MALIEKEL JOSEPH J

Form 4

January 31, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

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response...

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

01/30/2018

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading MALIEKEL JOSEPH J Issuer Symbol FreightCar America, Inc. [RAIL] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title Other (specify TWO NORTH RIVERSIDE PLAZA 01/30/2018 below) below) **SUITE 1300** VP, Corp Controller and PAO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting CHICAGO, IL 60606 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) (Instr. 8) Owned (D) or Ownership Following Indirect (I) (Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Code V

 $F^{(1)}$ 

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Reported

Transaction(s) (Instr. 3 and 4)

12,642.674

(Instr. 4)

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(A)

(D)

D

Price

16.655

Amount

931

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and A Underlying S (Instr. 3 and	Securities	8 1 9 (
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (right to buy)	\$ 16.66					(2)	01/12/2028	Common stock	3,447	
Employee Stock Option (right to buy)	\$ 25.55					(3)	01/15/2024	Common Stock	9,825	
Employee Stock Option (right to buy)	\$ 24.56					<u>(4)</u>	01/18/2023	Common Stock	5,000	

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

MALIEKEL JOSEPH J TWO NORTH RIVERSIDE PLAZA SUITE 1300 CHICAGO, IL 60606

VP, Corp Controller and PAO

8. Pri Deriv Secur (Instr

## **Signatures**

/s/ Georgia L. Vlamis, as attorney

in fact 01/31/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1)

Reporting Owners 2

Represents the exercise of a tax withholding right in connection with the vesting of 2,476 restricted shares that were issued in an exempt issuance pursuant to Rule 16b-3 under the Issuer's 2005 Long Term Incentive Plan.

- On January 12, 2018 the recipient was granted 3,447 options which will vest in three equal annual installments beginning on January 12, 2019.
- (3) On January 15, 2014 the recipient was granted 9,825 options which are fully vested and currently exercisable.
- (4) On January 18, 2013 the recipient was granted 5,000 options which are fully vested and currently exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. Roman" style="color:windowtext;font-size:1.0pt;">

Par (\$)

Value (\$)

Municipal Bonds (continued)

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**HEALTH CARE** (continued)

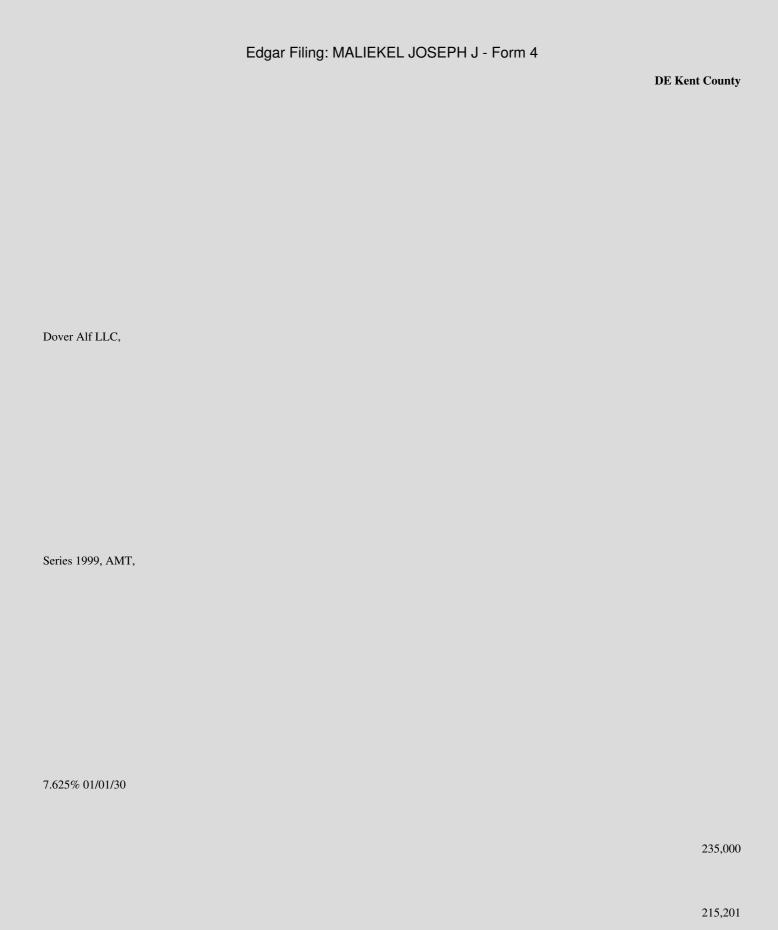
**Hospitals** (continued)

Series 2005,		
Insured: AMBAC		
4.500% 12/15/25		
		1,000,000 992,290
Hospitals Total		
2,718,896		
HEALTH CARE TOTAL		

5,433,926

HOUSING 5.3%

Assisted Living/Senior 1.2%



Explanation of Responses:

	Edgar Filing: MALIEKEL JOSEPH J - Form 4	
		NC Medical Care Commission
DePaul Community Facilities, Inc.,		
Series 1999,		
Belles 1777,		
- (0.5° 4.4%)		
7.625% 11/01/29		
		475,000
		499 164

Assisted Living/Senior Total

714,365

Multi-Family 0.8%

	Edgar Filing: MALIEKEL JOSEPH J	- Form 4
		FL Broward County Housing Finance Authority
Chaves Lake Apartments Ltd.,		
Series 2000 A, AMT,		
56165 2000 11, 111111,		
7.500% 07/01/40		
		250,000
		230,000
		259,335

