

HOLOGIC INC
Form 4
October 12, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Oberton Karleen Marie

(Last) (First) (Middle)
250 CAMPUS DRIVE
(Street)

MARLBOROUGH, MA 01752

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
HOLOGIC INC [HOLX]

3. Date of Earliest Transaction (Month/Day/Year)
10/10/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Corp. VP & Chief Acctg Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	10/10/2016		M	A	\$ 8,158 16.82	D	
Common Stock	10/10/2016		M	A	\$ 8,402 17.09	D	
Common Stock	10/10/2016		M	A	\$ 7,994 19.86	D	
Common Stock	10/10/2016		M	A	\$ 6,916 21.45	D	
Common Stock	10/10/2016		S	D	31,470 (1) \$ 40	D	9,065 (2)

Edgar Filing: HOLOGIC INC - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	8. An or Nu of Sh
Non-qualified Stock Option (Right to Buy)	\$ 16.82	10/10/2016		M	8,158	11/10/2011 ⁽³⁾ 11/10/2017	Common Stock	8
Non-qualified Stock Option (Right to Buy)	\$ 17.09	10/10/2016		M	8,402	11/09/2012 ⁽⁴⁾ 11/09/2018	Common Stock	8
Non-qualified Stock Option (Right to Buy)	\$ 19.86	10/10/2016		M	7,994	11/14/2013 ⁽⁵⁾ 11/14/2019	Common Stock	7
Non-qualified Stock Option (Right to Buy)	\$ 21.45	10/10/2016		M	6,916	11/18/2014 ⁽⁶⁾ 11/18/2020	Common Stock	6

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Oberton Karleen Marie 250 CAMPUS DRIVE MARLBOROUGH, MA 01752			Corp. VP & Chief Acctg Officer	

Signatures

/s/ Patricia K. Dolan, attorney-in-fact for Ms. Oberton 10/12/2016

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares sold pursuant to an existing Rule 10b5-1 trading plan.
- (2) Includes 218 shares acquired pursuant to the Issuer's employee stock purchase plan since the date of the Reporting Person's most recently filed Form 4.
- (3) The option, issued pursuant to the 2008 Equity Incentive Plan, is exercisable in five equal, annual installments beginning November 10, 2011.
- (4) The option, issued pursuant to the 2008 Equity Incentive Plan, is exercisable in five equal, annual installments beginning November 9, 2012.
- (5) The option, issued pursuant to the 200 Equity Incentive Plan, is exercisable in five equal, annual installments beginning November 14, 2013.
- (6) The option, issued pursuant to the 2008 Equity Incentive Plan, is exercisable in five equal, annual installments beginning November 18, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.