WAGNER JAMES C Form 4 March 07, 2003

SEC Form 4

## FORM 4

[ ] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility

Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response.....0.5

1. Name and Address of Reporting 2. Issuer Name 4. Statement for 6. Relationship of Reporting Person(s) Person' and Ticker or Trading (Month/Day/Year to Issuer Wagner, James Symbol (Check all applicable) 03/06/2003 **Enterprise Financial** Director \_ 10% Owner (Last) (First) **Services Corp** X Officer (give title below) \_ Other (Middle) **EFSC** (specify below) 150 N. Meramec 5. If Amendment, Date of Original Description **Executive Vice** 3. I.R.S. Identification (Street) (Month/Day/Year) **President** Number of Reporting MO 63105 St. Louis, Person, if an entity (voluntary) 7. Individual or Joint/Group (State) (City) 431706259 Filing (Check Applicable Line) (Zip) X Form filed by One Reporting Form filed by More than One Reporting Person

	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1. Title of Security (Instr. 3)	2.Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)		4. Securities Acquired n(A) or Disposed Of (D) (Instr. 3, 4, and 5)			5. Amount of Securities Beneficially Owned Following	6. Owner-ship Form: Direct (D)	7. Nature of Indirect Beneficial Ownership		
			Code	٧	Amount	A/D	Price	Reported Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr.	(Instr. 4)		
Common Stock							\$	9,107	ı	EBSP III, LLC		
Common Stock							\$	18,470	ı	Grandchildren Trust		
Common Stock	03/06/2003		S		6000	D	\$13.00	31,535	I	Jt/w Spouse (1)		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)													

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/ Day/ Year)	3A. Deemed Execution Date, if any (Month/ Day/ Year)	Code	ransactionof		and Ex Date	cisable(DE of Underlyi piration Securities		itle and Amount Inderlying Purities Pur		9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr.4)	
				Code	٧	Α	D	DE	ED	Title	Amount or Number of Shares		
Incentive Stock Option (right to buy)	\$5.33								04/01/07	Common Stock	30,000	\$	30,000
Incentive Stock Option (right to buy)	\$10.25								09/24/12	Common Stock	8,500	\$	8,500
Incentive Stock Option (right to buy)	\$11.75								07/01/11	Common Stock	8,000	\$	8,000
Incentive Stock Option (right to buy)	\$15.								09/01/10	Common Stock	7,000	\$	7,000

**Explanation of Responses:** 

(1) The reporting person is 1/8 owner in EBSP III, LLC and disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein. The shares reported represent 1/8 of total securities held by the partnership.

By: Date:

/s/ James C. Wagner by proxy

03/07/2003

\*\* Signature of Reporting Person

SEC 1474 (9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.