#### SULLIVAN DENNIS W

Form 4

October 08, 2009

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

**OMB APPROVAL** 

Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \*

			Symbol					Issuer				
			FERRO CORP [FOE]					(Check all applicable)				
(Last)	(First)	(Middle)			Transaction		_					
				/Day/Year)			>	X Director 10% Owner Officer (give title Other (specify				
C/O FERRO CORPORATION, 1000 10 LAKESIDE AVENUE			10/07/	2009			ow)	below)	(specify			
(Street)				nendment, l	Date Original		Individual or Joint/Group Filing(Check					
Filed				onth/Day/Ye	ear)			pplicable Line)				
								_ Form filed by One Reporting Person _ Form filed by More than One Reporting				
CLEVELAND, OH 44114								erson				
(City)	(State)	(Zip)	Tal	ble I - Non	-Derivative Se	curiti	es Acquire	ed, Disposed of, o	r Beneficially	y Owned		
1.Title of	2. Transaction Date	2A. Deemed	d	3.	4. Securities		red (A) or	5. Amount of	6.	7. Nature of		
Security	(Month/Day/Year)	Execution I	Date, if	* ` ′				Securities	- · · · · · · · · · · · · · · · · · · ·	Indirect Beneficial		
(Instr. 3)		any (Month/Day	v/Year)	Code (Instr. 8)	(Instr. 3, 4 an	ia 5)		Beneficially Owned	Direct (D)	Ownership		
		(======================================	,,,	(======================================				Following	or Indirect	(Instr. 4)		
						(A)		Reported	(I)			
						or		Transaction(s) (Instr. 3 and 4)	(Instr. 4)			
				Code V	Amount	(D)	Price	(Ilisti. 3 and 4)				
~							Φ.			Director		
Common	10/07/2009			A	1,897.877	A	\$	64,186.556	I	Deferred		
Stock					<u>(1)</u>		8.5622	<u>(2)</u>		Comp		
										Plan		
Common								2,625	D			
Stock								_,0_0	_			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Edgar Filing: SULLIVAN DENNIS W - Form 4

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Fransaction Date onth/Day/Year)  3A. Deemed Execution Date, if any (Month/Day/Year)  (Month/Day/Year)  4. 5. 6. Date Exercisable and Expiration Date (Month/Day/Year)  (Instr. 8)  Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				te	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Deferred Stock Units	<u>(3)</u>					02/25/2010	02/25/2010	Common Stock	3,800
Stock Options (Right to Buy)	\$ 22.875					04/28/2001	04/28/2010	Common Stock	2,500
Stock Options (Right to Buy)	\$ 20.7					04/27/2002	04/27/2011	Common Stock	2,500
Stock Options (Right to Buy)	\$ 25.5					02/11/2003	02/11/2012	Common Stock	2,500
Stock Options (Right to Buy)	\$ 21.26					02/29/2004	02/28/2013	Common Stock	7,000
Stock Options (Right to Buy)	\$ 26.26					02/09/2005	02/09/2014	Common Stock	7,000
Stock Options (Right to Buy)	\$ 19.39					02/07/2006	02/07/2015	Common Stock	7,000
Stock Options (Right to	\$ 20.69					02/16/2007	02/16/2016	Common Stock	7,000

8. Pri Deriv Secur (Instr Buy)

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SULLIVAN DENNIS W C/O FERRO CORPORATION 1000 LAKESIDE AVENUE CLEVELAND, OH 44114



### **Signatures**

/s/ John T. Bingle, Treasurer, by Power of Attorney

10/08/2009

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Director Fees are deferred quarterly to the Ferro Corporation Deferred Compensation Plan for Non-Employee Directors, which in turn,
- (1) makes contributions to the Ferro Dividend Reinvestment Plan for the purchase of Ferro Common Stock. Deferral elections are irrevocable and distributions occur only upon death or cessation of the directorship.
- (2) Balance includes shares acquired pursuant to dividend reinvestment.
- Award granted to Non-Employee Directors. At the end of the deferral period of one year, the units are redeemable for an equal number of shares of Ferro Common Stock. The units do not have the right to vote or receive dividends and are subject to forfeiture if the recipient is no longer serving as a Director at the end of the deferral period except in the case of retirement, disability or death.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3