#### Edgar Filing: COHN BENJAMIN JORDAN - Form 3

#### **COHN BENJAMIN JORDAN**

Form 3

August 06, 2018

# FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person 2. Date of Event 3. Issuer Name and Ticker or Trading Symbol Requiring Statement HOLOGIC INC [HOLX]  **COHN BENJAMIN JORDAN** (Month/Day/Year) 08/03/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 250 CAMPUS DRIVE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) MARLBOROUGH, MAÂ 01752 Form filed by More than One Principal Accounting Officer Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 13,083 (1) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

 $Table\ II\ -\ Derivative\ Securities\ Beneficially\ Owned\ (\textit{e.g.},\ puts,\ calls,\ warrants,\ options,\ convertible\ securities)$ 

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership	
			(Instr. 4)		Price of	Derivative	(Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative	Security:		
					Security	Direct (D)		
						or Indirect		

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				Shares		(I) (Instr. 5)	
Non-qualified Stock Option (Right to Buy)	(2)	11/09/2018	Common Stock	3,437	\$ 17.09	D	Â
Non-qualified Stock Option (Right to Buy)	(3)	11/14/2019	Common Stock	16,830	\$ 19.86	D	Â
Non-qualified Stock Option (Right to Buy)	(4)	11/18/2020	Common Stock	8,779	\$ 21.45	D	Â
Non-qualified Stock Option (Right to Buy)	(5)	05/26/2021	Common Stock	2,986	\$ 23.82	D	Â
Non-qualified Stock Option (Right to Buy)	(6)	11/07/2024	Common Stock	11,542	\$ 26.21	D	Â
Non-qualified Stock Option (Right to Buy)	(7)	11/05/2025	Common Stock	8,396	\$ 39.96	D	Â
Non-qualified Stock Option (Right to Buy)	(8)	12/01/2026	Common Stock	8,210	\$ 37.64	D	Â
Non-qualified Stock Option (Right to Buy)	(9)	12/01/2027	Common Stock	7,686	\$ 40.85	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Troporting of Wheel Primary Primare	Director	10% Owner	Officer	Other		
COHN BENJAMIN JORDAN 250 CAMPUS DRIVE MARLBOROUGH, MA 01752	Â	Â	Principal Accounting Officer	Â		

### **Signatures**

/s/ Patricia K. Dolan, attorney-in-fact for Mr. Cohn

08/06/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes restricted stock unit awards which vest in equal installments on each of the first three or four anniversaries of the grant date and are settled in shares of common stock.
- (2) This option to purchase common stock is fully exercisable. The original grant was exercisable in equal installments on each of the first five anniversaries of the grant date, November 9, 2011.
- (3) This option to purchase common stock is fully exercisable. The original grant was exercisable in equal installments on each of the first five anniversaries of the grant date, November 14, 2012.
- (4) This option to purchase common stock is fully exercisable except as to 2,927 shares which become exercisable on November 18, 2018. The original grant was exercisable in equal installments on each of the first five anniversaries of the grant date, November 18, 2013.

**(5)** 

Reporting Owners 2

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This option to purchase common stock becomes exercisable in equal installments on each of the first five anniversaries of the grant date, May 26, 2014.

- (6) This option to purchase common stock becomes exercisable in equal installments on each of the first five anniversaries of the grant date, November 7, 2014.
- (7) This option to purchase common stock becomes exercisable in equal installments on each of the first four anniversaries of the grant date, November 5, 2015.
- (8) This option to purchase common stock becomes exercisable in equal installments on each of the first four anniversaries of the grant date, December 1, 2016
- (9) This option to purchase common stock becomes exercisable in equal installments on each of the first four anniversaries of the grant date, December 1, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.