

Unum Group
Form 4
December 06, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
MCCARTHY KEVIN P

(Last) (First) (Middle)

1 FOUNTAIN SQUARE

(Street)

CHATTANOOGA, TN 37402

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

Unum Group [UNM]

3. Date of Earliest Transaction
(Month/Day/Year)

12/04/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
☒ Officer (give title below) ____ Other (specify below)

EVP & Chief Operating Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/04/2013		<u>M</u> ⁽¹⁾	V Amount (A) or (D) Price 1,000 A \$ 23.74	177,993 ⁽²⁾	D	
Common Stock	12/04/2013		<u>M</u> ⁽¹⁾	1,060 A \$ 20.78	179,053 ⁽³⁾	D	
Common Stock	12/04/2013		<u>M</u> ⁽¹⁾	1,060 A \$ 26.29	180,113 ⁽⁴⁾	D	
Common Stock	12/04/2013		<u>M</u> ⁽¹⁾	760 A \$ 23.35	180,873 ⁽⁵⁾	D	
Common Stock	12/04/2013		<u>S</u> ⁽¹⁾	3,880 D \$ 34	176,993 ⁽⁶⁾	D	

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Common Stock 12/05/2013 M⁽¹⁾ 1,518 A \$ 23.35 178,511 ⁽⁷⁾ D

Common Stock 1,856.36 I By N-Q 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable Expiration Date	Title	
Employee Stock Option (right to buy)	\$ 23.74	12/04/2013		M ⁽¹⁾	1,000	⁽⁸⁾ 02/21/2016	Common Stock	1,000
Employee Stock Option (right to buy)	\$ 20.78	12/04/2013		M ⁽¹⁾	1,060	⁽⁹⁾ 02/25/2018	Common Stock	1,060
Employee Stock Option (right to buy)	\$ 26.29	12/04/2013		M ⁽¹⁾	1,060	⁽¹⁰⁾ 02/22/2019	Common Stock	1,060
Employee Stock Option (right to buy)	\$ 23.35	12/04/2013		M ⁽¹⁾	760	⁽¹¹⁾ 02/21/2020	Common Stock	760

Employee

Stock

Option	\$ 23.35	12/05/2013	M ⁽¹⁾	1,518	<u>(11)</u>	02/21/2020	Common Stock	1,518
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(right to
buy)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MCCARTHY KEVIN P 1 FOUNTAIN SQUARE CHATTANOOGA, TN 37402			EVP & Chief Operating Officer	

Signatures

/s/ Jullienne, J. Paul,

Attorney-in-Fact

12/06/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 14, 2013.
 - (2) Includes 84,727 restricted stock units, which may be settled, on a 1-for-1 basis, only in shares of common stock ("stock-settled RSUs"), and 93,266 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
 - (3) Includes 84,727 stock-settled RSUs, and 94,326 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
 - (4) Includes 84,727 stock-settled RSUs, and 95,386 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
 - (5) Includes 84,727 stock-settled RSUs, and 96,146 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
 - (6) Includes 84,727 stock-settled RSUs, and 92,266 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
 - (7) Includes 84,727 stock-settled RSUs, and 93,784 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
 - (8) The options vest in three equal annual installments beginning on February 21, 2009.
 - (9) The options vest in three equal annual installments beginning on February 25, 2011.
 - (10) The options vest in three equal annual installments beginning on February 22, 2012.
 - (11) The options vest in three equal annual installments beginning on February 21, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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