#### Edgar Filing: INFINERA CORP - Form 3

INFINERA CORP Form 3 June 06, 2007

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement INFINERA CORP [INFN] **Â** Williams Duston (Month/Day/Year) 06/06/2007 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O INFINERA (Check all applicable) CORPORATION, Â 169 JAVA DRIVE 10% Owner Director (Street) \_X\_\_ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Chief Financial Officer \_X\_ Form filed by One Reporting Person SUNNYVALE, CAÂ 94089 Form filed by More than One Reporting Person (City) (State) (Zip) **Table I - Non-Derivative Securities Beneficially Owned** 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership (Instr. 4) Ownership Form: (Instr. 4) (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 125,000 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

3. Title and Amount of 4. 6. Nature of Indirect 1. Title of Derivative Security 2. Date Exercisable and 5. **Expiration Date** Securities Underlying Beneficial (Instr. 4) Conversion Ownership (Month/Day/Year) Derivative Security or Exercise Form of Ownership (Instr. 4) Price of Derivative (Instr. 5) Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Non-qualified stock option (right to buy)	(1)	08/08/2016	Common Stock	250,000	\$ 2	D	Â

# **Reporting Owners**

Reporting Owner Name / Address		Relationships				
·	Director	10% Owner	Officer	Other		
Williams Duston						
C/O INFINERA CORPORATION	â	â	Chief Financial Officer	â		
169 JAVA DRIVE	A	A	A Chief Financial Officer	A		
SUNNYVALE, CA 94089						

## **Signatures**

/s/ by Michael O. McCarthy, by power of attorney

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The option is subject to an early exercise provision and is immediately exercisable. An option to purchase 325,000 shares was granted on (1) August 8, 2006 and was exercised in part prior to the date on which Reporting Person became subject to Section 16. One-fourth of the shares subject to the option vest on June 19, 2007 and one forty-eighth of the shares shall vest monthly thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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