### Edgar Filing: BAKER JOHN D II - Form 4

BAKER JOHN I Form 4 December 18, 20 <b>FORM 4</b> Check this boy if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.	<b>UNITED STATES</b> <b>STATEMENT O</b> Filed pursuant to Section 17(a) of the	Was F CHAN Section 10	CITIES AND EXCHANGE Shington, D.C. 20549 GES IN BENEFICIAL OV SECURITIES 6(a) of the Securities Exchar ility Holding Company Act	<b>WNERSHIP OF</b> nge Act of 1934,	OMB Number: Expires: Estimated burden hou response	urs per	
<i>See</i> Instructior 1(b).	<sub>1</sub> 30(h)	of the In	vestment Company Act of 1	940			
1. Name and Address of Reporting Person *       2         BAKER JOHN D II       Sy		2. Issuer Name <b>and</b> Ticker or Trading Symbol WACHOVIA CORP NEW [WB]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) PO BOX 4667	(First) (Middle)	3. Date of (Month/D 12/15/20		Officer (give title Other (specify below) below)			
			. If Amendment, Date Original       6. Individual or Joint/Group Fil         . ïled(Month/Day/Year)       Applicable Line)         Form filed by One Reporting I       Form filed by More than One I         Form filed by More than One I       Person			erson	
(City)     (State)     (Zip)     Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
	any	emed on Date, if 'Day/Year)	3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock				20,329	D		
Common Stock				300	I	by JDB Irr. Trust	
Common Stock				10,900	I	by CLB Trust	
Common Stock				300	I	by SAB Irr. Trust	
Common Stock				130	I	by wife	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	f	6. Date Exerc	cisable and	7. Title and A	Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionDerivative		Expiration Date		Underlying Securities		
Security	or Exercise		any	Code	Securities		(Month/Day/	Year)	(Instr. 3 and	4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)	) or				
	Derivative				Disposed of (	(D)				
	Security				(Instr. 3, 4, and	nd				
					5)					
				Code V		<b>(D)</b>	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
				Code v	(A)	(D)				Shares
Phantom Stock Units <u>(1)</u>	\$ 0	12/15/2006		А	211.6925		(2)	(2)	Common Stock	211.6925

### **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships					
	Director	10% Owner	Officer	Other		
BAKER JOHN D II						
PO BOX 4667	Х					
JACKSONVILLE, FL 32201						
<u>~</u> .						

# Signatures

John D. Baker, II	12/18/2006			
<u>**</u> Signature of Reporting Person	Date			

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The phantom stock converts into common stock on a one-for-one basis.
- (2) Phantom stock units awarded pursuant to the Wachovia director deferred stock unit plan. These units are settled following the di rector's termination of the service as a member of the issuer's board of directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.