

CAPSTONE TURBINE Corp  
Form 8-K  
November 09, 2016

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

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**Form 8-K**

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**CURRENT REPORT**

**Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934**

Date of Report (Date of earliest event Reported): November 9, 2016

**Capstone Turbine Corporation**  
(Exact Name of Registrant as Specified in Charter)

**DELAWARE**  
(State or Other Jurisdiction of  
Incorporation)

**001-15957**  
(Commission File Number)

**95-4180883**  
(I.R.S. Employer Identification  
Number)

**21211 Nordhoff Street, Chatsworth, California 91311**  
(Address of Principal Executive Offices) (Zip Code)

**(818) 734-5300**  
(Registrant's telephone number, including area code)

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

**Item 2.02. Results of Operations and Financial Condition.**

On November 9, 2016, Capstone Turbine Corporation issued a press release announcing its financial results for the quarter ended September 30, 2016. A copy of the press release is furnished as Exhibit 99 to this Current Report on Form 8-K and is incorporated herein by reference in its entirety.

**Item 9.01. Financial Statements and Exhibits.**

(d) Exhibits.

Exhibit 99 Press release of Capstone Turbine Corporation dated November 9, 2016 containing financial information for the quarter ended September 30, 2016.

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**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**Capstone Turbine Corporation**

Date: November 9, 2016

By: /s/ Jayme L. Brooks  
Jayme L. Brooks  
Chief Financial Officer and Chief Accounting Officer

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Exhibit Index

| Exhibit       | Description   |
|---------------|---|
| Exhibit<br>99 | Press release of Capstone Turbine Corporation dated November 9, 2016 containing financial information for the quarter ended September 30, 2016. |