

MALIEKEL JOSEPH J  
Form 4  
January 31, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**MALIEKEL JOSEPH J**

(Last) (First) (Middle)

**TWO NORTH RIVERSIDE PLAZA  
SUITE 1300**

(Street)

**CHICAGO, IL 60606**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**FreightCar America, Inc. [RAIL]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**01/30/2018**

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
**VP, Corp Controller and PAO**

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/30/2018		F(1)	931 D	\$ 16.655	12,642.674	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**



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Represents the exercise of a tax withholding right in connection with the vesting of 2,476 restricted shares that were issued in an exempt issuance pursuant to Rule 16b-3 under the Issuer's 2005 Long Term Incentive Plan.

- (2) On January 12, 2018 the recipient was granted 3,447 options which will vest in three equal annual installments beginning on January 12, 2019.
- (3) On January 15, 2014 the recipient was granted 9,825 options which are fully vested and currently exercisable.
- (4) On January 18, 2013 the recipient was granted 5,000 options which are fully vested and currently exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. Roman" style="color:windowtext;font-size:1.0pt;">

**Par (\$)**

**Value (\$)**

**Municipal Bonds (continued)**















**HEALTH CARE (continued)**

**Hospitals (continued)**















Series 2005,

Insured: AMBAC

4.500% 12/15/25

1,000,000

992,290

Hospitals Total

2,718,896

HEALTH CARE TOTAL



5,433,926



**HOUSING 5.3%**

**Assisted Living/Senior 1.2%**















Dover Alf LLC,

Series 1999, AMT,

7.625% 01/01/30

235,000

215,201

DePaul Community Facilities, Inc.,

Series 1999,

7.625% 11/01/29

475,000

499,164

Assisted Living/Senior Total

714,365

**Multi-Family 0.8%**















Chaves Lake Apartments Ltd.,

Series 2000 A, AMT,

7.500% 07/01/40

250,000

259,335

Breckenridge Commons Ltd.,

Series 2000 A, AMT,

7.450% 07/01/40

245,000

253,805

Multi-Family Total

513,140

**Single-Family 3.3%**















Series 1999,

Insured: FHA

6.150% 06/01/39

1,575,000

1,637,606

Series 1998 A, AMT,

Guarantor: GNMA

6.350% 12/01/29

70,000

70,507

Series 2000 B-2, AMT,

7.250% 10/01/31

70,000

71,506

**OK Housing Finance Agency**



Series 2000 C-2, AMT,

<b>Municipal Bonds (continued)</b>	<b>Par (\$)</b>	<b>Value (\$)</b>

**HOUSING (continued)**  
**Single-Family (continued)**



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	7.550% 09/01/28	295,000	299,980
Single-Family Total			2,079,599
HOUSING TOTAL			3,307,104

**INDUSTRIALS 3.2%**  
**Oil & Gas 3.2%**

**NV Clark County Industrial  
Development Authority**

Southwest Gas Corp.,  
Series 2005 A, AMT,  
Insured: AMBAC  
4.850% 10/01/35

		2,000,000	2,021,900
Oil & Gas Total			2,021,900
<b>INDUSTRIALS TOTAL</b>			<b>2,021,900</b>

**OTHER 9.1%**

**Other 3.4%**

**AL Incentives Financing Authority**

Series 1999 A,  
Insured: AMBAC  
6.000% 10/01/29

2,000,000      2,163,640  
2,163,640

Other Total

**Pool/Bond Bank 1.7%**



<b>UT Water Finance Agency</b>			
	Series 2002 B,		
	Insured: AMBAC		
	5.125% 07/01/23	1,000,000	1,060,630
Pool/Bond Bank Total			1,060,630
<b>Refunded/Escrowed(a)</b>	<b>4.0%</b>		

<b>CA Morgan Hill Unified School District</b>			
	Series 2002, Escrowed to Maturity, Insured: FGIC		
	(b) 08/01/21	1,000,000	522,450
<b>NV Henderson</b>			
	Catholic Healthcare West, Series 1999 A, Pre-refunded 07/01/10, 6.750% 07/01/20	440,000	491,515
<b>NY Suffolk County Industrial Development Agency</b>			
	Jefferson Ferry, Series 1999 A, Pre-refunded 11/01/09, 7.200% 11/01/19	550,000	610,363
<b>WA Health Care Facilities Authority</b>			
	Kadlec Medical Center, Series 2001, Pre-refunded 12/01/10, Insured: RAD		

<b>Municipal Bonds (continued)</b>	<b>Par (\$)</b>	<b>Value (\$)</b>

**OTHER (continued)**

**Refunded/Escrowed(a) (continued)**



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	5.875% 12/01/21	300,000	326,199
<b>WV Hospital Finance Authority</b>			
	Charleston Area Medical Center, Series 2000, Pre-refunded 09/01/10,		
	6.750% 09/01/30	500,000	561,730
Refunded/Escrowed Total			2,512,257
OTHER TOTAL			5,736,527

**RESOURCE RECOVERY 1.3%**

**Disposal 1.3%**



**CA Salinas Valley Solid Waste  
Authority**

Series 2002, AMT,  
Insured: AMBAC  
5.125% 08/01/22

750,000      779,572

Disposal Total

779,572

RESOURCE RECOVERY TOTAL

779,572

**TAX-BACKED 60.1%**  
**Local Appropriated 4.1%**





<b>IL Chicago Park District</b>			
	Series 2004 A, Insured: AMBAC		
	5.000% 01/01/25	1,000,000	1,048,790
<b>IN Carmel Industrial School Building Corp.</b>			
	Series 2003, Insured: MBIA		
	5.000% 07/15/22	1,000,000	1,049,270
<b>OK Grady County Industrial Authority</b>			
	Series 1999, Insured: MBIA		
	6.000% 11/01/29	500,000	512,805
Local Appropriated Total			2,610,865
<b>Local General Obligations 13.9%</b>			

**CA Centinela Valley Union High  
School District**

Series 2002,		
Insured: MBIA		
5.250% 02/01/22	730,000	827,901

**CA Empire Unified School District No.  
1987-1-A**

Series 2002,		
Insured: AMBAC		
(b) 10/01/18	1,665,000	995,753

<b>Municipal Bonds (continued)</b>	<b>Par (\$)</b>	<b>Value (\$)</b>

**TAX-BACKED (continued)**

**Local General Obligations (continued)**



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<b>CA Union Elementary School District</b>			
	Series 1999 A, Insured: FGIC		
	(b) 09/01/16	1,400,000	929,964
<b>CA Vallejo City Unified School District</b>			
	Series 2002 A, Insured: MBIA		
	5.900% 02/01/21	500,000	601,465
<b>IL Chicago</b>			
	Series 2005 A, Insured: FSA		