

Cashen Susan
Form 4
February 16, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Cashen Susan

2. Issuer Name and Ticker or Trading Symbol
CONTROL4 CORP [CTRL]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)

C/O CONTROL4 CORPORATION, 11734 S. ELECTION ROAD

02/15/2018

SVP of Marketing

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

SALT LAKE CITY, UT 84020

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				Code	V	Amount			
Common Stock	02/15/2018		M			1,417	1,417	D	
Common Stock	02/15/2018		F			580 ⁽²⁾	\$ 24.76 837	D	
Common Stock	02/15/2018		M			4,933	A <u>1</u> 5,770	D	
Common Stock	02/15/2018		F			1,706 ⁽²⁾	\$ 24.76 4,064	D	
Common Stock	02/15/2018		M			1,417	A <u>1</u> 5,481	D	

Edgar Filing: Cashen Susan - Form 4

Common Stock	02/15/2018	F	580 ⁽³⁾	D	\$ 24.76	4,901	D	
Common Stock	02/15/2018	M	4,933	A	<u>(1)</u>	9,834	D	
Common Stock	02/15/2018	F	1,736 ⁽³⁾	D	\$ 24.76	8,098	D	
Common Stock	02/15/2018	M	14,000	A	<u>(1)</u>	22,098	D	
Common Stock	02/15/2018	F	4,842 ⁽³⁾	D	\$ 24.76	17,256	D	
Common Stock	02/16/2018 ⁽¹⁰⁾	S	1,674	D	\$ 25.58	15,582	D	
Common Stock						482	I	By 401(k) Plan ⁽⁹⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	8. An or Nu of	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Restricted Stock Units	<u>(1)</u>	02/15/2018		M	1,417	<u>(4)</u>	<u>(4)</u>	Common Stock	1
Restricted Stock Units	<u>(1)</u>	02/15/2018		M	4,933	<u>(5)</u>	<u>(5)</u>	Common Stock	4
Performance-based Restricted Stock Units	<u>(1)</u>	02/15/2018		M	1,417	<u>(6)</u>	<u>(6)</u>	Common Stock	1
Performance-based Restricted Stock Units	<u>(1)</u>	02/15/2018		M	4,933	<u>(7)</u>	<u>(7)</u>	Common Stock	4

