Truett-Hurst, Inc. Form 4/A December 21, 2015

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

January 31, 2005

0.5

Estimated average

**OMB APPROVAL** 

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

See Instruction 1(b).

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \*

| Graham James Barrie                  |  | Symbol Truett-Hurst, Inc. [THST] |  |   |  |   | (Check all applicable) |  |  |   |
|--------------------------------------|--|----------------------------------|--|---|--|---|------------------------|--|--|---|
| (Last) (First) (Middle)              |  |                                  | 3. Date of   | 3. Date of Earliest Transaction         |  |   |                        | (Check all applicable)   |  |   |
|                                      |  |                                  | (Month/Day/Year)   |   |  |   |                        | _X_ Director   |  | Owner or (specify   |
| 487 WILSON AVENUE                    |  |                                  | 12/14/2015   |   |  |   |                        | Officer (give titleOther (specify below)   |  |   |
| (Street)                             |  |                                  | 4. If Amendment, Date Original   |   |  |   |                        | 6. Individual or Joint/Group Filing(Check  |  |   |
|                                      |  |                                  | Filed(Month/Day/Year)  |   |  |   |                        | Applicable Line) _X_Form filed by One Reporting Person   |  |   |
| NOVATO, CA 94947                     |  |                                  | 12/16/2015   |   |  |   |                        | Form filed by More than One Reporting Person   |  |   |
| (City)                               | (State)  | (Zip)                            | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |  |   |                        |  |  |   |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Dat any (Month/Day/Y |                                  | on Date, if  | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price |   |                        | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Class A<br>Common<br>Stock           | 12/14/2015   |                                  |  | M <u>(1)</u>                            | 5,376  |   | \$<br>1.24             | 12,181   | D  |   |
| Class A<br>Common<br>Stock           | 12/15/2015   |                                  |  | P                                       | 1,000  | A | \$<br>1.86             | 40,235   | D  |   |
| Class A<br>Common                    | 12/15/2015   |                                  |  | P                                       | 3,000  | A | \$ 1.9                 | 43,235   | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

#### Edgar Filing: Truett-Hurst, Inc. - Form 4/A

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SEC 1474 (9-02)

| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owner | ı |
|---|---|
| (e.g., puts, calls, warrants, options, convertible securities)                |   |

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | erivative Expiration Date eccurities (Month/Day/Year) cquired (A) Disposed of O) nstr. 3, 4, |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     |
|---|---|---|---|--|---|--|--------------------|---|-------------------------------------|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Restricted<br>Stock<br>Units                        | (2)   | 12/15/2015                              |   | A                                      | 13,554  | (2)  | 12/15/2025         | Common<br>Stock   | 13,554                              |

### **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|
| r g                            | Director      | 10% Owner | Officer | Other |  |  |
|                                |               |           |         |       |  |  |

X

Graham James Barrie 487 WILSON AVENUE

NOVATO, CA 94947

## **Signatures**

/s/ J Barrie Graham 12/21/2015

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Common stock acquired upon the vesting of restricted stock awards granted 12/2014.
- (2) Restricted stock units granted on 12/15/2015 vesting 100% at the one year anniversary, 12/15/2016

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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