations  LG&E Power	LG&E Power Inc.  LG&E Power Operations Inc.  LG&E Power Monroe LLC LG&E Southhampton Inc.  LEM LG&E Power Development Inc.  LG&E Power Engineers & Constructors Inc. LG&E Power Constructors Inc. LG&E Power Services LLC LG&E Power Monroe LLC LG&E Power 16 Incorporated LG&E Power Roanoke	Convenience payments Labor related Miscellaneous services Convenience payments Labor related Miscellaneous services Miscellaneous services Convenience payments Miscellaneous services Convenience payments Miscellaneous services Labor related Convenience payments	1,364 5,535 585,416 4,627 23 57,500  13 36 55,656 4,247 4,355 19,719 691			1,364 5,535 585,416 4,627 23 57,500 13 36 55,656 4,247 4,355 19,719 691 2,200
LG&E Power Services LLC  LG&E Power Operations	LG&E Power Inc.  LG&E Power Operations Inc.  LG&E Power Monroe LLC LG&E Southhampton Inc.  LEM LG&E Power Development Inc.  LG&E Power Engineers & Constructors Inc. LG&E Power Constructors Inc. LG&E Power Services LLC LG&E Power Monroe LLC LG&E Power 16 Incorporated	Convenience payments Labor related Miscellaneous services Convenience payments Labor related Miscellaneous services Miscellaneous services Miscellaneous services Convenience payments Miscellaneous services Labor related Convenience payments Convenience payments	1,364 5,535 585,416 4,627 23 57,500  13 36 55,656 4,247 4,355 19,719 691 2,200			1,364 5,535 585,416 4,627 23 57,500 13 36 55,656 4,247 4,355

