### Edgar Filing: PROTEOSTASIS THERAPEUTICS, INC. - Form 3/A

PROTEOSTASIS THERAPEUTICS, INC. Form 3/A February 18, 2016 **FORM 3** UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB

### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> PERRIGO SCIENCE ONE LTD.	2. Date of Event Requiring Statement (Month/Day/Year) 02/10/2016	3. Issuer Name <b>and</b> Ticker or Trading Symbol PROTEOSTASIS THERAPEUTICS, INC. [PTI]		
(Last) (First) (Middle TREASURY BUILDING, LOWER GRA		4. Relationship of Repor Person(s) to Issuer (Check all applica	Filed(Month/Day/Year) 02/10/2016	
CANAL STREET (Street) DUBLIN, L2 2	Director       X 10% Own         Officer       Other         (give title below)       (specify below)		ther below) 6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person	
(City) (State) (Zip)	Table I - I	Non-Derivative Secu	_X_ Form filed by More than One Reporting Person rities Beneficially Owned	
1.Title of Security (Instr. 4)	2. Amount o Beneficially (Instr. 4)		(Instr. 5)	
Reminder: Report on a separate line f owned directly or indirectly.	or each class of securities benefic	SEC 1473 (7	7-02)	
information or required to re	respond to the collection of ontained in this form are not spond unless the form displ	t		

#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)
		(Instr. 4)	Price of	Derivative	
			Derivative	Security:	

OMB APPROVAL

OMB 3235-0104 Number: January 31, Expires: 2005 Estimated average burden hours per response... 0.5

# Edgar Filing: PROTEOSTASIS THERAPEUTICS, INC. - Form 3/A

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Series A Convertible Redeemable Preferred Stock	(1)	(1)	Common Stock	2,416,612 (1)	\$ <u>(1)</u>	D (2)	Â
Series B Convertible Redeemable Preferred Stock	( <u>3)</u>	(3)	Common Stock	366,455 <u>(3)</u>	\$ <u>(3)</u>	D (2)	Â

# **Reporting Owners**

Reporting	g Owner Name / Address	Relationships				
		Director	10% Owner	Officer	Other	
PERRIGO SCIEN TREASURY BUI LOWER GRAND DUBLIN, L2Â	LDING OCANAL STREET	Â	X	Â	Â	
PERRIGO CORP TREASURY BUI LOWER GRAND DUBLIN, L2Â	LDING, D CANAL STREET	Â	X	Â	Â	
TREASURY BUI	CANAL STREET	Â	X	Â	Â	
PERRIGO HOLD TREASURY BUI LOWER GRAND DUBLIN, L2Â	LDING, D CANAL STREET	Â	X	Â	Â	
PERRIGO Co plc TREASURY BUI LOWER GRAND DUBLIN, L2Â	LDING, D CANAL STREET	Â	ÂX	Â	Â	
Signatures						
/s/Todd Kingma, Secretary of Perrigo Science One Ltd.				02/18/2016		
*	Signature of Reporting Person			D	ate	
/s/Todd Kingma, Secretary of Perrigo Corporation Limited				02/18/2016		
4 	**Signature of Reporting Person			D	ate	
/s/Todd Kingma, Director of Elan Pharma International Limited				02/18/2016		
4	**Signature of Reporting Person			D	ate	

### Edgar Filing: PROTEOSTASIS THERAPEUTICS, INC. - Form 3/A

/s/Todd Kingma, Secretary of Perrigo Holdings Ltd.	02/18/2016			
**Signature of Reporting Person	Date			
/s/Todd Kingma, Secretary of Perrigo Company plc	02/18/2016			
**Signature of Reporting Person	Date			
Explanation of Deenoneee				

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The Series A Convertible Redeemable Preferred Stock (the "Series A Shares") are convertible on a 10.8102-to-1 basis into the Issuer's Common Stock at any time at the election of the Reporting Person and will automatically convert, together with the accrued but unpaid dividends, upon closing of the Issuer's initial public offering into the number of shares of the Issuer's Common Stock shown in column

- 3. The Series A Shares do not have an expiration date.
- (2) Perrigo Science One Ltd. ("Science") (formerly Elan Science One Ltd.) is the direct owner of these securities. Science is a direct or indirect, wholly-owned subsidiary of each of the other reporting persons.

The Series B Convertible Redeemable Preferred Stock (the "Series B Shares") are convertible on a 10.8102-to-1 basis into the number
 of shares of the Issuer's Common Stock as shown in column 3 at any time at the election of the Reporting Person and will automatically convert upon the closing of the Issuer's initial public offering. The Series B Shares do not have an expiration date.

## Â

(1)

### **Remarks:**

This form amends and restates the Form 3 previously filed to reflect the change in name of Elar

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.