Edgar Filing: BAGSHAW SETH H - Form 4

BAGSHAV Form 4									
February 13	ЛЛ								PPROVAL
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									3235-0287
Check t if no lou subject Section Form 4 Form 5 obligati	nger to 16. or Filed pur ons Section 17(Section	SECUI 16(a) of th	Estimated burden hou response	Estimated average burden hours per response 0.5				
may con <i>See</i> Inst 1(b).	ntinue.			•	U	ny Act of			
(Print or Type	Responses)								
1. Name and BAGSHA	Person [*]	Symbol	er Name an NSTRUN		r Trading NC [MKS	5. Relationship of Reporting Person(s) to Issuer(Check all applicable)			
(Last) 2 TECH D		of Earliest T Day/Year) 2018	ransaction		Director 10% Owner X Officer (give title Other (specify below) below) Sr. VP, CFO & Treasurer				
ANDOVE	4. If Amendment, Date Original Filed(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
(City)	(State)	(Zip)	Tab	ole I - Non-J	Derivative	e Securities A	Person Acquired, Disposed	of. or Beneficia	llv Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		ed Date, if	3. Transactic Code (Instr. 8)	4. Securi mAcquirec Disposec (Instr. 3,	ties l (A) or l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect
Reminder: Re	eport on a separate line	e for each cla	ass of sec		ficially ow Perso infor requi	ned directly ons who re mation con red to resp ays a curre		n are not rm	SEC 1474 (9-02)

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr.	8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number or Shares
Restricted Stock Unit	<u>(1)</u>	02/12/2018		J <u>(2)</u>			2,570.163	(3)	(3)	Common Stock	2,570.16

Reporting Owners

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
BAGSHAW SETH H 2 TECH DRIVE, SUITE 201 ANDOVER, MA 01810			Sr. VP, CFO & Treasurer				
Signatures							
/s/ M. Kathryn Rickards, attorney-in-fact	02/12/	02/12/2018					

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents the contingent right to receive one share of common stock of MKS Instruments, Inc.
- (2) This transaction reflects the forfeiture of RSUs due to performance criteria.
- (3) These RSUs are subject to the achievement of performance criteria determined in the first year of grant and thereafter vest in three equal installments beginning on February 15, 2018, provided that if, in any vesting year, February 15th is not a business day, such vesting shall occur on the next business day.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.