First Savings Financial Group Inc Form 4

November 23, 2016

subject to

Section 16.

# FORM 4 INIT

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington D. C. 20540

Number:

Expires:

response...

Estimated average

burden hours per

January 31,

2005

0.5

Washington, D.C. 20549
Check this box
if no longer

CTLATINATION CONTROLLED AND DESCRIPTION OF C

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 4 or
Form 5
obligations
may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 2. Issuer Name **and** Ticker or Trading Fordyce L. Chris Symbol 5. Relationship of Reporting Person(s) to Issuer

First Savings Financial Group Inc (Check all applicable)

[FSFG]

(Last) (First) (Middle) 3. Date of Earliest Transaction \_\_\_\_\_ Director \_\_\_\_\_ 10% Owner (Month/Day/Vear) \_\_\_\_\_ Officer (give title \_\_X\_\_ Other (specify

(Month/Day/Year)

501 E. LEWIS & CLARK

11/21/2016

(Month/Day/Year)

11/21/2016

Director of Subsidiary

(Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)

Y. Form filed by One Penerting I

\_X\_ Form filed by One Reporting Person
CLARKSVILLE, IN 47129
\_\_\_\_Form filed by More than One Reporting
\_\_\_\_\_Form filed by More than One Reporting

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

5. Amount of 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Ownership (Month/Day/Year) (Instr. 8) Owned Indirect (I) Following (Instr. 4) (Instr. 4)

(A) Reported Transaction(s) or (Instr. 3 and 4)

Common Stock 9,956 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: First Savings Financial Group Inc - Form 4

8. P Der Sec (Ins

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options	\$ 39.56	11/21/2016		A	500	11/21/2017	11/21/2026	Common Stock	500 (1)
Stock Options	\$ 13.25					05/18/2011	05/18/2020	Common Stock	4,486 (2)

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Fordyce L. Chris

501 E. LEWIS & CLARK PARKWAY

CLARKSVILLE, IN 47129

### **Signatures**

/s/ John P. Lawson, Jr., pursuant to Power of Attorney

11/22/2016

**Director of Subsidiary** 

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock options vest at a rate of 20% per year commencing on November 21, 2017.
- (2) Stock Options are fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2