CHIMERA INVESTMENT CORP

Form 4 June 01, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB APPROVAL OMB

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SECURITIES Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person * **DONLIN PAUL**

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

CHIMERA INVESTMENT CORP

(Check all applicable)

[CIM]

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 05/29/2015

_X__ Director 10% Owner Officer (give title _ Other (specify

C/O: CHIMERA INVESTMENT CORPORATION, 1211 AVENUE

(Street)

OF THE AMERICAS

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

NEW YORK, NY 10036

(City)	(State) (Z	Zip) Table	I - Nor	ı-De	erivative S	Securi	ties Ac	quired, Disposed o	of, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities nAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	05/29/2015		Code J <u>(1)</u>	V V	Amount 0 (1)	(D) A (1)	Price (1)	(Instr. 3 and 4) 137,068	D	
Common Stock	05/29/2015		J <u>(1)</u>	V	0 (1)	A (1)	(1)	4,000	I	By - Donlin 2008 Family Trust
Common Stock	05/29/2015		J <u>(1)</u>	V	0 (1)	A (1)	<u>(1)</u>	40,000	I	By - Donlin Financial

 $LLC^{(2)}$

(9-02)

9. Nu Deriv

Bene Own Follo Repo Trans (Insti

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

Reporting Owners

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

DONLIN PAUL

C/O: CHIMERA INVESTMENT CORPORATION 1211 AVENUE OF THE AMERICAS NEW YORK, NY 10036



Signatures

/s/ Paul Donlin 05/29/2015 **Signature of Date Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This report is being filed voluntarily to report adjustments to the total amount of securities beneficially owned by the Reporting Person due to the 1-for-5 reverse stock split of Chimera Investment Corporation effective as of April 6, 2015.
- Mr. Donlin is the managing member of Donlin Financial LLC and retains a 2% ownership interest. The remaining interests are owned by a grantor trust for the benefit of his children.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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