

Edgar Filing: UNITRIN INC - Form 13F-HR

UNITRIN INC
Form 13F-HR
November 07, 2001

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Form 13F

Form 13F COVER PAGE

Report for the Calendar Year or Quarter Ended: 09/30/2001

Check here if Amendment ☐; Amendment Number:
This Amendment (Check only one.): ☐ is a restatement.
☐ adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: UNITRIN, INC.
Address: One East Wacker Drive, 10th Floor

Chicago, IL 60601

13F File Number: 28-02715

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this submission.

Person Signing this Report on Behalf of Reporting Manager:

Name: Richard Roeske
Title: Vice President
Phone: 312-661-4600

Signature, Place, and Date of Signing:

/s/ Richard Roeske Chicago, IL November 06, 2001

Report Type (Check only one.):

☒ 13F HOLDINGS REPORT.
☐ 13F NOTICE.
☐ 13F COMBINATION REPORT.

List of Other Managers Reporting for this Manager:

I AM SIGNING THIS REPORT AS REQUIRED BY THE THE SECURITIES EXCHANGE
ACT OF 1934.

FORM 13F SUMMARY PAGE

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Report Summary:

Number of Other Included Managers: 2

Form13F Information Table Entry Total: 99

Form13F Information Table Value Total: \$1,308,218 thousands

List of Other Included Managers:

List of Other Managers Reporting for this Manager:

Provide a numbered list of the name(s) and Form 13F file number(s) of all institutional managers with respect to which this report is filed, other than the manager filing this report.

No.	13F File Number	Name
01	28-00121	Trinity Universal Insurance Company
02	28-00117	United Insurance Company of America

FORM 13F INFORMATION TABLE

NAME OF ISSUER	TITLE OF CLASS	CUSIP	VALUE (x\$1000)	SHARES/ PRN AMT	SH/ PRN	PUT/ CALL	INVSTMT DSCRETN	OTHER MANA
ALLEGHENY ENERGY INC.	COM	017361106	8147	222000	SH		DEFINED	02
ALLEGHENY ENERGY INC.	COM	017361106	1615	44000	SH		DEFINED	
ALLEGHENY ENERGY INC.	COM	017361106	6533	178000	SH		DEFINED	01
AMERICAN ELEC PWR INC	COM	025537101	231	5334	SH		DEFINED	
AMERICAN EXPRESS CO	COM	025816109	581	20000	SH		OTHER	02
AMERICAN INTL GROUP INC	COM	026874107	663	8500	SH		OTHER	
AMERICAN INTL GROUP INC	COM	026874107	1560	20000	SH		OTHER	02
AOL TIME WARNER INC	COM	00184A105	1159	35000	SH		OTHER	02
AOL TIME WARNER INC	COM	00184A105	497	15000	SH		OTHER	
AT&T CORP.	COM	001957109	475	24608	SH		DEFINED	
BAKER HUGHES INC.	COM	057224107	109946	3797800	SH		DEFINED	01
BARCLAYS BK PLC	AM DEP NT RCPT	06738C836	4128	159000	SH		DEFINED	02
BELLSOUTH CORP	COM	079860102	499	12000	SH		OTHER	
BELLSOUTH CORP	COM	079860102	1039	25000	SH		OTHER	02
BP PLC	SPONSORED ADR	055622104	1229	25000	SH		OTHER	02
BP PLC	SPONSORED ADR	055622104	492	10000	SH		OTHER	
CHEVRON CORPORATION	COM	166751107	1271	15000	SH		OTHER	02
CHEVRON CORPORATION	COM	166751107	509	6000	SH		OTHER	
CITIGROUP INC.	COM	172967101	467	11531	SH		DEFINED	
CITIGROUP INC.	COM	172967101	2483	61315	SH		DEFINED	02
CITIGROUP INC.	COM	172967101	405	9994	SH		DEFINED	01
CITIGROUP INC.	COM	172967101	729	18000	SH		OTHER	
CITIGROUP INC.	COM	172967101	2025	50000	SH		OTHER	02
COCA COLA CO	COM	191216100	1874	40000	SH		OTHER	02
COCA COLA CO	COM	191216100	703	15000	SH		OTHER	
COLGATE PALMOLIVE CO	COM	194162103	408	7000	SH		OTHER	
COLGATE PALMOLIVE CO	COM	194162103	874	15000	SH		OTHER	02
CURTISS WRIGHT CORP.	COM	231561101	204220	4382400	SH		SOLE	
DELPHI AUTOMOTIVE SYS CORP	COM	247126105	164	13978	SH		DEFINED	

