

COHERENT INC
Form 4
October 21, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
AMBROSEO JOHN

(Last) (First) (Middle)

P.O. BOX 54980

(Street)

SANTA CLARA, CA 95056-0980

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
COHERENT INC [COHR]

3. Date of Earliest Transaction
(Month/Day/Year)
10/17/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Pres. and CEO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	V	Amount	(A) or (D)	Price			
Common Stock	10/17/2013		M		6	A	\$ 26.16	137,849	I	Family Trust
Common Stock	10/17/2013		S		6 ⁽¹⁾	D	\$ 66	137,843	I	Family Trust
Common Stock	10/18/2013		M		11,183	A	\$ 26.16	149,026	I	Family Trust
Common Stock	10/18/2013		S		11,183 ⁽¹⁾	D	\$ 66.0736 ⁽²⁾	137,843	I	Family Trust
Common Stock	10/21/2013		M		1,181	A	\$ 26.16	139,024	I	Family Trust

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Common Stock	10/21/2013		S	1,181 <u>(1)</u>	D	\$ 66.0306 <u>(3)</u>	137,843	I	Family Trust
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable Expiration Date	Title	
Non Qualified Stock Option (right to buy)	\$ 26.16	10/17/2013		M	6	<u>(4)</u> 11/20/2016	Common Stock	6
Non Qualified Stock Option (right to buy)	\$ 26.16	10/18/2013		M	11,183	<u>(4)</u> 11/20/2016	Common Stock	11,183
Non Qualified Stock Option (right to buy)	\$ 26.16	10/21/2013		M	1,181	<u>(4)</u> 11/20/2016	Common Stock	1,181

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

AMBROSEO JOHN
P.O. BOX 54980
SANTA CLARA, CA 95056-0980

X

Pres. and CEO

Signatures

/s/ Bret DiMarco, Pursuant to Power of
Attorney

10/21/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sold Pursuant to a Rule 10b5-1 sales plan adopted on February 4, 2013.
- (2) Represents the weighted average sales price for the shares. The range of prices for such sales was \$66.00 to \$66.34 The reporting person will provide upon request full information regarding the number of shares sold at each separate price.
- (3) Represents the weighted average sales price for the shares. The range of prices for such sales was \$66.00 to \$66.15 The reporting person will provide upon request full information regarding the number of shares sold at each separate price.
- (4) The shares subject to the option are fully vested and exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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