#### AMERIPRISE FINANCIAL INC

Form 4

February 10, 2014

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Estimated average

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* HUNTER KELLI A

2. Issuer Name **and** Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

AMERIPRISE FINANCIAL INC [AMP]

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year) 06/30/2010

\_\_\_\_ Director \_\_\_\_\_ 10% Owner \_\_\_\_ X\_\_ Officer (give title \_\_\_\_\_ Other (specify

Exec VP of Human Resources

GENERAL COUNSEL'S

OFFICE, 1098 AMERIPRISE

FINANCIAL CENTER
(Street)

(State)

(Zin)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person \_\_\_ Form filed by More than One Reporting

Person

below)

#### MINNEAPOLIS, MN 55474

(City)

(City)	(State)	(Zip) Tabl	e I - Non-I	<b>Derivative</b>	Secui	rities Acqu	ired, Disposed of	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	(A)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	02/06/2014		Code V F	Amount 239	or (D) D	Price \$ 105.64	(Instr. 3 and 4) 28,361	D	
Common Stock	06/30/2010		M	594 <u>(1)</u>	A	\$ 36.13	28,955	D	
Common Stock	06/30/2010		F	196	D	\$ 36.13	28,759	D	
Common Stock	06/30/2011		M	5,256 (1)	A	\$ 57.68	34,015	D	
	06/30/2011		F	1,818	D	\$ 57.68	32,197	D	

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Common Stock								
Common Stock	07/02/2012	M	1,560 (1)	A	\$ 51.99	33,757	D	
Common Stock	07/02/2012	F	668	D	\$ 51.99	33,089	D	
Common Stock						202.56	I	By 401(k) Plan (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number tion Derivative Securities ) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pric Deriva Securi (Instr.
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock	<u>(3)</u>	06/30/2010		M		594 (1)	<u>(4)</u>	<u>(4)</u>	Common Stock	594	<u>(3</u>
Phantom Stock	<u>(3)</u>	06/30/2011		M		5,256 (1)	<u>(4)</u>	<u>(4)</u>	Common Stock	5,256	<u>(3</u>
Phantom Stock	<u>(3)</u>	07/02/2012		M		1,560 (1)	<u>(4)</u>	<u>(4)</u>	Common Stock	1,560	<u>(3</u>

# **Reporting Owners**

Reporting Owner Name / Address	Kelationships						
. 0	Director	10% Owner	Officer	Other			
HUNTER KELLI A GENERAL COUNSEL'S OFFICE 1098 AMERIPRISE FINANCIAL CENTER MINNEAPOLIS, MN 55474			Exec VP of Human Resources				

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### **Signatures**

/s/ Thomas R. Moore for Kelli Ann Hunter

02/10/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects additional shares from the reinvestment of dividends on phantom stock.
  - Estimate of the number of shares held in the reporting person's account in the Ameriprise Financial Stock Fund under the Ameriprise
- (2) Financial 401(k) plan as of February 5, 2014. This plan uses unit accounting and the number of shares that a participant is deemed to hold varies with the price of Ameriprise stock.
- (3) Each share of phantom stock represents the right to receive one share of Ameriprise Financial, Inc. common stock.
- Shares of phantom stock are payable in shares of Ameriprise common stock at a specified date in accordance with the Ameriprise Financial Deferred Compensation Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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