FSF Minerals Inc.         LCC         Miscellaneous services         851           FSF Minerals Inc.         LEC         Miscellaneous services         2,943         2,           KUCC Ferndale         Corporation         LEC         Miscellaneous services         35,820         35,           KU Solutions         LEC         Miscellaneous services         32,130         32,           KU Solutions         LCC         Miscellaneous services         77,182         77,           LCC LLC         WKEC         Miscellaneous services         7,539         7,           FCD LLC         LCC         Miscellaneous services         185,499         185,           FCD LLC         WKEC         Miscellaneous services         9,145         9,           FCD LLC         LEC         Miscellaneous services         26,662         26,           LG&E Power         LG&E Power Inc.         Miscellaneous services         290,080         290,           LG&E Power Operations         Miscellaneous services         717,014         717,	0,037 1,000 851 2,943 5,820 2,130
Operations LG&E PowerInc.OperationsKUCC DevelopmentMiscellaneous services1,0001,FSF Minerals Inc.LCCMiscellaneous services8512,FSF Minerals Inc.LECMiscellaneous services2,9432,9KUCC FerndaleCorporationLECMiscellaneous services35,82035,KU SolutionsLECMiscellaneous services32,13032,KU SolutionsLCCMiscellaneous services77,18277,LCC LLCWKECMiscellaneous services7,5397,FCD LLCLCCMiscellaneous services185,499185,FCD LLCWKECMiscellaneous services9,1459,FCD LLCLECMiscellaneous services9,1459,FCD LLCLECMiscellaneous services26,66226,LG&E PowerLG&E Power Inc.Miscellaneous services290,080290,LG&E Power OperationsMiscellaneous services717,014717,014	1,000 851 2,943 5,820
LG&E Power         Operations         KUCC Development         Miscellaneous services         1,000         1,           FSF Minerals Inc.         LCC         Miscellaneous services         851           FSF Minerals Inc.         LEC         Miscellaneous services         2,943         2,943           KUCC Ferndale         Corporation         LEC         Miscellaneous services         35,820         35,820           KU Solutions         LEC         Miscellaneous services         32,130         32,82           KU Solutions         LCC         Miscellaneous services         77,182         77,           LCC LLC         WKEC         Miscellaneous services         7,539         7,           FCD LLC         LCC         Miscellaneous services         185,499         185,499           FCD LLC         WKEC         Miscellaneous services         9,145         9,           FCD LLC         LEC         Miscellaneous services         26,662         26,           LG&E Power         LG&E Power Inc.         Miscellaneous services         290,080         290,           LG&E Power Operations         Miscellaneous services         717,014         717,014	851 2,943 5,820
FSF Minerals Inc. LCC Miscellaneous services 2,943 2,  KUCC Ferndale  Corporation LEC Miscellaneous services 35,820 35,  KU Solutions LEC Miscellaneous services 32,130 32,  KU Solutions LCC Miscellaneous services 77,182 77,  LCC LLC WKEC Miscellaneous services 7,539 7,  FCD LLC LCC Miscellaneous services 185,499 185,  FCD LLC WKEC Miscellaneous services 9,145 9,  FCD LLC LEC Miscellaneous services 26,662 26,  LG&E Power Gregory I LG&E Power Inc. Miscellaneous services 290,080 290,  LG&E Power LG&E Power Operations Miscellaneous services 717,014 717,	851 2,943 5,820
FSF Minerals Inc.         LCC         Miscellaneous services         851           FSF Minerals Inc.         LEC         Miscellaneous services         2,943         2,           KUCC Ferndale         Corporation         LEC         Miscellaneous services         35,820         35,           KU Solutions         LEC         Miscellaneous services         32,130         32,           KU Solutions         LCC         Miscellaneous services         77,182         77,           LCC LLC         WKEC         Miscellaneous services         7,539         7,           FCD LLC         LCC         Miscellaneous services         185,499         185,           FCD LLC         WKEC         Miscellaneous services         9,145         9,           FCD LLC         LEC         Miscellaneous services         26,662         26,           LG&E Power         LG&E Power Inc.         Miscellaneous services         290,080         290,           LG&E Power Operations         Miscellaneous services         717,014         717,	2,943 5,820
KUCC Ferndale           Corporation         LEC         Miscellaneous services         35,820         35,820           KU Solutions         LEC         Miscellaneous services         32,130         32,82           KU Solutions         LCC         Miscellaneous services         77,182         77,182           LCC LLC         WKEC         Miscellaneous services         7,539         7,539           FCD LLC         LCC         Miscellaneous services         185,499         185,499           FCD LLC         WKEC         Miscellaneous services         9,145         9,           FCD LLC         LEC         Miscellaneous services         26,662         26,           LG&E Power         LG&E Power Inc.         Miscellaneous services         290,080         290,           LG&E Power         LG&E Power Operations         Miscellaneous services         717,014         717,014	5,820
Corporation         LEC         Miscellaneous services         35,820         35,820           KU Solutions         LEC         Miscellaneous services         32,130         32,82           KU Solutions         LCC         Miscellaneous services         77,182         77,182           LCC LLC         WKEC         Miscellaneous services         7,539         7,539           FCD LLC         LCC         Miscellaneous services         185,499         185,499           FCD LLC         WKEC         Miscellaneous services         9,145         9,           FCD LLC         LEC         Miscellaneous services         26,662         26,           LG&E Power         LG&E Power Inc.         Miscellaneous services         290,080         290,           LG&E Power LG&E Power Operations         Miscellaneous services         717,014         717,	
KU Solutions         LEC         Miscellaneous services         32,130         32,           KU Solutions         LCC         Miscellaneous services         77,182         77,           LCC LLC         WKEC         Miscellaneous services         7,539         7,           FCD LLC         LCC         Miscellaneous services         185,499         185,           FCD LLC         WKEC         Miscellaneous services         9,145         9,           FCD LLC         LEC         Miscellaneous services         26,662         26,           LG&E Power         Gregory I         LG&E Power Inc.         Miscellaneous services         290,080         290,           LG&E Power         LG&E Power Operations         Miscellaneous services         717,014         717,	
KU Solutions         LCC         Miscellaneous services         77,182         77,           LCC LLC         WKEC         Miscellaneous services         7,539         7,           FCD LLC         LCC         Miscellaneous services         185,499         185,           FCD LLC         WKEC         Miscellaneous services         9,145         9,           FCD LLC         LEC         Miscellaneous services         26,662         26,           LG&E Power         Gregory I         LG&E Power Inc.         Miscellaneous services         290,080         290,           LG&E Power         LG&E Power Operations         Miscellaneous services         717,014         717,	130
LCC LLC         WKEC         Miscellaneous services         7,539         7,           FCD LLC         LCC         Miscellaneous services         185,499         185,           FCD LLC         WKEC         Miscellaneous services         9,145         9,           FCD LLC         LEC         Miscellaneous services         26,662         26,           LG&E Power         Gregory I         LG&E Power Inc.         Miscellaneous services         290,080         290,           LG&E Power         LG&E Power Operations         Miscellaneous services         717,014         717,	2,130
FCD LLC         LCC         Miscellaneous services         185,499         185,499           FCD LLC         WKEC         Miscellaneous services         9,145         9,           FCD LLC         LEC         Miscellaneous services         26,662         26,           LG&E Power         Gregory I         LG&E Power Inc.         Miscellaneous services         290,080         290,           LG&E Power         LG&E Power Operations         Miscellaneous services         717,014         717,	7,182
FCD LLC WKEC Miscellaneous services 9,145 9, FCD LLC LEC Miscellaneous services 26,662 26, LG&E Power Gregory I LG&E Power Inc. Miscellaneous services 290,080 290, LG&E Power LG&E Power Operations Miscellaneous services 717,014 717,	7,539
FCD LLC LEC Miscellaneous services 26,662 26,000 26	5,499
LG&E Power Gregory I LG&E Power Inc. Miscellaneous services 290,080 290, LG&E Power LG&E Power Operations Miscellaneous services 717,014 717,014	9,145
Gregory I LG&E Power Inc. Miscellaneous services 290,080 290, LG&E Power LG&E Power Operations Miscellaneous services 717,014 717,014	5,662
LG&E Power Operations Miscellaneous services 717,014 717,	
	0,080
	7,014
Gregory II Inc.	
LG&E Power LG&E Power Operations Miscellaneous services 14,655 14,	1,655
Gregory III Inc.	
LG&E Power	
	5,920
Llano Gathering LG&E Power Inc. Miscellaneous services 108	108
Llano Gathering LG&E Power Services Miscellaneous services 45,963 45,	5,963
LLC	
LEM LCC Miscellaneous services 226,968 226,	5,968
9	

