Levy Lawrence M Form 4 October 12, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

Number:

3235-0287 January 31,

2005

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if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Levy Lawrence M

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last) (First)

(Middle)

(Zip)

HOLOGIC INC [HOLX]

(Check all applicable)

250 CAMPUS DRIVE

3. Date of Earliest Transaction (Month/Day/Year)

Filed(Month/Day/Year)

10/10/2017

X\_ Director 10% Owner Other (specify Officer (give title below)

(City)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

### MARLBOROUGH, MA 01752

(Street)

(State)

		Tabl	ic I - Moll-L	erranve	Secui	ines Acqui	ii cu, Disposcu oi	, or beneficiali	y Owneu
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	nsaction Date 2A. Deemed h/Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or			Securities Beneficially Owned Following I	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount		Price	(Instr. 3 and 4)		
Common Stock	10/10/2017		M <u>(1)</u>	9,596	A	\$ 18.82	39,033 (2)	D	
Common Stock	10/10/2017		S <u>(1)</u>	9,596	D	\$ 36.809 (3)	29,437 (2)	D	
Common Stock	10/11/2017		M(1)	3,178	A	\$ 18.82	32,615 <u>(2)</u>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

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#### number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date		7. Title and Amount (Underlying Securitie) (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shares
Non-qualified Stock Option (Right to Buy)	\$ 18.82	10/10/2017		M <u>(1)</u>	9,596	<u>(4)</u>	01/01/2018	Common Stock	9,590
Non-qualified Stock Option (Right to Buy)	\$ 18.82	10/11/2017		M(1)	3,178	<u>(4)</u>	01/01/2018	Common Stock	3,178

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer Oth				
Levy Lawrence M							
250 CAMPUS DRIVE	X						
MARLBOROUGH, MA 01752							

## **Signatures**

/s/ Patricia K. Dolan, attorney-in-fact for Mr.

Levy 10/12/2017

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction pursuant to an existing Rule 10b5-1 trading plan.
- (2) Includes 2,427 shares subject to deferral pursuant to the Issuer's Deferred Equity Plan.
- The price reported is the weighted average price per share. Shares were sold in multiple transactions at prices ranging from \$36.67 to (3) \$36.96 per share. The Reporting Person will provide, upon request by the Commission staff, the Issuer or a security holder of the Issuer, full information regarding the number of shares sold at each price.

Date

(4) The option became fully vested on January 1, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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