#### Edgar Filing: NATURAL HEALTH TRENDS CORP - Form 4

NATURAL HEALTH TRENDS CORP Form 4 January 22, 2015 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **BROADY GEORGE K** Issuer Symbol NATURAL HEALTH TRENDS (Check all applicable) CORP [NHTC] (Last) (First) (Middle) 3. Date of Earliest Transaction X\_ Director X\_\_ 10% Owner Other (specify Officer (give title (Month/Day/Year) below) below) 751 CANYON DRIVE, SUITE 100 11/14/2014 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Dav/Year) Applicable Line) \_X\_ Form filed by One Reporting Person \_ Form filed by More than One Reporting COPPELL, TX 75019 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 5. Amount of 7. Nature of 3. 4. Securities Acquired (A) 6. Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial anv (Month/Day/Year) Owned (Instr. 8) Direct (D) Ownership Following or Indirect (Instr. 4) Reported (I) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Common 11/14/2014 I 29,750 D \$12 3,288,179 D Stock Common 11/21/2014 Ι 26,656 D \$ 12.1 3,261,523 D Stock Common 12/03/2014 Ι 14.565 D \$ 13.23 3,246,958 D Stock Common 12/08/2014 I 18.298 D \$ 13.71 3,228,660 D Stock Common 12/15/2014 I \$ 12.44 D 21.125 D 3.207.535 Stock

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Common Stock	12/22/2014	Ι	9,553	D	\$ 11.95	3,197,982	D	
Common Stock	01/16/2015	S	5,300	D	\$ 12.286	3,192,682	D	
Common Stock	01/20/2015	S	4,800	D	\$ 12.4679	3,187,882	D	
Common Stock	01/20/2015	А	2,714	А	\$ 12.28	3,190,596	D	
Common Stock						575,298	Ι	See footnotes (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3	ate	7. Titl Amou Under Securi (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

**Reporting Owner Name / Address** 

Relationships

Director 10% Owner Officer Other

Х

BROADY GEORGE K 751 CANYON DRIVE, SUITE 100 X COPPELL, TX 75019

# Signatures

/s/ George K. Broady

01/22/2015

<u>Signature</u> of
Reporting Person

Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Through George K. Broady 2012 Irrevocable Trust, of which Mr. Broady is the trustee and a beneficiary.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.