Knudsen Jeannette L Form 4 May 31, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL OMB** 

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Knudsen Jeannette L

(Zip)

5. Relationship of Reporting Person(s) to Issuer

Symbol

J M SMUCKER Co [SJM]

(Check all applicable)

(Last)

(City)

Security

(Instr. 3)

(First) (Middle) 3. Date of Earliest Transaction

Director

10% Owner Other (specify

(Month/Day/Year)

05/29/2018

X\_ Officer (give title below) SVP, GC & Secretary

below)

ONE STRAWBERRY LANE

(Street)

(State)

(Month/Day/Year)

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person

6. Individual or Joint/Group Filing(Check

(Instr. 4)

Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

ORRVILLE, OH 44667

1. Title of 2. Transaction Date 2A. Deemed

3. Execution Date, if TransactionAcquired (A) or Code

(Instr. 8)

4. Securities Disposed of (D) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I)

Ownership (Instr. 4)

(A) Code V Amount (D) Price

Transaction(s) (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if

any

4. 5. Number Transaction Derivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of **Underlying Securities** (Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr.	8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option Common Shares	\$ 111.86	05/29/2018		A		3,333 (1)		05/29/2018	03/19/2025	Common Shares	3,333
Option Common Shares	\$ 111.86							06/15/2017	03/19/2025	Common Shares	6,667

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Knudsen Jeannette L

ONE STRAWBERRY LANE SVP, GC & Secretary ORRVILLE, OH 44667

Signatures

/s/ Jeannette L. 05/31/2018 Knudsen, POA

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On March 19, 2015, the reporting person was granted a performance-based non-statutory stock option to purchase 20,000 shares of common stock. The option vests in 3 equal annual installments based 50% on the Company's achievement of "synergy realization"

(1) performance objectives and 50% on the Company's achievement of EBITDA performance objectives for each of the fiscal years ending April 30, 2016, 2017, and 2018. The "synergy realization" objective for the fiscal year ending April 30, 2018 was met, resulting in vesting of one-sixth of the total shares subject to the option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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