#### Edgar Filing: CLEAN DIESEL TECHNOLOGIES INC - Form 4

#### CLEAN DIESEL TECHNOLOGIES INC

Form 4 July 05, 2007

## FORM 4

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

if no longer subject to Section 16. Form 4 or

Check this box

January 31, Expires: 2005 Estimated average

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **ROGERS TIMOTHY** Issuer Symbol

CLEAN DIESEL TECHNOLOGIES

(Check all applicable)

INC [CDTI] (Last) (First) (Middle)

3. Date of Earliest Transaction Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year)

C/O CLEAN DIESEL TECHNOLOGIES, INC., 300

below) 07/02/2007 Vice President

ATLANTIC STREET

07/02/2007

(Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

> Filed(Month/Day/Year) Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

34,800

STAMFORD, CT 06901

(City)

Stock

(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed 7. Nature of 4. Securities Acquired 5. Amount of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) (Instr. 8) Owned (D) or Ownership Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s)

3.272

(Instr. 3 and 4) Code V Amount (D) Price Common

Α

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

9,012 (1)

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number 6. Date Exercisab Expiration Date Securities (Month/Day/Year Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                     | e                  | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  |
|---|---|--------------------------------------|---|--|---|---------------------|--------------------|---|--|
|   |   |                                      |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Stock<br>Option                                     | \$ 5.1  | 07/02/2007                           |   | M                                      | 3,272   | 12/20/2005          | 12/20/2015         | Common<br>Stock   | 3,272                                  |

# **Reporting Owners**

| Reporting Owner Name / Address      | Relationships |           |           |       |  |  |
|-------------------------------------|---------------|-----------|-----------|-------|--|--|
| 1                                   | Director      | 10% Owner | Officer   | Other |  |  |
| ROGERS TIMOTHY                      |               |           |           |       |  |  |
| C/O CLEAN DIESEL TECHNOLOGIES, INC. |               |           | Vice      |       |  |  |
| 300 ATLANTIC STREET                 |               |           | President |       |  |  |

## **Signatures**

STAMFORD, CT 06901

Timothy Rogers 07/05/2007

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Amount in Securities Benficially Owned Following Transaction in Table I is different from last Form 4 as a result of a reverse split on June 15, 2007. Change in holdings dated July 2, 1007 in Table I is stated as a post-split amount.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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