Kafer Jonathan Form 4 April 23, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

0.5

Estimated average burden hours per response...

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Section 16.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Kafer Jonathan

2. Issuer Name and Ticker or Trading Symbol

AKORN INC [AKRX]

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

04/19/2018

1925 WEST FIELD COURT SUITE 300

(State)

(Street) 4. If Amendment, Date Original

(Zip)

(Check all applicable)

5. Relationship of Reporting Person(s) to

Director 10% Owner X\_ Officer (give title Other (specify below) below)

EVP, Sales and Marketing

6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year)

Issuer

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person n Dominative Committee Acquired Disposed of an Boneficially O

#### LAKE FOREST, IL 60045

(City)

(==-5)	()	Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securit	ies Ac	quired	5. Amount of	6. Ownership	7. Nature of		
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of			Securities	Form: Direct	Indirect			
(Instr. 3)		any	Code	(D)			Beneficially	(D) or	Beneficial		
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)			Owned	Indirect (I)	Ownership		
						Following	(Instr. 4)	(Instr. 4)			
					( )		Reported				
					(A)		Transaction(s)				
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Common Stock	04/19/2018		A	17,802 (1)	A	\$0	31,106	D			
Common Stock	04/23/2018		A	13,218 (2)	A	\$ 0	44,324 (3)	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Edgar Filing: Kafer Jonathan - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate	Amount	t of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ing	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securitie	es	(Instr. 5)	Bene
	Derivative				Securities Acquired			(Instr. 3	and 4)		Own
	Security										Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
								^	mount		
									mount		
						Date	Expiration Date	or Title Number of			
						Exercisable					
				C + V	(A) (D)						
				Code V	(A) (D)			S	hares		

## **Reporting Owners**

Relationships Reporting Owner Name / Address

Officer Other Director 10% Owner

Kafer Jonathan

1925 WEST FIELD COURT SUITE 300 LAKE FOREST, IL 60045

EVP, Sales and Marketing

## **Signatures**

/s/ Joseph Bonaccorsi, Attorney-in-Fact

04/23/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The securities acquired are Restricted Stock Units (RSUs) scheduled to vest in four equal installments on April 19, 2019, April 19, 2020, April 19, 2021 and April 19, 2022.
- The securities acquired are RSUs scheduled to vest in four equal installments on April 23, 2019, April 23, 2020, April 23, 2021 and April **(2)** 23, 2022.
- The securities beneficially owned by the Reporting Person include 1,106 shares acquired effective December 31, 2017 through the (3) company's Employee Stock Purchase Plan. Following the reported transaction, the amount of shares beneficially owned includes 42,779 unvested RSUs and 1,545 shares owned outright.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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