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EMC CORP MASS	COM	268648102	118	10000	SH	OTHER	
EMC CORP MASS	COM	268648102	294	25000	SH	OTHER	02
EMERSON ELEC CO	COM	291011104	471	10000	SH	OTHER	02
ENRON CORP.	COM	293561106	9487	348400	SH	DEFINED	02
EXXON MOBIL CORP	COM	30231G102	4334	110000	SH	OTHER	02
EXXON MOBIL CORP	COM	30231G102	1379	35000	SH	OTHER	
FEDERAL HOME LN MTG CORP	COM	313400301	1300	20000	SH	OTHER	02
FEDERAL HOME LN MTG CORP	COM	313400301	520	8000	SH	OTHER	
FEDERAL NATL MTG ASSN	COM	313586109	801	10000	SH	OTHER	
FEDERAL NATL MTG ASSN	COM	313586109	2002	25000	SH	OTHER	02
FORD MTR CO DEL	COM PAR \$0.01	345370860	4838	278833	SH	DEFINED	02
FORD MTR CO DEL	COM PAR \$0.01	345370860	789	45452	SH	DEFINED	01
FORD MTR CO DEL	COM PAR \$0.01	345370860	910	52445	SH	DEFINED	
GENERAL ELEC CO	COM	369604103	2976	80000	SH	OTHER	02
GENERAL ELEC CO	COM	369604103	1302	35000	SH	OTHER	
GENERAL ELEC CO	COM	369604103	1786	48000	SH	DEFINED	
GENERAL MTRS CORP	COM	370442105	858	20000	SH	DEFINED	
GOLDMAN SACHS GROUP INC	COM	38141G104	357	5000	SH	SOLE	
HARTFORD FINL SVCS GROUP	COM	416515104	28559	486200	SH	DEFINED	02
INTEL CORP	COM	458140100	1124	55000	SH	OTHER	02
INTEL CORP	COM	458140100	307	15000	SH	OTHER	
INTERNATIONAL BUSINESS MACH	COM	459200101	459	5000	SH	OTHER	
INTERNATIONAL BUSINESS MACH	COM	459200101	917	10000	SH	OTHER	02
ITT INDS INC IND	COM	450911102	10891	243100	SH	DEFINED	02
J P MORGAN CHASE & CO	COM	46625H100	854	25000	SH	OTHER	02
JOHNSON & JOHNSON	COM	478160104	1939	35000	SH	OTHER	02
JOHNSON & JOHNSON	COM	478160104	776	14000	SH	OTHER	
KRAFT FOODS INC	CL A	50075N104	1719	50000	SH	OTHER	02
KRAFT FOODS INC	CL A	50075N104	516	15000	SH	OTHER	
KRAFT FOODS INC	CL A	50075N104	1065	31000	SH	DEFINED	02
LIBERTY ALL STAR EQUITY FD	SH BEN INT	530158104	1701	160183	SH	DEFINED	
LILLY ELI & CO	COM	532457108	807	10000	SH	OTHER	
LILLY ELI & CO	COM	532457108	2018	25000	SH	OTHER	02
LUCENT TECHNOLOGIES INC.	COM	549463107	122	21264	SH	DEFINED	
MARSH & MCLENNAN COS INC	COM	571748102	580	6000	SH	OTHER	
MARSH & MCLENNAN COS INC	COM	571748102	1934	20000	SH	OTHER	02
MCDONALDS CORP	COM	580135101	814	30000	SH	OTHER	02
MCDONALDS CORP	COM	580135101	326	12000	SH	OTHER	
MCGRW HILL COS INC	COM	580645109	466	8000	SH	OTHER	
MCGRW HILL COS INC	COM	580645109	1164	20000	SH	OTHER	02
MERCK & CO INC	COM	589331107	2331	35000	SH	OTHER	02
MERCK & CO INC	COM	589331107	866	13000	SH	OTHER	
MICROSOFT CORP	COM	594918104	1023	20000	SH	OTHER	02
MISSISSIPPI VY BANCSHARES	COM	605720101	1391	36618	SH	DEFINED	
NORTHROP GRUMMAN CORP	COM	666807102	336945	3336092	SH	DEFINED	02
NORTHROP GRUMMAN CORP	COM	666807102	437248	4329189	SH	DEFINED	01
PEPSICO INC	COM	713448108	582	12000	SH	OTHER	
PEPSICO INC	COM	713448108	1455	30000	SH	OTHER	02
PFIZER INC	COM	717081103	1404	35000	SH	OTHER	
PFIZER INC	COM	717081103	3409	85000	SH	OTHER	02
PHILIP MORRIS COS INC	COM	718154107	1690	35000	SH	OTHER	
PHILIP MORRIS COS INC	COM	718154107	4829	100000	SH	OTHER	02
SBC COMMUNICATIONS INC	COM	78387G103	1178	25000	SH	OTHER	02
SBC COMMUNICATIONS INC	COM	78387G103	565	12000	SH	OTHER	
SOUTHERN CO	COM	842587107	480	20000	SH	DEFINED	
TARGET CORP	COM	87612E106	794	25000	SH	OTHER	02
TARGET CORP	COM	87612E106	318	10000	SH	OTHER	
UNITED PARCEL SERVICE INC	CL B	911312106	520	10000	SH	OTHER	02
UNOVA, INC.	COM	91529B106	24257	5450988	SH	DEFINED	02
UNOVA, INC.	COM	91529B106	6654	1495327	SH	DEFINED	
UNOVA, INC.	COM	91529B106	25416	5711449	SH	DEFINED	01
VERIZON COMMUNICATIONS	COM	92343V104	541	10000	SH	OTHER	02

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VERIZON COMMUNICATIONS	COM	92343V104	271	5000 SH	OTHER	
VIACOM INC	CL B	925524308	690	20000 SH	OTHER	02
VISTEON CORP	COM	92839U107	266	20883 SH	DEFINED	02
VISTEON CORP	COM	92839U107	93	7331 SH	DEFINED	
WAL MART STORES INC	COM	931142103	1733	35000 SH	OTHER	02
WAL MART STORES INC	COM	931142103	594	12000 SH	OTHER	
WALGREEN CO	COM	931422109	620	18000 SH	OTHER	
WALGREEN CO	COM	931422109	1549	45000 SH	OTHER	02