

EASTHAM KARIN

Form 4

January 04, 2019

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
EASTHAM KARIN

2. Issuer Name **and** Ticker or Trading
Symbol
ILLUMINA INC [ILMN]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

5200 ILLUMINA WAY

3. Date of Earliest Transaction
(Month/Day/Year)
11/06/2018

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)

SAN DIEGO, CA 92122

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	11/06/2018		G	V	184	D	\$ 0	12,655	D	
Common Stock	11/27/2018		G	V	315	D	\$ 0	12,340	D	
Common Stock	11/28/2018		G	V	458	D	\$ 0	11,882	D	
Common Stock	12/03/2018		G	V	44	D	\$ 0	11,838	D	
Common Stock	01/02/2019		M		4,000 ⁽¹⁾	A	\$ 71.09	15,838	D	
	01/02/2019		S		333 ⁽¹⁾	D		15,505	D	

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Common Stock					\$ 291.0292 (2)		
Common Stock	01/02/2019	S	566 (1)	D	\$ 292.4054 (3)	14,939	D
Common Stock	01/02/2019	S	1,202 (1)	D	\$ 293.6279 (4)	13,737	D
Common Stock	01/02/2019	S	1,068 (1)	D	\$ 294.7482 (5)	12,669	D
Common Stock	01/02/2019	S	365 (1)	D	\$ 295.7205 (6)	12,304	D
Common Stock	01/02/2019	S	466 (1)	D	\$ 296.5694 (7)	11,838	D
Common Stock	01/02/2019	S	67 (1)	D	\$ 291.0191 (8)	11,771	D
Common Stock	01/02/2019	S	134 (1)	D	\$ 292.2653 (9)	11,637	D
Common Stock	01/02/2019	S	350 (1)	D	\$ 293.585 (10)	11,287	D
Common Stock	01/02/2019	S	232 (1)	D	\$ 294.7325 (11)	11,055	D
Common Stock	01/02/2019	S	117 (1)	D	\$ 295.9309 (12)	10,938	D
Common Stock	01/02/2019	S	100 (1)	D	\$ 296.7756 (13)	10,838	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Security (Instr. 3 and 4)	Amount or Number of Shares
Non-qualified Stock Option (Right to Buy)	\$ 71.09	01/02/2019		M	4,000 (1)	05/29/2014 05/29/2023	Common Stock	4,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
EASTHAM KARIN 5200 ILLUMINA WAY SAN DIEGO, CA 92122		X		

Signatures

By: Scott M. Davies for Karin
Eastham

01/04/2019

____Signature of Reporting Person

____Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale was made pursuant to a 10b5-1 plan.
- (2) Weighted average sale price representing 333 shares sold ranging from \$290.63 to \$291.42 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (3) Weighted average sale price representing 566 shares sold ranging from \$292.02 to \$293.00 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (4) Weighted average sale price representing 1,202 shares sold ranging from \$293.02 to \$294.01 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (5) Weighted average sale price representing 1,068 shares sold ranging from \$294.17 to \$295.08 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (6) Weighted average sale price representing 365 shares sold ranging from \$295.17 to \$296.10 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (7) Weighted average sale price representing 466 shares sold ranging from \$296.18 to \$297.00 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (8) Weighted average sale price representing 67 shares sold ranging from \$290.63 to \$291.42 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.\

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- (9) Weighted average sale price representing 134 shares sold ranging from \$292.02 to \$292.77 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (10) Weighted average sale price representing 350 shares sold ranging from \$293.02 to \$294.01 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (11) Weighted average sale price representing 232 shares sold ranging from \$294.20 to \$295.08 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (12) Weighted average sale price representing 117 shares sold ranging from \$295.56 to \$296.18 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (13) Weighted average sale price representing 100 shares sold ranging from \$296.64 to \$297.00 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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