

MASIMO CORP
Form 4
August 13, 2007

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LASERSOHN JACK W

(Last) (First) (Middle)

**C/O MASIMO CORPORATION, 40
PARKER**

(Street)

IRVINE, CA 92618

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
MASIMO CORP [MASI]

3. Date of Earliest Transaction
(Month/Day/Year)
08/13/2007

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|---------|---|--|-----------------------------------|------------|--|
| | | | | Code | V | Amount | | | | (A) or (D) | Price |
| Common Stock | 08/13/2007 | | C | | | 198,087 | A | <u>1</u> | 198,087 | I | By Vertical Fund II, L.P. <u>(2)</u> |
| Common Stock | 08/13/2007 | | C | | | 305,262 | A | <u>1</u> | 305,262 | I | By Vertical Fund I, L.P. <u>(2)</u> |
| Common Stock | 08/13/2007 | | C | | | 446,250 | A | <u>1</u> | 446,250 | I | By The Vertical Group, Inc. <u>(2)</u> |

Common Stock 120,600 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Series C Preferred Stock | (1) | 08/13/2007 | | C | 62,252 | (1) (1) | Common Stock | 186,756 (3) | |
| Series C Preferred Stock | (1) | 08/13/2007 | | C | 95,746 | (1) (1) | Common Stock | 287,238 (3) | |
| Series C Preferred Stock | (1) | 08/13/2007 | | C | 148,750 | (1) (1) | Common Stock | 446,250 (3) | |
| Series F Preferred Stock | (1) | 08/13/2007 | | C | 3,777 | (1) (1) | Common Stock | 11,331 (3) | |
| Series F Preferred Stock | (1) | 08/13/2007 | | C | 6,008 | (1) (1) | Common Stock | 18,024 (3) | |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

LASERSOHN JACK W
C/O MASIMO CORPORATION, 40 PARKER X
IRVINE, CA 92618

Signatures

/s/ Mark P. de Raad, 08/13/2007
Attorney-in-Fact

 **Signature of Reporting Person

 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All outstanding shares of the Issuer's Preferred Stock were automatically converted into Common Stock upon the closing of the Issuer's initial public offering, for no additional consideration.

Mr. Lasersohn is a managing director of The Vertical Group, Inc. and a general partner of The Vertical Group, L.P., which is the general
- (2) partner of Vertical Fund I, L.P. and Vertical Fund II, L.P. Mr. Lasersohn disclaims beneficial ownership of the shares held by The Vertical Group, Inc., Vertical Fund I, L.P. and Vertical Fund II, L.P. except to the extent of his pecuniary interest in these shares.
- (3) Gives effect to the 3-for-1 forward stock split of the Common Stock effected on June 25, 2007.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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