	Edgar Filing: MALIEKEL JOSEPH J - Form 4	
		FL Clay County Housing Finance Authority
Breckenridge Commons Ltd.,		
Series 2000 A, AMT,		
7.450% 07/01/40		
		245,000
		253,805
		233,803

Multi-Family Total

513,140

Single-Family 3.3%

Edgar Filing: MALIEKEL JOSEPH J - Form 4 **AK Housing Finance Corp.** Series 1999, Insured: FHA 6.150% 06/01/39 1,575,000 1,637,606

# Edgar Filing: MALIEKEL JOSEPH J - Form 4 CA Rural Home Mortgage Finance Authority

Series 1998 A, AMT, Guarantor: GNMA 6.350% 12/01/29 70,000 70,507

Series 2000 B-2, AMT,			
7.250% 10/01/31			
			70,00
			71,50
		OK Housing Finance	e Agenc

**CO Housing & Finance Authority** 

Series 2000 C-2, AMT,

2

		Par (\$)	Value (\$)
Municipal Bonds	(continued)		

HOUSING (co	ontinued)
HOUSING (co	(continued)
omgre-ramily	(continued)

	7.550% 09/01/28	295,000	299,980
Single-Family Total		2,0	79,599
HOUSING TOTAL		3,3	07,104

INDUSTRIALS 3.2% Oil & Gas 3.2%

NV Clark County Indus Development Autho	ority Southwest Gas Corp., Series 2005 A, AMT,		
Development Auth	Southwest Gas Corp.,		
	Series 2005 A AMT		
	Insured: AMBAC		
	4.850% 10/01/35	2,000,000	2,021,900
il & Gas Total	4.030 // 10/01/33	2,000,000	2,021,900
NDUSTRIALS TOTAL			2,021,900
DOSTRIALS TOTAL			2,021,900



AL Incentive	es Financing Authority		
	Series 1999 A,		
	Insured: AMBAC		
	6.000% 10/01/29	2,000,000	2,163,640
Other Total			2,163,640
Pool/Bond Bank	1.7%		

Explanation of Responses:

	Edgar Filing: MALIEKEL JOSEPH J - Form 4		
UT Water Finance Agen	cy		
ű	Series 2002 B,		
	Insured: AMBAC		
	5.125% 07/01/23	1,000,000	1,060,630
Pool/Bond Bank Total			1,060,630
Refunded/Escrowed(a) 4.0%			

CA Morgan Hill Unified Scho Distri			
	Series 2002,		
	Escrowed to Maturity,		
	Insured: FGIC		
	(b) 08/01/21	1,000,000	522,450
NV Henders	on		
	Catholic Healthcare West,		
	Series 1999 A,		
	Pre-refunded 07/01/10,		
	6.750% 07/01/20	440,000	491,515
NY Suffolk County Industri	ial		
Development Agen	cy		
	Jefferson Ferry,		
	Series 1999 A,		
	Pre-refunded 11/01/09,		
	7.200% 11/01/19	550,000	610,363
WA Health Care Facilities Authori	ity		
	Kadlec Medical Center,		
	Series 2001,		
	Pre-refunded 12/01/10,		
	Insured: RAD		

		Par (\$)	Value (\$)
Municipal Bonds	(continued)		
1 = 0			

ОТІ	IED (soutiness)	
OIL	HER (continued)	(continue)
	Refunded/Escrowed(a)	(continueu)

5.875% 12/01/21	300,000	326,199
WV Hospital Finance Authority		
Charleston Area Medical Center,		
Series 2000,		
Pre-refunded 09/01/10,		
6.750% 09/01/30	500,000	561,730
Refunded/Escrowed Total		2,512,257
OTHER TOTAL		5,736,527

DECOLIDA	CE DECOVEDY	1.20
RESOURC	CE RECOVERY 1.3%	1.3%
Disposal	1.3%	

# Edgar Filing: MALIEKEL JOSEPH J - Form 4 **CA Salinas Valley Solid Waste** Authority Series 2002, AMT, Insured: AMBAC 5.125% 08/01/22 750,000 779,572 Disposal Total RESOURCE RECOVERY TOTAL 779,572 779,572



IL Chicago F	Park District		
	Series 2004 A,		
	Insured: AMBAC		
	5.000% 01/01/25	1,000,000	1,048,790
IN Carmel Industrial Sch	ool Building		
	Corp.		
	Series 2003,		
	Insured: MBIA		
	5.000% 07/15/22	1,000,000	1,049,270
OK Grady Count	ty Industrial		
	Authority		
	Series 1999,		
	Insured: MBIA		
	6.000% 11/01/29	500,000	512,805
Local Appropriated Total			2,610,865
Local General Obligations	13.9%		

CA Centinela Valley Union Hig School Distric				
	Series 2002,			
	Insured: MBIA			
	5.250% 02/01/22		730,000	827,901
<b>CA Empire Unified School District No</b>	) <b>.</b>			
1987-1-	A			
	Series 2002,			
	Insured: AMBAC			
	(b) 10/01/18		1,665,000	995,753
		4		

		Par (\$)	Value (\$)
Municipal Bonds	(continued)		

TAX-BACKED (continued)	
TAX-BACKED (continued)  Local General Obligations	(continued)
20011 Concini Congunons	(

CA Union Elementary School	ol District		
	Series 1999 A,		
	Insured: FGIC		
	(b) 09/01/16	1,400,000	929,964
CA Vallejo City Unified School	ol District		
	Series 2002 A,		
	Insured: MBIA		
	5.900% 02/01/21	500,000	601,465
II	L Chicago		
	Series 2005 A,		
	Insured: FSA		