

Gansberg David
Form 3
March 08, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|--|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â Gansberg David | | (Month/Day/Year) | ARCH CAPITAL GROUP LTD. [ACGL] | |
| (Last) | (First) | (Middle) | 03/01/2019 | |
| C/O ARCH CAPITAL GROUP LTD., Â WATERLOO HOUSE, 100 PITTS BAY ROAD | | | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| (Street) | | | | (Check all applicable) |
| | | | <input type="checkbox"/> Director | <input type="checkbox"/> 10% Owner |
| | | | <input checked="" type="checkbox"/> Officer | <input type="checkbox"/> Other |
| | | | (give title below) (specify below) | |
| | | | CEO, Global Mortgage Group | |
| PEMBROKE, Â D0 Â HM 08 | | | | |
| (City) | (State) | (Zip) | | |
| 6. Individual or Joint/Group Filing(Check Applicable Line) | | | | |
| <input checked="" type="checkbox"/> Form filed by One Reporting Person | | | | |
| <input type="checkbox"/> Form filed by More than One Reporting Person | | | | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|
| Common Shares, \$.0011 par value per share | 116,206 | D | Â |
| Common Shares, \$.0011 par value per share | 9,000 | I | See Footnote ⁽¹⁾ |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security | 4. Conversion or Exercise | 5. Ownership Form of | 6. Nature of Indirect Beneficial Ownership |
|---|---|--|---------------------------|----------------------|--|
|---|---|--|---------------------------|----------------------|--|

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| | Date Exercisable | Expiration Date | (Instr. 4) Title | Amount or Number of Shares | Price of Derivative Security | Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | (Instr. 5) |
|-----------------------------|------------------|-----------------|---|----------------------------|------------------------------|---|------------|
| Share Appreciation Right | Â <u>(2)</u> | 05/06/2021 | Common Shares, \$.0011 par value per share | 9,900 | \$ 11.3043 | D | Â |
| Share Appreciation Right | Â <u>(3)</u> | 05/09/2022 | Common Shares, \$.0011 par value per share | 9,900 | \$ 12.86 | D | Â |
| Share Appreciation Right | 11/12/2017 | 11/12/2022 | Common Shares, \$.0011 par value per share | 18,810 | \$ 14.2167 | D | Â |
| Share Appreciation Right | Â <u>(4)</u> | 05/09/2023 | Common Shares, \$.0011 par value per share | 7,395 | \$ 17.8433 | D | Â |
| Share Appreciation Right | Â <u>(5)</u> | 02/04/2024 | Common Shares, \$.0011 par value per share | 29,070 | \$ 17.68 | D | Â |
| Share Appreciation Right | Â <u>(6)</u> | 05/13/2024 | Common Shares, \$.0011 par value per share | 10,950 | \$ 19.09 | D | Â |
| Stock Option (right to buy) | Â <u>(7)</u> | 05/13/2025 | Common Shares, \$.0011 par value per share | 13,560 | \$ 20.835 | D | Â |
| Stock Option (right to buy) | Â <u>(8)</u> | 05/13/2026 | Common Shares, \$.0011 par value per share | 10,770 | \$ 23.9 | D | Â |
| | Â <u>(9)</u> | 05/08/2027 | | 15,090 | \$ 32.0867 | D | Â |

| | | | | | | | |
|-----------------------------|--------|------------|--|--------|----------|---|---|
| Stock Option (right to buy) | | | Common Shares, \$.0011 par value per share | | | | |
| Stock Option (right to buy) | Â (10) | 05/11/2028 | Common Shares, \$.0011 par value per share | 15,822 | \$ 26.55 | D | Â |
| Stock Option (right to buy) | Â (11) | 02/28/2029 | Common Shares, \$.0011 par value per share | 15,929 | \$ 32.67 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Gansberg David C/O ARCH CAPITAL GROUP LTD. WATERLOO HOUSE, 100 PITTS BAY ROAD PEMBROKE,Â D0Â HM 08 | Â | Â | Â CEO, Global Mortgage Group | Â |

Signatures

/s/ David Gansberg 03/05/2019

Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person owns such common shares indirectly with his spouse.
- (2) The Share Appreciation Right became exercisable in three equal annual installments, with the first installment becoming exercisable on May 6, 2012 and the next two installments on May 6, 2013 and May 6, 2014, subject to the applicable award agreement.
- (3) The Share Appreciation Right became exercisable in three equal annual installments, with the first installment becoming exercisable on May 9, 2013 and the next two installments on May 9, 2014 and May 9, 2015 subject to the applicable award agreement.
- (4) The Share Appreciation Right became exercisable in three equal annual installments, with the first installment becoming exercisable on May 9, 2014 and the next two installments on May 9, 2015 and May 9, 2016 subject to the applicable award agreement.
- (5) The Share Appreciation Right became exercisable in three equal annual installments, with the first installment becoming exercisable on February 4, 2015 and the next two installments on February 4, 2016 and February 4, 2017, subject to the applicable award agreement.
- (6) The Share Appreciation Right became exercisable in three equal annual installments, with the first installment becoming exercisable on May 13, 2015 and the next two installments on May 13, 2016 and May 13, 2017 subject to the applicable award agreement.
- (7) The Stock Option is exercisable in three equal annual installments, with the first installment becoming exercisable on May 13, 2016 and the next two installments on May 13, 2017 and May 13, 2018, subject to the applicable award agreement.

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- (8) The Stock Option is exercisable in three equal annual installments, with the first installment becoming exercisable on May 13, 2017 and the next two installments on May 13, 2018 and May 13, 2019, subject to the applicable award agreement.
- (9) The Stock Option is exercisable in three equal annual installments, with the first installment becoming exercisable on May 8, 2018 and the next two installments on May 8, 2019 and May 8, 2020, subject to the applicable award agreement.
- (10) The Stock Option is exercisable in three equal annual installments, with the first installment becoming exercisable on May 11, 2019, and the next two installments on May 11, 2020 and May 11, 2021, subject to the applicable award agreement.
- (11) The stock option becomes exercisable in three equal annual installments commencing February 28, 2020, and the next two installments on February 28, 2021 and February 28, 2022, subject to the applicable award agreement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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