Edgar Filing: Truett-Hurst, Inc. - Form 4

| Form 4 March 12, 20 | · | | | | | | | | | |
|---|--|---|--|--|--------|------------|--|--|-----------|--|
| FORM | 1 / | | | | | | | | PPROVAL | |
| | UNITED | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1940 | | | | | | | | |
| Check th if no long subject to Section 1 Form 4 o Form 5 obligation may cont <i>See</i> Instru 1(b). | 6. Filed purs strue. Section 17(a | | | | | | | | | |
| (Print or Type I | Responses) | | | | | | | | | |
| Graham James Barrie Syr | | | 2. Issuer Name and Ticker or Trading Symbol Fruett-Hurst, Inc. [THST] | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| (Last) | (First) (M | liddle) 3. Date | 3. Date of Earliest Transaction | | | (Chec | k all applicable | e) | | |
| (Mo | | | (Month/Day/Year) 03/10/2015 | | | | X_ Director10% Owner Officer (give titleOther (specify below)below) | | | |
| | | | If Amendment, Date Original led(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| | | | | | | | Person | | | |
| (City) | (State) (| (Zip) Ta | able I - Non-D | erivative S | Securi | ities Acc | uired, Disposed of | , or Beneficial | lly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | Transaction Date 2A. Deemed Ionth/Day/Year) Execution Date, if any (Month/Day/Year) | | 3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Price | | | Securities Beneficially Owned | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| Class A Common Stock | 03/10/2015 | | P | 200 | A | \$ 2.24 | 23,425 | D | | |
| Class A Common Stock | 03/11/2015 | | Р | 200 | А | \$ 2.23 | 23,625 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Amou Under Secur | rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|--|---|---------------------|--------------------|------------------------|--|---|---|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Addre | SS | Relationships | | | | | | | |
|--|------------|---------------|---------|-------|--|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | | |
| Graham James Barrie 487 WILSON AVENUE NOVATO, CA 94947 | X | | | | | | | | |
| Signatures | | | | | | | | | |
| /s/ Barrie Graham | 03/12/2015 | | | | | | | | |
| ** Signature of Reporting Person | Date | | | | | | | | |
| | | | | | | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.