Edgar Filing: DEVRY EDUCATION GROUP INC. - Form 3

DEVRY EDUCATION GROUP INC.

Form 3

November 16, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement DEVRY EDUCATION GROUP INC. [DV] Lau Fernando (Month/Day/Year) 11/10/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 3005 HIGHLAND PARKWAY (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director _X_ Form filed by One Reporting _X__ Officer Other Person (give title below) (specify below) **DOWNERS** Form filed by More than One Chief Marketing Officer GROVE, ILÂ 60515 Reporting Person (State) (City) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock (1) 9,450 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisab Expiration Date | le and | 3. Title and A | | 4. Conversion | 5. Ownership | 6. Nature of Indirect Beneficial |
|--|-----------------------------------|-----------------|-----------------------|------------------------|---------------|-----------------|----------------------------------|
| (IIISU. 4) | | | Securities Underlying | | | | |
| | (Month/Day/Year) | | Derivative Se | ecurity | or Exercise | Form of | Ownership |
| | | | (Instr. 4) | | Price of | Derivative | (Instr. 5) |
| | Date Exercisable | Expiration Date | Title | Amount or Number of | Derivative | Security: | |
| | | | | | Security | Direct (D) | |
| | | | | | Ž | or Indirect | |

Edgar Filing: DEVRY EDUCATION GROUP INC. - Form 3

| | | | | Shares | | (I) (Instr. 5) | |
|--|---------------|------------|-----------------|--------|----------|-------------------|---|
| Incentive Stock Option (Right to Buy) | 08/27/2011(2) | 08/27/2020 | Common Stock | 1,100 | \$ 38.71 | D | Â |
| Incentive Stock Option (Right to Buy) | 08/24/2012(2) | 08/24/2021 | Common Stock | 2,025 | \$ 41.87 | D | Â |
| Incentive Stock Option (Right to Buy) | 08/29/2013(2) | 08/29/2022 | Common Stock | 2,125 | \$ 18.6 | D | Â |
| Incentive Stock Option (Right to Buy) | 08/21/2014(2) | 08/21/2023 | Common Stock | 1,950 | \$ 28.32 | D | Â |
| Non-qualified Stock Option (Right to Buy) | 08/20/2015(2) | 08/20/2024 | Common Stock | 1,825 | \$ 43.47 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--|---------------|-----------------------|-------------------------|-------|--|--|
| | Director | tor 10% Owner Officer | | Other | | |
| Lau Fernando 3005 HIGHLAND PARKWAY DOWNERS GROVE, IL 60515 | Â | Â | Chief Marketing Officer | Â | | |

Signatures

/s/ Gregory S. Davis, for Fernando Lau

11/16/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes restricted stock units which represent a right to receive one share of common stock for each restricted stock unit. Each restricted stock units vest 25% on the anniversary of the original grant date and is fully-vested on the four year anniversary of the grant date.
- (2) This option vests at 25% per year. This option will be fully vested at the end of the 4th year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2