

Gaddes Kathy H.  
Form 4  
July 23, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Gaddes Kathy H.

2. Issuer Name and Ticker or Trading Symbol  
AMERISOURCEBERGEN CORP  
[ABC]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

(Last) (First) (Middle)  
1300 MORRIS DRIVE  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
07/20/2018

\_\_\_\_ Director  
 Officer (give title below) \_\_\_\_\_ 10% Owner  
\_\_\_\_\_ Other (specify below)  
Executive Vice President

CHESTERBROOK, PA 19428

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V Amount (A) or (D) Price   |   |  |                                   |
| Common Stock                    | 07/20/2018                           |  | M                              | 624 <sup>(1)</sup> A \$ 37.37                                     | 1,863   | D  |                                   |
| Common Stock                    | 07/20/2018                           |  | S                              | 624 <sup>(1)</sup> D \$ 85  | 1,239   | D  |                                   |
| Common Stock                    | 07/20/2018                           |  | M                              | 2,028 <sup>(1)</sup> A \$ 40.21                                   | 3,267   | D  |                                   |
| Common Stock                    | 07/20/2018                           |  | S                              | 2,028 <sup>(1)</sup> D \$ 85                                      | 1,239   | D  |                                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|--|--|---|----------------------------|
| Non-qualified Stock Option (Right to Buy)  | \$ 37.37   | 07/20/2018                           |  | M                              | 624<br>(1)   | (2) 03/01/2019   | Common Stock  | 624                        |
| Non-qualified Stock Option (Right to Buy)  | \$ 40.21   | 07/20/2018                           |  | M                              | 2,028<br>(1)   | (3) 11/14/2019   | Common Stock  | 2,028                      |

## Reporting Owners

| Reporting Owner Name / Address                                 | Relationships |           |                          |       |
|--|---------------|-----------|--------------------------|-------|
|  | Director      | 10% Owner | Officer                  | Other |
| Gaddes Kathy H.<br>1300 MORRIS DRIVE<br>CHESTERBROOK, PA 19428 |               |           | Executive Vice President |       |

## Signatures

John G. Chou for Kathy H.  
Gaddes  
07/23/2018

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The exercise and sale of common stock reflected in this Form 4 was pursuant to a Rule 10b-5 trading plan dated May 4, 2018.

(2) Exercisable in four equal annual installments on 3/01/13, 3/01/14, 3/01/15 and 3/01/16

(3) Exercisable in four equal annual installments on 11/14/13, 11/14/14, 11/14/15 and 11/14/16

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

## Edgar Filing: Gaddes Kathy H. - Form 4

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