Edgar Filing: SHAWLEY STEVEN R - Form 4

SHAWLEY ST	EVEN R									
Form 4 September 06, 2	012									
	1								PPROVAL	
FORM 4	UNITED	STATES		RITIES An ashington			COMMISSION	N OMB Number:	3235-0287	
Check this box									January 31, 2005	
STATEMENT OF CHANGES IN BENEFICIAL OWN Section 16. Form 4 or							WNERSHIP OF	Estimated burden hou response	average urs per	
Form 5 obligations may continue <i>See</i> Instructio 1(b).	Section 17((a) of the l	Public U	Jtility Hol	lding Co		nge Act of 1934, of 1935 or Section 940	on		
(Print or Type Resp	onses)									
1. Name and Address of Reporting Person <u>*</u> SHAWLEY STEVEN R			Symbol	er Name an oll-Rand p		r Trading	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Middle)	Ũ	•			(Check all applicable)			
			3. Date of Earliest Transaction (Month/Day/Year) 09/05/2012				Director 10% Owner X Officer (give title Other (specify below) below)			
COMPANY, 80 STREET	00-E BEATY						below) Senior V	ice President an	d CFO	
(Street) 4. If Amen Filed(Mont					-	oint/Group Filing(Check One Reporting Person More than One Reporting				
DAVIDSON, N	NC 28036						Person	wore than one R	eporting	
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivative	e Securities A	cquired, Disposed	of, or Beneficia	lly Owned	
	'ransaction Date onth/Day/Year)	Execution any	Date, if	Code (Instr. 8)	4. Securit onAcquired Disposed (Instr. 3, Amount	(A) or l of (D) 4 and 5) (A) or	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Report of	on a separate line	e for each cl	ass of sec	urities bene	ficially ow	ned directly	or indirectly.			
·	·				Perso inforr requi	ons who res nation cont red to resp ays a curre	spond to the colle tained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	
	Tab					sposed of, or convertible	Beneficially Owned securities)	I		

1. Title of	2.	3. Transaction Date	3A. Deemed	4. 5. Number	6. Date Exercisable and	7. Title and Amount	8. Pric
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction of Derivative	Expiration Date	of Underlying	Deriva

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Security (Instr. 3)	•		(Month/Day/Year)) Acc (A) Dis (D) (Ins	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		(Month/Day/Year)		Securities (Instr. 3 and 4)		Securit (Instr.
				Code	V (.	A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Units	\$ 0	09/05/2012		А	67	.84		<u>(1)</u>	<u>(1)</u>	Ordinary Shares	67.84	\$ (

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
SHAWLEY STEVEN R C/O INGERSOLL-RAND COMPANY 800-E BEATY STREET DAVIDSON, NC 28036			Senior Vice President and CFO				
Signatures							
/s/ S. Wade Sheek - Attorney-in-Fact	09/06/2	2012					

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These Phantom Stock Units are to be settled in cash equal to the fair market value of ordinary shares multiplied by the number of phantom stock units held upon the reporting person's termination of employment with the issuer.
- (2) Amount represents an approximate number of shares based on the total market value of the reporting person's Company stock fund units, as reported by the trustee of the Company supplemental compensation plans.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.