

PETERSON JANICE M  
Form 4  
October 03, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
PETERSON JANICE M

(Last) (First) (Middle)

C/O RESEARCH SOLUTIONS,  
INC., 15821 VENTURA BLVD.,  
SUITE 165

(Street)

ENCINO, CA 91436

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
Research Solutions, Inc. [RSSS]

3. Date of Earliest Transaction  
(Month/Day/Year)  
09/30/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
See Remarks

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Code V Amount (D) Price		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transaction	5. Number of Derivative	6. Date Exercisable and Expiration Date	7. Title and Amount of Underlying Securities
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Security (Instr. 3)	or Exercise Price of Derivative Security	any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year)		(Instr. 3 and 4)				
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Options (right to buy)	\$ 1.5	09/30/2017	D	66,500				12/21/2007	12/21/2017	Common Stock	66,500
Options (right to buy)	\$ 1.5	09/30/2017	D	18,500				12/21/2008	12/21/2017	Common Stock	18,500
Options (right to buy)	\$ 1	09/30/2017	D	75,000				05/28/2009	05/28/2019	Common Stock	75,000
Options (right to buy)	\$ 1.02	09/30/2017	D	40,000				<u>(2)</u>	07/27/2020	Common Stock	40,000
Options (right to buy)	\$ 1.5	09/30/2017	A	66,500				09/30/2017	12/21/2022	Common Stock	66,500
Options (right to buy)	\$ 1.5	09/30/2017	A	18,500				09/30/2017	12/21/2022	Common Stock	18,500
Options (right to buy)	\$ 1.15	09/30/2017	A	75,000				09/30/2017	12/21/2022	Common Stock	75,000
Options (right to buy)	\$ 1.15	09/30/2017	A	40,000				09/30/2017	12/21/2022	Common Stock	40,000

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
PETERSON JANICE M C/O RESEARCH SOLUTIONS, INC. 15821 VENTURA BLVD., SUITE 165 ENCINO, CA 91436	X		See Remarks	

## Signatures

/s/ Alan Urban,  
Attorney-In-Fact

10/03/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The option was cancelled by mutual agreement of the reporting person and the issuer. The issuer granted a new option to purchase an
- (1) equivalent number of shares with a term expiring on December 21, 2022 and an exercise price equal to the higher of the exercise price for the previous option and the closing price of one share of the issuer's common stock on the grant date.
  - (2) The options vest at 1/12th of the total options on the last day of each calendar quarter starting with the calendar quarter ending September 30, 2010.

### Remarks:

Chief Publisher Relations Officer

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