

WILLIAMS SONOMA INC
Form 3
June 05, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|---|---|---|---|---|---|
| <p>1. Name and Address of Reporting Person *</p> <p>Â Brett James W. Jr.</p> <p>(Last) (First) (Middle)</p> <p>3250 VAN NESS AVE.</p> <p>(Street)</p> <p>SAN FRANCISCO,Â CAÂ 94109</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>05/29/2015</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>WILLIAMS SONOMA INC [WSM]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below)</p> <p>PRESIDENT WEST ELM BRAND</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p> |
|---|---|---|---|---|---|

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 21,251 | D | Â |
| Common Stock | 1,838 | I | By Managed Account <u>(1)</u> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--|---|---|--|
|---|---|--|---|---|--|

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| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) | |
|------------------------|------------------|-----------------|--------------|----------------------------|----------|---------------------------------------|---|
| Restricted Stock Units | Â (2) | Â (3) | Common Stock | 20,043 | \$ (4) | D | Â |
| Restricted Stock Units | Â (5) | Â (3) | Common Stock | 16,882 | \$ (4) | D | Â |
| Restricted Stock Units | Â (6) | Â (3) | Common Stock | 23,973 | \$ (4) | D | Â |
| Restricted Stock Units | Â (7) | Â (3) | Common Stock | 22,872 | \$ (4) | D | Â |
| Restricted Stock Units | Â (7) | Â (3) | Common Stock | 13,070 | \$ (4) | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|----------------------------|
| | Director | 10% Owner | Officer | Other |
| Brett James W. Jr. 3250 VAN NESS AVE. SAN FRANCISCO, CA 94109 | Â | Â | Â | PRESIDENT WEST ELM BRAND Â |

Signatures

/s/ David King, Attorney-in-Fact for James W. Brett
06/05/2015

Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the number of shares held by the reporting person in the Williams-Sonoma, Inc. Stock Fund under the Williams-Sonoma, Inc. 401(k) Plan, based on a statement dated June 4, 2015.
- (2) These restricted stock units will vest on 4/16/2016. Vesting of these units is subject to a performance metric.
- (3) The restricted stock units are cancelled upon vesting and delivery of shares of WSM common stock.
- (4) Each restricted stock unit represents a contingent right to receive one share of WSM common stock.
- (5) These restricted stock units will vest in two equal parts on 4/26/2016 and 4/26/2017. Vesting of these units is subject to a performance metric.
- (6) These restricted stock units will vest in three equal parts on 4/22/2016, 4/22/2017, and 4/22/2018. Vesting of these units is subject to a performance metric.
- (7) These restricted stock units will vest in four equal parts on 4/20/2016, 4/20/2017, 4/20/2018, and 4/20/2019. Vesting of these units is subject to a performance metric.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.