### CHIMERA INVESTMENT CORP

Form 4 June 01, 2015

### FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

#### **OMB APPROVAL**

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Washington, D.C. 20549

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* **DONLIN PAUL** 

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

CHIMERA INVESTMENT CORP

[CIM]

(Check all applicable)

05/29/2015

3. Date of Earliest Transaction

(Middle)

(Zin)

(Month/Day/Year)

\_X\_\_ Director Officer (give title

10% Owner Other (specify

C/O: CHIMERA INVESTMENT CORPORATION, 1211 AVENUE

(Street)

(State)

(First)

OF THE AMERICAS

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

NEW YORK, NY 10036

(City)	(State) (2	Table	I - Non	-De	rivative S	Securi	ties Aco	quired, Disposed o	of, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)		4. Securities nAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	05/29/2015		Code $J_{(1)}$	V V	Amount 0 (1)	(D) A (1)	Price (1)	137,068	D	
Common Stock	05/29/2015		J <u>(1)</u>	V	0 (1)	A (1)	(1)	4,000	I	By - Donlin 2008 Family Trust
Common Stock	05/29/2015		<u>J(1)</u>	V	0 (1)	A (1)	(1)	40,000	I	By - Donlin Financial

 $LLC^{(2)}$ 

(9-02)

9. Nu Deriv

Bene Own Follo Repo Trans (Insti

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price o Derivativ Security (Instr. 5)
			Code V	4, and 5)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

**DONLIN PAUL** 

C/O: CHIMERA INVESTMENT CORPORATION 1211 AVENUE OF THE AMERICAS NEW YORK, NY 10036



# **Signatures**

/s/ Paul Donlin 05/29/2015 \*\*Signature of Date Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This report is being filed voluntarily to report adjustments to the total amount of securities beneficially owned by the Reporting Person due to the 1-for-5 reverse stock split of Chimera Investment Corporation effective as of April 6, 2015.
- Mr. Donlin is the managing member of Donlin Financial LLC and retains a 2% ownership interest. The remaining interests are owned by a grantor trust for the benefit of his children.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

# Edgar Filing: CHIMERA INVESTMENT CORP - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.					