### Edgar Filing: RED ROBIN GOURMET BURGERS INC - Form 4/A

#### RED ROBIN GOURMET BURGERS INC

Form 4/A

September 20, 2016

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \* STUTZ CARIN

(First)

(Middle)

2. Issuer Name and Ticker or Trading Symbol

Issuer

RED ROBIN GOURMET

**BURGERS INC [RRGB]** 

3. Date of Earliest Transaction

(Check all applicable)

5. Relationship of Reporting Person(s) to

10% Owner Director X\_ Officer (give title Other (specify below)

Chief Operating Officer

6312 S. FIDDLER'S GREEN CIRCLE, SUITE 200N

> (Street) 4. If Amendment, Date Original

> > Filed(Month/Day/Year) 09/19/2016

(Month/Day/Year)

09/15/2016

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

D

**GREENWOOD** VILLAGE, CO 80111

> (City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities Ac	quired	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of			Securities	Form: Direct	Indirect
(Instr. 3)		any	Code (D)		Beneficially	(D) or	Beneficial	
		(Month/Day/Year)	(Instr. 8)	8) (Instr. 3, 4 and 5)		Owned	Indirect (I)	Ownership
					Following	(Instr. 4)	(Instr. 4)	
				(A)		Reported		
						Transaction(s)		
			C 1 17	or	ъ.	(Instr. 3 and 4)		
_			Code V	Amount (D)	Price			_
Common	09/15/2016		Р	1,077 A	\$	3,015 <u>(1)</u>	ī	See
Stock	07/13/2010		1	1,077 71	46.4	3,013 <u>· · ·</u>	1	footnote (2)
~								
Common						2 210 (3)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

 $2,218 \stackrel{(3)}{=}$ 

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### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	f 2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	le and	8. Price of	9. Nu
Derivative	e Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ration Date		ınt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	/Year)	Under	rlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Derivative		;		Secur	ities	(Instr. 5)	Bene
	Derivative				Securities	S		(Instr.	. 3 and 4)		Own
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A		
									Amount		
						Date	Expiration	or			
						Exercisable	Date	Title	Number		
				C-1- V	(A) (D)				of		
				Code v	(A) (D)				Shares		

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

STUTZ CARIN 6312 S. FIDDLER'S GREEN CIRCLE SUITE 200N GREENWOOD VILLAGE, CO 80111

**Chief Operating Officer** 

# **Signatures**

/s/ Michael L. Kaplan, Attorney-in-Fact

09/20/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - The reported transaction was timely reported on September 19, 2016. The shares were acquired by the trust identified in footnote (2).
- (1) However, the original Form 4 mistakenly reported the acquired shares as directly held. This amendment is being filed solely to correct the form of ownership of the acquired shares and to update the applicable balances reported in column 5.
- (2) The shares are held indirectly by the reporting person as trustee of Carin L Stutz Revocable Trust U/A 2/3/2005.
- (3) Includes 2,218 restricted stock units subject to vesting and forfeiture restrictions.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2