SURMODICS INC Form 8-K April 08, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 8-K

CURRENT REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934

#### **April 6, 2016**

Date of report (Date of earliest event reported)

#### SurModics, Inc.

(Exact Name of Registrant as Specified in its Charter)

Minnesota 0-23837 41-1356149

(State of Incorporation) (Commission File Number) (I.R.S. Employer

Identification No.)

#### 9924 West 74th Street

**Eden Prairie, Minnesota** 55344 (Address of Principal Executive Offices) (Zip Code)

#### (952) 500-7000

(Registrant's Telephone Number, Including Area Code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

#### Item 8.01 Other Events.

On April 6, 2016, SurModics, Inc. (the "Company") announced enrollment of the first patient in an early feasibility study using its proprietary SurModics SurVeil<sup>TM</sup> drug-coated balloon. A copy of a press release announcing the foregoing is attached hereto as Exhibit 99.1 and is incorporated herein by reference.

### Item 9.01 Financial Statements and Exhibits.

(d) Exhibits.

| Exhibit |                                   |
|---------|-----------------------------------|
| Number  | Description                       |
| 99.1    | Press Release dated April 6, 2016 |

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

SURMODICS, INC.

Date: April 8, 2016 /s/ Bryan K. Phillips

Bryan K. Phillips

Sr. Vice President, General Counsel and Secretary

# EXHIBIT INDEX

Exhibit

**Number** Description

99.1 Press Release dated April 6, 2016