

HUB GROUP INC

Form 4

January 06, 2014

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

 1. Name and Address of Reporting Person *
WARD JONATHAN P

(Last) (First) (Middle)

2000 CLEARWATER DRIVE

(Street)

OAK BROOK, IL 60523

(City) (State) (Zip)

 2. Issuer Name and Ticker or Trading Symbol
HUB GROUP INC [HUBG]

 3. Date of Earliest Transaction
 (Month/Day/Year)
01/02/2014

 4. If Amendment, Date Original
 Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Class A Common Stock	01/02/2014		A	5,000 ⁽¹⁾	A \$ 0 6,666 ⁽²⁾	D	
Class A Common Stock	01/02/2014		F	330 ⁽³⁾	D \$ 39.33 6,336 ⁽⁴⁾	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 SEC 1474
 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
WARD JONATHAN P 2000 CLEARWATER DRIVE OAK BROOK, IL 60523			X	

Signatures

Jonathan P.
Ward 01/06/2014

__Signature of
Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Restricted stock subject to a vesting schedule. The restricted stock grant was made by the Board of Directors of Hub Group, Inc. on January 2, 2014.

(2) Since the date of Mr. Ward's last ownership report, he transferred to his ex-wife pursuant to a domestic relations order: (i) 1,006 shares of fully vested Class A Common Stock, (ii) 1,667 unvested shares of Class A Common Stock (with half of such unvested shares vesting on January 2, 2014 and half scheduled to vest on January 2, 2015) and (iii) 100% of Mr. Ward's restricted stock unit award granted on January 2, 2013. Mr. Ward no longer reports as beneficially owned any shares or restricted stock units owned by his ex-wife.

(3) Disposition of shares to satisfy withholding tax obligations with respect to 833 shares on which restrictions lapsed as of 1/2/2014.

(4) 5,833 of the shares of Class A Common Stock are restricted stock subject to vesting requirements.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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