## Edgar Filing: PRUDENTIAL FINANCIAL INC - Form 4

PRUDENTIAL FINANCIA Form 4 May 12, 2016	AL INC	Ū								
Check this box if no longer subject to Section 16. Form 4 or Form 5 Filed p	EMENT OI ursuant to S 7(a) of the I	Wa F CHAN Section T Public U	NGES IN SECUF 16(a) of th Utility Hole	, D.C. 20 BENEF RITIES le Securit ding Cor	<b>1549</b> ICIAL O <sup>1</sup> ties Excha	<b>COMMISSION</b> <b>WNERSHIP OF</b> nge Act of 1934, of 1935 or Section 940	N OMB Number: Expires: Estimated burden hou response.	urs per		
SCOVANNER DOUGLAS A Symbol PRU			2. Issuer Name <b>and</b> Ticker or Trading ymbol RUDENTIAL FINANCIAL INC PRU]			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) 751 BROAD STREET, 4T FLOOR, ATTN: CORPOR COMPLIANCE			of Earliest Tr Day/Year) 2016	ransaction		X Director Officer (giv below)		% Owner her (specify		
(Street) NEWARK, NJ 07102	Filed(Mo			nendment, Date Original Ionth/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City) (State)	(Zip)	Tab	le I - Non-I	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	ally Owned		
	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Price		Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect			
Reminder: Report on a separate li	ne for each cl	ass of sec	urities benef	ficially ow	ned directly	or indirectly.				

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	rivative Conversion (Month/I curity or Exercise		3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction f Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
2016 Restricted Stock Units	<u>(1)</u>	05/10/2016		А	1,944	(2)	(2)	Common Stock	1,944	\$

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## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
SCOVANNER DOUGLAS A 751 BROAD STREET, 4TH FLOOR ATTN: CORPORATE COMPLIANCE NEWARK, NJ 07102	Х					
Signatures						
/s/John M. Cafiero, attorney-in-fact	05/12/20	16				
**Signature of Reporting Person	Date					

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Each restricted stock unit represents a contingent right to receive one share of PRU common stock or the economic equivalent thereof. The restricted stock units become payable, in PRU common stock or in cash, at the election of the reporting person in 2021 unless the reporting person elects to further defer the distribution pursuant to the terms of the Prudential Einancial Inc. 2011 Deferred

- (1) The restricted stock units become payable, in PRO common stock of in easily, at the electron of the reporting person in 2021 ( reporting person elects to further defer the distribution pursuant to the terms of the Prudential Financial, Inc. 2011 Deferred Compensation Plan for Non-Employee Directors.
- (2) The restricted stock units vest the earlier of the annual meeting or in one year on May 10, 2017 and were deferred under the Prudential Financial, Inc. 2011 Deferred Compensation Plan for Non-Employee Directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.