

Korb Brent L
Form 4
August 01, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Korb Brent L

2. Issuer Name and Ticker or Trading Symbol
Quanex Building Products CORP
[NX]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
1800 WEST LOOP SOUTH, SUITE 1500

(Street)

3. Date of Earliest Transaction (Month/Day/Year)
07/30/2018

____ Director
 Officer (give title below) Sr. VP-Finance & CFO
____ 10% Owner
____ Other (specify below)

HOUSTON, TX 77027

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
Common Stock	07/30/2018		M ⁽¹⁾		11,502	A	\$ 15.32
							114,307.257
Common Stock	07/30/2018		S ⁽¹⁾		11,502	D	\$ 17.2575
							102,805.257
Common Stock	07/31/2018		M ⁽¹⁾		63,400	A	\$ 15.32
							166,205.257
Common Stock	07/31/2018		S ⁽¹⁾		63,400	D	\$ 17.521
							102,805.257
	08/01/2018		M ⁽¹⁾		25,098	A	\$ 15.32
							127,903.257

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Common
Stock

Common Stock	08/01/2018		<u>S</u> ⁽¹⁾	25,098	D	\$ 16.9655	102,805.257	D
						<u>(4)</u>		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Stock Options (Right to Buy)	\$ 15.32	07/30/2018		<u>M</u> ⁽¹⁾	11,502	08/01/2009 ⁽⁵⁾	08/01/2018	Common Stock	11,500
Stock Options (Right to Buy)	\$ 15.32	07/31/2018		<u>M</u> ⁽¹⁾	63,400	08/01/2009 ⁽⁵⁾	08/01/2018	Common Stock	63,400
Stock Options (Right to Buy)	\$ 15.32	08/01/2018		<u>M</u> ⁽¹⁾	25,098	08/01/2009 ⁽⁵⁾	08/01/2018	Common Stock	25,098

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Korb Brent L 1800 WEST LOOP SOUTH SUITE 1500 HOUSTON, TX 77027			Sr. VP-Finance & CFO	

Signatures

/s/ Paul B. Cornett, Power of
Attorney

08/01/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The stock option exercises and related sales of stock reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on January 9, 2018.

The price reported in Column 4 is a weighted price. These shares were sold in multiple transactions at prices ranging from \$17.00 to \$17.35, inclusive. The reporting person undertakes to provide to Quanex Building Products Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.
- (2) The price reported in Column 4 is a weighted price. These shares were sold in multiple transactions at prices ranging from \$17.05 to \$17.75, inclusive. The reporting person undertakes to provide to Quanex Building Products Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.
- (3) The price reported in Column 4 is a weighted price. These shares were sold in multiple transactions at prices ranging from \$16.50 to \$17.50, inclusive. The reporting person undertakes to provide to Quanex Building Products Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.
- (4) The option becomes exercisable in one third increments annually beginning one year from date of grant.
- (5)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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