Part II Transactions Performed by Associate Companies on behalf of Reporting Companies.

Reporting Company Rendering Services	Associate Company Receiving Services	Types of Services Rendered	Direct Costs Charged	Indirect Costs Charged	Cost of Capital	Total Amount Billed
LG&E Utility	Home Services	Miscellaneous services	184			184
LG&E Utility	Enertech	Miscellaneous services	108			108
LG&E Utility	KU Solutions	Miscellaneous services	18,670			18,670
Kentucky Utilities	KU Solutions	Labor related	51,871			51,871
•		Convenience payments	52,151			52,151
Kentucky Utilities	Home Services	Miscellaneous services	427			427
Services Company	Enertech	Miscellaneous services	1,233,434	9		1,233,443
Services Company	Home Services	Miscellaneous services	472,123			472,123
Services Company	KU Solutions	Miscellaneous services	233			233
Services Company	FSF Minerals Inc.	Miscellaneous services	148			148
Services Company	LG&E Power Inc.	Miscellaneous services	0	50,612		50,612
Services Company	LEM	Miscellaneous services	3,006,616	438,102		3,444,718
WKEC	FCD LLC	Miscellaneous services	2,020			2,020
WKEC	FSF Minerals	Miscellaneous services	296			296
LEC	Enertech	Miscellaneous services	179,551			179,551
LEC	Home Services	Miscellaneous services	266,859			266,859
LEC	KUCC Paris Corporation	Miscellaneous services	104,241			104,241
LEC	KUCC Ferndale Corporation	Miscellaneous services	157,486			157,486
LEC	LCC LLC	Miscellaneous services	3,328			3,328
LEC	LEM	Miscellaneous services	70,560			70,560
LCC	Enertech	Miscellaneous services	833,393			833,393
LCC	Home Services	Miscellaneous services	20,332			20,332
LCC	FSF Minerals Inc.	Miscellaneous services	90,245			90,245
LCC	LCC LLC	Miscellaneous services	9,988			9,988
LCC	FCD LLC	Miscellaneous services	51,069			51,069
LG&E Power						
Constructors Inc.	LG&E Power Inc.	Miscellaneous services	51,925			51,925
LG&E Southampton						
Inc.	LG&E Power Inc.	Miscellaneous services	68,679			68,679
LEM	LG&E Power Engineers & Constructors Inc.	Convenience payments	12			12
	LG&E Power Operations	Miscellaneous services	160			160

