MICROSOFT CORP

Form 4

March 06, 2003

FORM 4

o Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

(Print or Type Responses)

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . . 0.5

| | | | | | 6. Relationship of Reporting Person(s) to Issuer |
|---------------------------|---------------|------------|--|--|--|
| | | | | | (Check all applicable) |
| | | | | | X Director |
| 1. Name and A Person * | Address of Re | porting | | | X Officer (give title below) |
| Gates III | William | Н. | Issuer Name and Ticker or Trading Symbol | | <u>X</u> 10% Owner |
| (Last) | (First) | (Middle) | MICROSOFT | 4. Statement for Month/Day/Year | _ Other (specify below) |
| | | | CORPORATION | | Culici (specify below) |
| One | Microsoft V | Vay | a rayer | | |
| | (Street) | | (MSFT) | 3/4/2003 | - Chairman of the Board; Chief Software Architect |
| Redmond | WA | 98052-6399 | 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary) | 5. If Amendment, Date of Original (Month/Day/Year) | 7. Individual or Joint/Group Filing (Check Applicable Line) |
| (City) | (State) | (Zip) | , , , , , | , | X Form filed by One Reporting Person Form filed by More than One Reporting Person |

Table I Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | Transaction Date (Month/Day/ | Execution Date, if any | | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | Owned Following | Direct (D) or Indirect (I) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|----------------------|------------------------------------|---------------------------|--|---|--------------------|----------------------------------|---|
|----------------------|------------------------------------|---------------------------|--|---|--------------------|----------------------------------|---|

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| 1 1 | | 1 1 | | | | | | 1 | |
|-----------------|--------|-----|-----------|---|------------------|---------------------|-----------------------|---|--|
| Common Stock | 3/4/03 | | S | | 34,300 | D | 23.07 | | |
| Common Stock | 3/4/03 | | S Code | V | 28,700 Amount | D (A) or (D) | 23.08 Price | | |
| Common Stock | 3/4/03 | | S | | 7,000 | D | 23.09 | | |
| Common Stock | 3/4/03 | | s | | 30,800 | D | 23.10 | | |
| Common Stock | 3/4/03 | | s | | 39,200 | D | 23.11 | | |
| Common Stock | 3/4/03 | | S | | 20,000 | D | 23.12 | | |
| Common Stock | 3/4/03 | | S | | 24,600 | D | 23.13 | | |
| Common Stock | 3/4/03 | | S | | 46,700 | D | 23.14 | | |
| Common Stock | 3/4/03 | | S | | 34,600 | D | 23.15 | | |
| Common Stock | 3/4/03 | | S | | 25,000 | D | 23.16 | | |
| Common Stock | 3/4/03 | | S | | 1,200 | D | 23.17 | | |
| Common Stock | 3/4/03 | | S | | 7,900 | D | 23.18 | | |
| Common Stock | 3/4/03 | | S | | 50,000 | D | 23.19 | | |
| Common Stock | 3/4/03 | | S | | 10,600 | D | 23.20 | | |
| Common Stock | 3/4/03 | | S | | 300 | D | 23.21 | | |
| Common Stock | 3/4/03 | | S | | 112,000 | D | 23.22 | | |
| Common Stock | 3/4/03 | | S | | 60,800 | D | 23.23 | | |
| Common Stock | 3/4/03 | | S | | 13,000 | D | 23.24 | | |
| Common Stock | 3/4/03 | | S | | 12,200 | D | 23.25 | | |
| Common Stock | 3/4/03 | | S | | 8,300 | D | 23.26 | | |
| Common Stock | 3/4/03 | | S | | 111,600 | D | 23.27 | | |
| Common Stock | 3/4/03 | | S | | 150,100 | D | 23.28 | | |
| Common Stock | 3/4/03 | | S | | 800 | D | 23.29 | | |
| Common Stock | 3/4/03 | | S | | 8,300 | D | 23.32 | | |
| Common Stock | 3/4/03 | | S | | 52,800 | D | 23.33 | | |

| Common Stock | 3/4/03 | S | 14,100 | D | 23.34 | | |
|-----------------|--------|---|--------|---|-------|--|--|
| | 3/4/03 | S | 10,000 | D | 23.35 | | |

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| Common Stock | | | | | | | | |
|-----------------|--------|---|--------|---|-------|---------------|---|---|
| Common Stock | 3/4/03 | S | 2,000 | D | 23.36 | | | |
| Common Stock | 3/4/03 | S | 21,500 | D | 23.39 | | | |
| Common Stock | 3/4/03 | S | 300 | D | 23.40 | | | |
| Common Stock | 3/4/03 | S | 61,300 | D | 23.41 | 1,205,498,600 | D | |
| Common Stock | | | | | | 736 | I | Through an entity owned by the reporting person |
| Common Stock | | | | | | 428.520* | I | Held by spouse |
| | | | | | | | | |

^{*} The reporting officer disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of the securities for purposes of Section 16 or for any other purpose.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

| Table I | Derivative Securities Acquired, Disposed of, or Beneficially Owned |
|---------|--|
| | (e.g., puts, calls, warrants, options, convertible securities) |

| | 2. | | | 4. Trans: Code (Instr. | acti | (Inst | vativaritie uirector oosec O) r. 3, | 6 | cisable and ate | Amou Unde Secur | rlying | | Derivative | 10. Ownership Form of Derivative | |
|------------------------|---|------------------------------------|---|---------------------------------|------|-------|---|---|-----------------|-----------------------|------------------------|------------|--------------------|---|--|
| Derivative Security | Conversion or Exercise Price of Derivative Security | Transaction Date (Month/Day/ | 3A. Deemed Execution Date, if any (Month/Day/ Year) | | | | | | Expiration | | Amount or Number | Derivative | Owned Following | Securities: Direct (D) or Indirect (I) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | | | | | | | | | | | | |
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| Explanation of Responses: | | |
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| /s/ Michael Larson 3/4 | /03 |
|------------------------|-----|
|------------------------|-----|

** Signature of Reporting Person

Date

Attorney-in-fact.

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Duly authorized under Special Power of Attorney appointing Michael Larson attorney-in-fact, dated March 14, 2001, by and on behalf of William H. Gates III, filed as Exhibit B to Cascade Investment's Amendment No. 1 to Schedule 13D with respect to Pan American Silver Corp. on March 19, 2001, SEC File No. 005-52919, and incorporated by reference herein.

| Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. |
|---|
| * If the form is filed by more than one reporting person, <i>see</i> Instruction 4(b)(v). |
| ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). |
| Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, <i>see</i> Instruction 6 for procedure. |
| Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number. |
| are not required to respond unless the form displays a currently valid OMB Number. |
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| http://www.sec.gov/divisions/corpfin/forms/form4.htm |
| Last update: 09/05/2002 |
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