

ASTRO MED INC /NEW/
Form 4
July 24, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Ondis Alexis

(Last) (First) (Middle)

C/O ASTRO-MED, INC., 600 EAST GREENWICH AVENUE

(Street)

WEST WARWICK, RI 02893

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
ASTRO MED INC /NEW/ [ALOT]

3. Date of Earliest Transaction (Month/Day/Year)
07/22/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___X_ 10% Owner
___ Officer (give title below) ___ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | | (A) or (D) Code V Amount (D) Price | | | |
| Common Stock | 07/22/2014 | | S ⁽¹⁾ | 100 D \$ 13.62 | 1,438,842 | I | Held by the Estate of Albert W. Ondis of which the reporting person is a co-executor. |
| Common Stock | 07/22/2014 | | S ⁽¹⁾ | 100 D \$ 13.63 | 1,438,742 | I | Held by the Estate of Albert W. Ondis of |

| | | | | | | | | |
|--------------|------------|--------------|-----|---|-------------|-----------|---|---|
| Common Stock | 07/22/2014 | <u>S</u> (1) | 300 | D | \$ 13.64 | 1,438,442 | I | which the reporting person is a co-executor. Held by the Estate of Albert W. Onids of which the reporting person is a co-executor. |
| Common Stock | 07/22/2014 | <u>S</u> (1) | 100 | D | \$ 13.65 | 1,438,342 | I | Held by the Estate of Albert W. Onids of which the reporting person is a co-executor. |
| Common Stock | 07/22/2014 | <u>S</u> (1) | 100 | D | \$ 13.66 | 1,438,242 | I | Held by the Estate of Albert W. Onids of which the reporting person is a co-executor. |
| Common Stock | 07/23/2014 | <u>S</u> (1) | 700 | D | \$ 13.75 | 1,437,542 | I | Held by the Estate of Albert W. Onids of which the reporting person is a co-executor. |
| Common Stock | 07/23/2014 | <u>S</u> (1) | 100 | D | \$ 13.76 | 1,437,442 | I | Held by the Estate of Albert W. Onids of which the reporting person is a co-executor. |
| Common Stock | | | | | | 3,858 | I | Held under the Issuer's Employee |

| 1. Title of Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 6) |
|---------------------------------|--|--------------------------------------|--|--------------------------------|---|--|--|--|---|
| Common Stock | | | | | 5,614 | I | Stock Ownership Plan for the account of Albert W. Ondis. Held in trust for a child of the reporting person for which the reporting person is a trustee. | | |
| Common Stock | | | | | 122,096 | D | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 6) |
|---------------------------------|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
| | | | | | | Date Exercisable | Expiration Date | | Amount or Number of Shares |
| | | | | | Code V (A) (D) | | | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Ondis Alexis C/O ASTRO-MED, INC. 600 EAST GREENWICH AVENUE | | | X | |