Reporting Company Rendering Services	Associate Company Receiving Services	Types of Services Rendered	Direct Costs Charged	Indirect Costs Charged	Cost of Capital	Total Amount Billed
LG&E Natural Marketing Inc.						
LG&E Power 5 Inc.	LG&E Power Operations Inc.	Miscellaneous services	893,792			893,792
LG&E Power Monroe LLC	LG&E Power Operations	Miscellaneous services	714			714
LG&E Power 11						
Incorporated	LG&E Power Inc.	Miscellaneous services	5,012,590			5,012,590
LG&E Power 11	LG&E Power Engineers	Miscellaneous services	517,500			517,500
Incorporated	& Constructors Inc.					
LG&E Altavista Inc.	LG&E Power Operations Inc.	Miscellaneous services	8,164			8,164
LG&E Hopewell Inc.	LG&E Power Operations Inc.	Miscellaneous services	1,111			1,111
LG&E Power 16 Incorporated	LG&E Power Operations	Miscellaneous services	14,745			14,745
LG&E Power 31 Wind Inc.	LG&E Power Operations	Miscellaneous services	53,949			53,949
LG&E Power Roanoke Inc.	LG&E Power Operations	Miscellaneous services	1,392,659			1,392,659
LG&E Power 31 Inc.	LG&E Power Operations	Miscellaneous services	2,275			2,275
KUCC Paris	LG&E Power Operations	Miscellaneous services	120,000			120,000
Corporation		10				
WHOCE III	LCOED O	N.C. 11 '	420.025			420.025
KUCC Ferndale Corporation	LG&E Power Operations	Miscellaneous services	438,835			438,835
LG&E Power						
Development Inc.	LG&E Power Inc.	Miscellaneous services	8,515			8,515
LG&E Power	LG&E Power Operations	Miscellaneous services	6,048			6,048
Development Inc.	EGGET ower operations	Wilsechaneous services	0,010			0,010
			73,776			73,776
Company Names:						
LEC	LG&E Energy Corp.					
LCC						
	LG&E Capital Corp.					
LG&E Utility	Louisville Gas and Ele					
Kentucky Utilities	Kentucky Utilities Co					
WKEC	Western Kentucky En	ergy Corp.				
Enertech	LG&E Enertech Inc.					
Home Services	LG&E Home Services	Inc.				
KU Solutions	KU Solutions Corpora	tion				
LEM	LG&E Energy Market	ing Inc.				
Services Company	LG&E Energy Service					
LG&E Power	LG&E Power Operation					
Operations	1					
•		11				

### Item 4. SUMMARY OF AGGREGATE INVESTMENT

Investments in energy-related companies:		
Total consolidated capitalization as of September 30, 2002	\$ 101,339,344,000	Line 1
Total capitalization multiplied by 15% (Line 1 multiplied by 0.15)	\$ 15,200,901,600	Line 2
Greater of \$50 million or Line 2	\$ 15,200,901,600	Line 3

