

ENTERPRISE FINANCIAL SERVICES CORP

Form 4

October 31, 2002

SEC Form 4

FORM 4 ☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940	OMB APPROVAL OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response: 0.5	
1. Name and Address of Reporting Person* Eichner, Kevin (Last) (First) (Middle) 150 North Meramec (Street) St. Louis, MO 63105 (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol Enterprise Financial Services Corp EFSC 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary) 43-1706259	4. Statement for Month/Day/Year 10/31/2002 5. If Amendment, Date of Original (Month/Day/Year)	6. Relationship of Reporting Person(s) to Issuer (Check all applicable) ☒ Director ☐ 10% Owner ☐ Officer (give title below) ☐ Other (specify below) Description <u>Vice Chairman</u> 7. Individual or Joint/Group Filing (Check Applicable Line) ☒ Form filed by One Reporting Person ☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4, and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	A/D	Price			
Common Stock							\$	138,550	D	
Common Stock							\$	90,000	I	by Trust
Common Stock							\$	9,107	I	EBSP III. LLC

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date	3A. Deemed Execution	4. Transaction Code	5. Number of Derivative	6. Date Exercisable (DE) and	7. Title and Amount of Underlying	8. Price of Derivative	9. Number of Derivative Securities
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(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	Date, if any (Month/Day/Year)	(Instr. 8)		Securities Acquired (A) or Disposed Of (D)		Expiration Date(ED) (Month/Day/Year)		Securities (Instr. 3 and 4)		Security (Instr. 5)	Beneficially Owned Following Reported Transaction(s) (Instr. 4)
				Code	V	A	D	DE	ED	Title	Amount or Number of Shares		
Incentive Stock Option (right to buy)	\$5.33								04/01/07	Common Stock	30,000	\$	30,000
Incentive Stock Option (right to buy)	\$11.75								07/01/11	Common Stock	5,000	\$	5,000
Incentive Stock Option (right to buy)	\$15.00								09/01/10	Common Stock	5,000	\$	5,000
Non-Qualified Stock Option (right to buy)	\$	07/01/02		A					07/01/12	Common Stock	82,905	\$9.30	82,905

Explanation of Responses:

By:

/s/ Kevin Eichner by Power of Attorney
10/31/2002

** Signature of Reporting Person
Date

SEC 1474 (8-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.