

NAVIDEA BIOPHARMACEUTICALS, INC.

Form 4

September 04, 2014

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Nordlicht Mark

2. Issuer Name **and** Ticker or Trading
Symbol
NAVIDEA
BIOPHARMACEUTICALS, INC.
[NAVB]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director X 10% Owner
____ Officer (give title below) ____ Other (specify below)

(Last) (First) (Middle)

152 WEST 57TH STREET, 4TH
FLOOR

3. Date of Earliest Transaction
(Month/Day/Year)
09/02/2014

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)

____ Form filed by One Reporting Person
X Form filed by More than One Reporting
Person

NEW YORK, NY 10019

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
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Common
Stock, par
value
\$0.001 ⁽¹⁾

09/02/2014

S 60,000 D \$
1.3592

14,946,431 I ⁽²⁾

By Platinum
Partners
Value
Arbitrage
Fund L.P.

Common
Stock, par
value
\$0.001 ⁽¹⁾

100,000 I ⁽³⁾

By Platinum
Partners
Liquid
Opportunity
Master Fund

L.P.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following the Reported Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Nordlicht Mark 152 WEST 57TH STREET 4TH FLOOR NEW YORK, NY 10019		X		
Platinum Management (NY) LLC 152 WEST 57TH STREET 4TH FLOOR NEW YORK, NY 10019		X		
LANDESMAN URI 30 00 47TH AVE LONG ISLAND CITY, NY 11101		X		
Platinum Liquid Opportunity Management (NY) LLC 152 WEST 57TH STREET 4TH FLOOR NEW YORK, NY 10019		X		
Platinum Partners Liquid Opportunity Master Fund L.P. 152 WEST 57 STREET, 4TH FLOOR		X		

NEW YORK, NY 10019

Platinum Partners Value Arbitrage Fund, LP
C/O WALKERS CORPORATE SERVICES LIMITED
WALKER HOUSE, 87 MARY STREET
GEORGETOWN, GRAND CAYMAN, E9 KY1-9002

X

Platinum-Montaur Life Sciences, LLC
152 WEST 57TH STREET
4TH FLOOR
NEW YORK, NY 10019

X

Signatures

/s/ Mark Nordlicht

09/04/2014

__Signature of Reporting Person

Date

Platinum Partners Value Arbitrage Fund L.P., By Platinum Management (NY) LLC, as
Investment Manager, By /s/ Mark Nordlicht, Chief Investment Officer

09/04/2014

__Signature of Reporting Person

Date

Platinum Partners Liquid Opportunity Master Fund L.P., By Platinum Liquid Opportunity
Management (NY) LLC, as Investment Manager, By /s/ Mark Nordlicht, Chief Investment
Officer

09/04/2014

__Signature of Reporting Person

Date

Platinum Management (NY) LLC, By /s/ Mark Nordlicht, Chief Investment Officer

09/04/2014

__Signature of Reporting Person

Date

Platinum Liquid Opportunity Management (NY) LLC, By /s/ Mark Nordlicht, Chief
Investment Officer

09/04/2014

__Signature of Reporting Person

Date

/s/ Uri Landesman

09/04/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This Form 4 is filed jointly by Platinum Partners Value Arbitrage Fund L.P. ("PPVA"), Platinum Partners Liquid Opportunity Master Fund L.P. ("PPLO"), Platinum-Montaur Life Sciences, LLC ("Platinum-Montaur"), Platinum Management (NY) LLC ("Platinum Management"), Platinum Liquid Opportunity Management (NY) LLC ("Platinum Liquid Management"), Mark Nordlicht and Uri Landesman (collectively, the "Reporting Persons"). Each of the Reporting Persons may be deemed to be a member of a Section 13(d) group that collectively owns more than 10% of the Issuer's outstanding shares of Common Stock. Each of the Reporting Persons disclaims beneficial ownership of the shares of Common Stock reported herein except to the extent of his or its pecuniary interest therein.

(2) Securities owned directly by PPVA. Each of Platinum Management, as the Investment Manager of PPVA, and Mark Nordlicht and Uri Landesman, as the Chief Investment Officer and President, respectively, of Platinum Management, may be deemed to beneficially own the securities owned directly by PPVA.

(3) Securities owned directly by PPLO. Each of Platinum Liquid Management, as the Investment Manager of PPLO, and Mark Nordlicht and Uri Landesman, as the Chief Investment Officer and President, respectively, of Platinum Liquid Management, may be deemed to beneficially own the securities owned directly by PPLO.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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