Total current aggregate investment*: (categorized by major line of energy-related business)	
Energy-related business Category Rule 58(b)(1)(i) (1)	\$ 3,576,324
Energy-related business Category Rule 58(b)(1)(iv) (2)	\$ 4,513,138
Energy-related business Category Rule 58(b)(1)(v) (3)	\$ 815,641,543
Energy-related business Category Rule 58(b)(1)(vii) (4)	\$ 9,536,595
Energy-related business Category Rule 58(b)(1)(viii) (5)	\$ 282,321,782
Energy-related business Category Rule 58(b)(1)(ix) (6)	\$ 6,377,445
Total current aggregate investment	\$ 1,121,966,827 Line 4
Difference between the greater of \$50 million or 15% of Capitalization and the total aggregate investment of the Registered holding company system (line 3 less line 4)	\$ 14,078,934,773 Line 5

Includes aggregate investment in energy-related companies made prior to March 24, 1997.

- (1) Rule 58(b)(1)(i) the rendering of energy management services and demand-side management services.
- Rule 58(b)(1)(iv) the sale of electric and gas appliances; equipment to promote new technologies, or new applications for existing technologies, that use gas or electricity; and equipment that enables the use of gas or electricity as an alternate fuel; and the installation and servicing thereof.
- (3) Rule 58(b)(1)(v) the brokering and marketing of energy commodities, including but not limited to electricity, natural or manufactured gas and other combustible fuels.
- Rule 58(b)(1)(vii) the sale of technical, operational, management, and other similar kinds of services and expertise, developed in the course of utility operations in such areas as power plant and transmission system engineering, development, design and rehabilitation; construction; maintenance and operation; fuel procurement, delivery and management; and environmental licensing, testing and redemption.
- (5) Rule 58(b)(1)(viii) the development, ownership or operation of "qualifying facilities," as defined under the Public Utility Regulatory Policies Act of 1978, as amended ("PURPA"), and any integrated thermal, steam host, or other necessary facility constructed, developed or acquired primarily to enable the qualifying facility to satisfy the useful thermal output requirements under PURPA.
- (6) Rule 58(b)(1)(ix) the ownership, operation and servicing of fuel procurement, transportation, handling and storage facilities, scrubbers, and resource recovery and waste water treatment facilities.

Investments in gas-related companies:	
Total current aggregate investment:	
(categorized by major line of gas-related business)	
Gas-related business Category Rule 58(b)(2)(i) (1)	
Gas-related business Category Rule 58(b)(2)(ii) (2)	\$ 0
Total current aggregate investment	\$ 0

- (1) Rule 58(b)(2)(i) activities permitted under Section 2(a) of the Gas-Related Activities Act of 1990, 104 Stat. 2810.
- (2) Rule 58(b)(2)(ii) activities specified in Section 2(b) of the Gas-Related Activities Act of 1990, 104 Stat. 2810.

### **Item 5. OTHER INVESTMENTS**

Major Line of Energy- Related Business	 er Investment in t U-9C-3 Report	Other Investment in this U-9C-3		Reason for Difference in Other Investment
Gas related businesses	\$ 22,108,268	\$	21,149,942	Changes in intercompany advances from 06/30 amounts.
Pipeline construction investments (CRC Evans and affiliates)	\$ 128,570,486	\$	125,746,945	Changes in intercompany advances from 06/30 amount.
International investments (Argentine gas distribution and Spanish generation)	\$ 42,170,251	\$	43,133,741	Changes in investment amounts from 06/30 amount.
Consumer credit investments (LG&E Credit Corp)	\$ 298,303	\$	293,156	Changes in intercompany advances from 06/30 amount.
Investment in development of combustion turbines (LG&E Capital Corp.)	\$ 290,364,000	\$	291,266,000	Change due to additional investments in combustion turbines during the third quarter 2002.
Investment in special purpose entities used for accounts receivable securitization programs (Louisville Gas & Electric and Kentucky Utilities)	\$ 52,340,149	\$	64,269,014	Change in payables to associated companies from 06/30 amount.
Unregulated generation investments	\$ 11,107,578	\$	28,580,299	Changes in intercompany advances from 06/30 amount.
			13	

