Dykhouse Richard R Form 4 February 27, 2019

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number: January 31,

**OMB APPROVAL** 

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Expires: 2005 Estimated average burden hours per

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response...

5. Relationship of Reporting Person(s) to

Issuer

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Symbol

1(b).

(Print or Type Responses)

Dykhouse Richard R

1. Name and Address of Reporting Person \*

	<b>J</b>			CHARTER COMMUNICATIONS, INC. /MO/ [CHTR]				IONS,	(Check all applicable)				
	(Last) (First) (Middle)			3. Date of Earliest Transaction (Month/Day/Year)					Director 10% OwnerX Officer (give title Other (specify below)				
C/O CHARTER COMMUNICATIONS, INC., 400 ATLANTIC STREET				02/25/2019					EVP/Gen Counsel/Corp Secretary				
(Street)				4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check				
STAMFORD, CT 06901				Filed(Month/Day/Year)					Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
									Person				
	(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	Secu	rities Acqu	uired, Disposed of, or Beneficially Owned				
	1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution any (Month/Da	Date, if	3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
					Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
	Class A common Stock	02/25/2019			M <u>(1)</u>	5,000	A	\$ 87.19 (2)	9,880.1	D			
	Class A common stock	02/25/2019			F(3)	2,981	D	\$ 352.34	6,899.1	D			
	Class A common stock	02/26/2019			S(4)	2,019	D	\$ 348.83	4,880.1	D			

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 8. P Der Sec (Ins

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		3 (	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Options	\$ 87.19 (2)	02/25/2019		M	5	5,000	(2)	02/20/2023	Class A common stock	5,000	

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Dykhouse Richard R C/O CHARTER COMMUNICATIONS, INC. 400 ATLANTIC STREET STAMFORD, CT 06901

EVP/Gen Counsel/Corp Secretary

## **Signatures**

/s/ Daniel J. Bollinger as attorney-in-fact for Richard R.

Dykhouse 02/27/2019

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of a portion of stock options granted on 2/20/2013 pursuant to a 10b5-1 Plan.
- On 2/20/2013, the reporting person was granted 36,000 stock options 25% to vest in four equal installments on each of the first four anniversaries of 12/31/2012, subject to the achievement of stock price thresholds of \$85 per share for 50% of the stock options and \$105 per share for 50% of the stock options. In connection with the closing of the Time Warner Cable Inc. transactions, the merger exchange ratio of .9042 was applied to the exercise price and number of stock options.

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- (3) Withholding of securities to pay the exercise price and taxes.
- (4) Sale of stock pursuant to a 10b5-1 Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.