Edgar Filing: VOCERA COMMUNICATIONS, INC. - Form 4

VOCERA COMMUNICATIONS, INC. Form 4 June 02, 2016 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading SPITZEN JAY Issuer Symbol VOCERA COMMUNICATIONS, (Check all applicable) INC. [VCRA] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X_Officer (give title Other (specify (Month/Day/Year) below) below) C/O VOCERA 05/31/2016 General Counsel, Secretary COMMUNICATIONS, INC., 525 RACE STREET (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting SAN JOSE, CA 95126 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 7. Nature of 6. Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial anv (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership or Indirect (Instr. 4) Following Reported (\mathbf{I}) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V (D) Price Amount Common \$ 05/31/2016 S D 80,862 (1) D 500 Stock 11.5665 Common 05/31/2016 F⁽²⁾ 1.313 D D (2) 79.549 Stock Common \$ 06/01/2016 S 500 79,049 D D 11.6101 Stock Common 06/01/2016 F⁽²⁾ 2,450 D <u>(2)</u> 76,599 D Stock 06/01/2016 F⁽²⁾ 5,582 D <u>(2)</u> 71,017 D

Common Stock					•					
Common Stock	06/02/20	06/02/2016			D \$ 11.7	501 70,51	7 D			
Common Stock						4,684	Ι		Chil Trus	Spitzen dren's st dated 5/2000
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of information contained in this form are not information contained in the										
(<i>e.g.</i> , puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)		Date, ifTransactionNumberExpiration DateCodeof(Month/Day/Year)		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	
				Code V	′ (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

Reporting Owners

Reporting Owner NaddressEklationshipsDirector10% OwnerOfficerOtherSPITZEN JAY
C/O VOCERA COMMUNICATIONS, INC.
525 RACE STREET
SAN JOSE, CA 95126Image: Second Street
Second StreetImage: Second Street
StreetImage: Second Street
StreetSignature of
Reporting PersonDateImage: Second Street
StreetImage: Second Street
StreetImage: Second Street
Street

9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares previously acquired by the Reporting Person under the Issuer's employee stock purchase plan on May 13, 2016.

Exempt transaction pursuant to Section 16b-3(e) - Payment of exercise price or tax liability by delivering or withholding securities incident to the receipt, exercise or vesting of a security issued in accordance with Rule 16b-3. All of the shares reported as disposed of in

(2) this Form 4 were relinquished by the Reporting Person and withheld by the Issuer in exchange for the Issuer's agreement to pay federal and state tax withholding obligations of the Reporting Person resulting from the vesting of shares of restricted stock units. The Reporting Person did not sell or otherwise dispose of any of the shares reported on this Form 4 for any reason other than to cover required taxes.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.