### Item 6. FINANCIAL STATEMENTS AND EXHIBITS

### A. Financial Statements:

Exhibit A-1		Financial statements for Reporting Companies for the quarter ended September 30, 2002. (Filed in paper under cover of Form SE; Confidential treatment requested pursuant to Rule 104(b), 17 CFR 250-104(b).)				
	FS-1 FS-2	LG&E Enertech LG&E Home Services				
	FS-3	Hadson Financial (Co. 851)				
	FS-4	LG&E Natural Industrial Marketing (Co. 853)				
	FS-5	LG&E Natural Canada (Co. 854)				
	FS-6	LG&E Natural Marketing Canada (Co. 855)				

		FS-7	LG&E Power Inc. consolidated
		FS-8	LG&E Energy Marketing Inc.
		FS-9	KU Solutions
		FS-10	KUCC Paris Corporation
		FS-11	KUCC Ferndale Corporation
		FS-12	American Power, Inc. (Co. 52)
		FS-13	Llano Gathering Inc. (Co. 930)
		FS-14	Llano Storage Inc. (Co. 931)
		FS-15	LG&E Minor Facilities Inc. (Co. 932)
		FS-16	Power Tex Parent Inc. (Co. 933)
		FS-17	LG&E Crown Inc. (Co. 936)
		FS-18	LG&E Power Engineers and Constructors
		FS-19	LG&E Power Services, Inc.
		FS-20	LG&E Power Operations
		FS-21	Gregory Partners, LLC
		FS-22	Gregory Power Partners, L.P.
		FS-23	LG&E Power Gregory I, Inc.
		FS-24	LG&E Power Gregory II, Inc.
		FS-25	LG&E Power Gregory III, Inc.
		FS-26	LG&E Power Gregory IV, Inc.
		FS-27	FSF Minerals Inc.
		FS-28	LCC LLC
		FS-29	FCD LLC
		FS-30	Westmoreland-LG&E Partners Rova II
ъ	T71-11-14		

B. Exhibits:

Exhibit B-1 Copies of contracts required to be provided by Item 3

None.

Exhibit B-2 Officer's Certification

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### **SIGNATURE**

The undersigned registered holding company has duly caused this quarterly report to be signed on its behalf by the undersigned officer thereunto duly authorized pursuant to the requirements of the Public Utility Holding Company Act of 1935.

Date: November 25, 2002 E.ON AG

By: /s/ ULRICH HUEPPE

Name: Ulrich Hueppe

Title: Executive Vice President

By: /s/ DR. GUNTRAM WUERZBERG

Name: Dr. Guntram Wuerzberg

Title: Vice President

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Exhibit B-2

#### **CERTIFICATE**

I hereby certify that the Form U-9C-3 for E.ON AG for the previous quarter has been provided to the state commissions listed below:

Kentucky Public Service Commission 211 Sower Boulevard Frankfurt, KY 40601

Virginia State Corporation Commission 1300 E. Main Street Richmond, VA 23219

Date: November 25, 2002 E.ON AG

By: /s/ ULRICH HUEPPE

Name: Ulrich Hueppe

Title: Executive Vice President

By: /s/ DR. GUNTRAM WUERZBERG

Name: Dr. Guntram Wuerzberg

Title: Vice President

### QuickLinks

### POWERGEN PLC FORM U-9C-3 For the Quarter Ended September 30, 2002 Table of Contents

Item 1. ORGANIZATION CHART

Narrative Description of Activities of Each Reporting Company during Period

Item 2. ISSUANCES AND RENEWALS OF SECURITIES AND CAPITAL CONTRIBUTIONS

Item 3. ASSOCIATED TRANSACTIONS

<u>Item 4. SUMMARY OF AGGREGATE INVESTMENT</u>

Item 5. OTHER INVESTMENTS

Item 6. FINANCIAL STATEMENTS AND EXHIBITS

**SIGNATURE** 

**CERTIFICATE**