

SIMMONS FIRST NATIONAL CORP

Form 4

October 30, 2014

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Bartlett David L

(Last) (First) (Middle)

SIMMONS FIRST NATIONAL
CORP, 501 MAIN STREET

(Street)

PINE BLUFF, AR 71603

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
SIMMONS FIRST NATIONAL
CORP [SFNC]

3. Date of Earliest Transaction
(Month/Day/Year)
10/28/2014

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below) President and CBO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
SFNC	10/28/2014		M		1,100	A	\$ 24.5
							34,671
SFNC	10/28/2014		S		1,100	D	\$ 40.66
							33,561
SFNC	10/28/2014		M		1,800	A	\$ 26.19
							35,361
SFNC	10/28/2014		S		1,800	D	\$ 40.66
							33,561
SFNC	10/28/2014		M		2,400	A	\$ 28.42
							35,961

Edgar Filing: SIMMONS FIRST NATIONAL CORP - Form 4

SFNC	10/28/2014	S	2,400	D	\$ 40.66	33,561	D
SFNC	10/28/2014	M	6,420	A	\$ 30.31	39,981	D
SFNC	10/28/2014	S	6,420	D	\$ 40.66	33,561	D
SFNC	10/30/2014	M	5,000	A	\$ 26.19	38,561	D
SFNC	10/30/2014	S	5,000	D	\$ 40.87	33,561	D
SFNC						2,140	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Security (Instr. 3 and 4)	8. Amount or Number of Shares
				Code	V	(A)	(D)	
Incentive Stock Option	\$ 24.5	05/23/2005	10/28/2014	M	222	05/23/2007	05/24/2015	Common 22
Incentive Stock Option	\$ 24.5	05/23/2005	10/28/2014	M	222	05/23/2008	05/24/2015	Common 22
Incentive Stock Option	\$ 24.5	05/23/2005	10/28/2014	M	222	05/23/2009	05/24/2015	Common 22
Incentive Stock Option	\$ 24.5	05/23/2005	10/28/2014	M	444	12/31/2005	05/24/2015	Common 44
Incentive Stock Option	\$ 26.19	05/22/2006	10/28/2014	M	360	05/22/2007	05/20/2016	Common 36
Incentive Stock Option	\$ 26.19	05/22/2006	10/28/2014	M	360	05/22/2008	05/20/2016	Common 36

Edgar Filing: SIMMONS FIRST NATIONAL CORP - Form 4

Incentive Stock Option	\$ 26.19	05/22/2006	10/28/2014	M	360	05/22/2009	05/20/2016	Common	360
Incentive Stock Option	\$ 26.19	05/22/2006	10/28/2014	M	360	05/22/2010	05/20/2016	Common	360
Incentive Stock Option	\$ 26.19	05/22/2006	10/28/2014	M	360	05/22/2011	05/20/2016	Common	360
Non-Qualified Stock Option	\$ 26.19	05/22/2006	10/30/2014	M	500	05/22/2007	05/20/2016	Common	500
Non-Qualified Stock Option	\$ 26.19	05/22/2006	10/30/2014	M	500	05/22/2008	05/20/2016	Common	500
Non-Qualified Stock Option	\$ 26.19	05/22/2006	10/30/2014	M	500	05/22/2009	05/20/2016	Common	500
Non-Qualified Stock Option	\$ 26.19	05/22/2006	10/30/2014	M	500	05/22/2010	05/20/2016	Common	500
Non-Qualified Stock Option	\$ 26.19	05/22/2006	10/30/2014	M	3,000	05/22/2011	05/20/2016	Common	3,000
Incentive Stock Option	\$ 28.42	05/31/2007	10/28/2014	M	480	05/31/2008	05/31/2017	Common	480
Incentive Stock Option	\$ 28.42	05/31/2007	10/28/2014	M	480	05/31/2009	05/31/2017	Common	480
Incentive Stock Option	\$ 28.42	05/31/2007	10/28/2014	M	480	05/31/2010	05/31/2017	Common	480
Incentive Stock Option	\$ 28.42	05/31/2007	10/28/2014	M	480	05/31/2011	05/31/2017	Common	480
Incentive Stock Option	\$ 28.42	05/31/2007	10/28/2014	M	480	05/31/2012	05/31/2017	Common	480
Non-Qualified Stock Option	\$ 30.31	05/29/2008	10/28/2014	M	1,284	05/29/2009	05/28/2018	Common	1,284
Non-Qualified Stock Option	\$ 30.31	05/29/2008	10/28/2014	M	1,284	05/29/2010	05/28/2018	Common	1,284
Non-Qualified Stock Option	\$ 30.31	05/29/2008	10/28/2014	M	1,284	05/29/2011	05/28/2018	Common	1,284
Non-Qualified Stock Option	\$ 30.31	05/29/2008	10/28/2014	M	1,284	05/29/2012	05/28/2018	Common	1,284
Non-Qualified Stock Option	\$ 30.31	05/29/2008	10/28/2014	M	1,284	05/29/2013	05/28/2018	Common	1,284

Reporting Owners

Reporting Owner Name / Address

Relationships

Director

10% Owner

Officer

Other

Bartlett David L
SIMMONS FIRST NATIONAL CORP
501 MAIN STREET
PINE BLUFF, AR 71603

X

President
and CBO

Signatures

/s/ David L. Bartlett by Piper P.
Erwin

10/30/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.