Edgar Filing: PRUDENTIAL FINANCIAL INC - Form 4

PRUDENTIAL Form 4 March 24, 201	L FINANCIAL 4	INC								
FORM	Л								PPROVAL	
-	SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549				OMB Number:	3235-0287				
if no longer subject to Section 16. Form 4 or Form 5 obligations	Section 16. SECURITIES Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1040						burden hou response	Estimated average burden hours per response 0.5		
1(b). (Print or Type Rea					Ĩ					
JI III	1									
1. Name and Address of Reporting Person [*] CASELLAS GILBERT F			2. Issuer Name and Ticker or Trading Symbol PRUDENTIAL FINANCIAL INC [PRU]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
	STREET, 4TH N. CORPORA	Middle) TE	3. Date o	of Earliest Tr Day/Year) 2014	ransaction		X Director Officer (give below)		% Owner her (specify	
Filed				. If Amendment, Date Original iled(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
NEWARK, N	J 07102						Person		eporting	
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative	Securities A	Acquired, Disposed o	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deeme Execution I any (Month/Day/Year)		Date, if	Code Disposed of (D) ear) (Instr. 8) (Instr. 3, 4 and 5) (A) or		Securities I Beneficially (Owned (Following (Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect			
D . I D		C	c	Code V		(D) Price				
Reminder: Repor	t on a separate line	tor each cla	ass of sec	urities benef	icially ow	ned directly	or indirectly.			

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number 6. Date Exercisable and onof Expiration Date Derivative (Month/Day/Year) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pric Deriva Securi (Instr.
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Notional Shares - Mandatory	\$ 0 <u>(1)</u>	03/20/2014		А	153	(2)	(2)	Common Stock	153	\$ 87
2013 Restricted Stock Units	\$ 0 <u>(3)</u>	03/20/2014		А	11	(4)	<u>(4)</u>	Common Stock	11	\$ 87

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Reporting Owners

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			
CASELLAS GILBERT F 751 BROAD STREET, 4TH FLOOR ATTN. CORPORATE COMPLIANCE NEWARK, NJ 07102	X						
Signatures							
/s/Brian J. Morris, attorney-in-fact	03/24/2014						
**Signature of Reporting Person	Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each notional share mandatory represents a deferred stock unit and entitles the holder thereof with the right to receive one share of Issuer common stock under the Issuer's deferred compensation plan for non-employee directors.

Such shares are issuable, at the election of the reporting person, to begin on either (i) a date prior to the reporting person's retirement date, provided that such date is no earlier than the January 1 in the year following the plan period during which such fees would otherwise have (2) $\frac{1}{2}$ $\frac{1}{$

- been payable to the reporting person, (ii) within 90 days following the reporting person's retirement date, or (iii) such later date as selected by the reporting person, provided however, that payment must commence in the year the reporting person attains age 70 1/2.
- (3) Each restricted stock unit represents a contingent right to receive the economic equivalent of one share of PRU common stock.

(4) The restricted stock units vest the earlier of the annual meeting or in one year on May 14, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.