6. Nature of Indirect

Beneficial

Ownership

(Instr. 5)

Edgar Filing: TREATY OAK BANCORP INC - Form 3

TREATY OAK BANCORP INC Form 3 November 15, 2007 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and

Expiration

Date

Expiration Date

(Month/Day/Year)

Exercisable

Date

1. Name and Address of Reporting Person <u>*</u> Bendele Marvin J			2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol TREATY OAK BANCORP INC [TOAK]				
(Last)	(First)	(Middle)	11/15/2007	4. Relationship Person(s) to Is			5. If Amendment, Date Original Filed(Month/Day/Year)	
5201 CUEST. AUSTIN, T	(Street)			(Check X_ Director Officer (give title below	Other		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person	
(City)	(State)	(Zip)	Table I - N	Non-Derivati	ive Securiti	es Be	neficially Owned	
1.Title of Securit (Instr. 4)	у		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.	•	
Common Stoc	ck		2,283		D	Â		
Reminder: Repor owned directly or		ate line for ea	ch class of securities benefic	ially SI	EC 1473 (7-02)		
Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.								
Ta	ble II - Deri	ivative Secur	rities Beneficially Owned (e	.g., puts, calls,	warrants, opt	ions, c	onvertible securities)	

3. Title and Amount of

Securities Underlying

Amount or

Number of

Derivative Security

(Instr. 4)

Title

4.

Conversion

or Exercise

Derivative

Price of

Security

5.

Ownership

Derivative

Security:

Direct (D)

or Indirect

Form of

OMB A	PROVAL
OMB Number:	3235-0104
Expires:	January 31 2005
Estimated a burden hou	•

0.5

response ...

Edgar Filing: TREATY OAK BANCORP INC - Form 3

			Shares			(I) (Instr. 5)	
Options to acquire common stock	11/13/2007	11/13/2007	Common Stock	10,000	\$ 10	D	Â

Reporting Owners

Reporting Owner Name / Add	ress	Relationships					
L O	Director	10% Owner	Officer	Other			
Bendele Marvin J 5201 CUESTA VERDE AUSTIN, TX 78746	ÂX	Â	Â	Â			
Signatures							
/s/ Marvin Bendele	11/15/2007						
<pre>**Signature of Reporting Person</pre>	Date						

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.